

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2012**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Engelmayer, Paul A.	2. Court or Organization U.S. District Court, Southern District of New York	3. Date of Report 05/14/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address U.S. Courthouse 500 Pearl Street New York, NY 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Co-executor	Estate #1
2. Trustee	Trust #1
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2009	WilmerHale partnership agreement re interest earned on retained capital of former partners
2.	
3.	

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Engelmayer, Paul A.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Harvard Law School	January 10-11, 2012	Cambridge, MA	Teaching Law School Class	Transportation, Meals and Lodging
2. Harvard Law School	October 25-26, 2012	Cambridge, MA	Teaching Law School Class	Transportation, Meals and Lodging
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	1. Brokerage #1 (H)								
2. -Aberdeen Emerging Markets Instl	A	Int./Div.	L	T	Buy (add'l)	12/20/12	J		
3. -Aria Consumer Fund		None		T	Sold	2/29/12	N		
4. -Berkshire Hathaway Inc Del B		None	M	T	Sold (part)	11/29/12	L	D	
5. -Eaton Vance Structured Emerging Markets I	C	Int./Div.	N	T	Buy (add'l)	12/27/12	J		
6. -Prudential Jennison Natural Resources Fund CI Z		None	M	T					
7. -RS Global Natural Resources CI Y	A	Int./Div.	M	T	Buy	9/5/2012	M		
8.				T	Buy (add'l)	12/13/12	J		
9. -First Eagle Global Inst CL I	D	Int./Div.	N	T	Buy (add'l)	12/13/12	J		
10. -Schwab NY AMT Tax-Free Money Fund		None	L	T					
11. -ING European Bank Loan	B	Int./Div.		T	Sold	2/29/12	M		
12. -Ivy Global Natural Resources Fund CI Y		None		T	Sold	9/4/12	M	B	
13. -MFS Emerging Markets Debt Fund Class I		None		T	Sold	1/25/12	K	A	
14. -Deutsche Bank AG London 307 US CMS		None	J	T					
15. Brokerage #2 (H)									
16. -Schwab NY AMT Tax-Free Money Fund		None	O	T					
17. Brokerage #3 (H)									

1. Income Gain Codes: A=\$1,000 or less B=\$1,001 - \$2,500 C=\$2,501 - \$5,000 D=\$5,001 - \$15,000 E=\$15,001 - \$50,000
 (See Columns B1 and D4) F=\$50,001 - \$100,000 G=\$100,001 - \$1,000,000 H1=\$1,000,001 - \$5,000,000 H2=More than \$5,000,000
 2. Value Codes J=\$15,000 or less K=\$15,001 - \$50,000 L=\$50,001 - \$100,000 M=\$100,001 - \$250,000
 (See Columns C1 and D3) N=\$250,001 - \$500,000 O=\$500,001 - \$1,000,000 P1=\$1,000,001 - \$5,000,000 P2=\$5,000,001 - \$25,000,000
 P3=\$25,000,001 - \$50,000,000 P4=More than \$50,000,000
 3. Value Method Codes Q=Appraisal R=Cost (Real Estate Only) S=Assessment T=Cash Market
 (See Column C2) U=Book Value V=Other W=Estimated

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NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (I-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	18. -iShare DJ Utility SEC IN	A	Int./Div.	L	T	Buy	12/17/12	M	
19.					Sold (part)	12/24/12	J		
20.					Sold (part)	12/31/12	J		
21. -iShares Dow Jones US Energy Sector	A	Int./Div.	L	T	Buy	7/9/12	L		
22.					Sold (part)	10/31/12	L	D	
23.					Buy (add'l)	12/31/12	L		
24. -iShares Tr Dow Jones US Indl Se	A	Int./Div.	L	T	Buy	7/9/12	L		
25.					Buy (add'l)	10/31/12	K		
26.					Sold (part)	11/19/12	M	B	
27.					Buy (add'l)	12/10/12	M		
28.					Sold (part)	12/17/12	K	A	
29.					Sold (part)	12/24/12	K	A	
30.					Sold (part)	12/31/12	J		
31. -Schwab NY AMT Tax-Free Money Fund		None	J	T					
32. -Materials SS SPDR	A	Int./Div.	L	T	Buy (add'l)	4/2/12	L		
33.					Buy (add'l)	4/20/12	K		
34.					Sold (part)	5/7/12	J		

- 1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000
- (See Columns B1 and D4) F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H = \$1,000,001 - \$5,000,000 I = More than \$5,000,000
- 2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000
- (See Columns C1 and D3) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P = \$1,000,001 - \$5,000,000 Q = \$5,000,001 - \$25,000,000
- 3. Value Method Codes P3 = \$25,000,001 - \$50,000,000 R = Cost (Real Estate Only) S = Assessment T = Cash Market
- (See Column C2) U = Book Value V = Other W = Estimated

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NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35.					Buy (add'l)	5/14/12	J		
36.					Sold (part)	6/11/12	J		
37.					Buy (add'l)	6/29/12	J		
38.					Sold (part)	7/2/12	L		
39.					Sold (part)	7/5/12	J		
40.					Buy (add'l)	7/9/12	L		
41.					Sold (part)	10/31/12	L	B	
42.					Buy (add'l)	11/19/12	M		
43.					Sold (part)	12/3/12	M	B	
44.					Buy (add'l)	12/24/12	L		
45.					Sold (part)	12/31/11	J	A	
46.	-Select Sector SPDR Financial	A	Int/Div.	L	T	Buy (add'l)	3/26/12	L	
47.					Sold (part)	4/2/12	K		
48.					Sold (part)	4/16/12	L		
49.					Buy (add'l)	5/7/12	L		
50.					Sold (part)	5/14/12	L		
51.					Buy (add'l)	6/11/12	L		

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- (See Columns B1 and D4) F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H = \$1,000,001 - \$5,000,000 I = \$5,000,001 - \$25,000,000
- 2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000
- (See Columns C1 and D3) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P = \$1,000,001 - \$5,000,000 Q = \$5,000,001 - \$25,000,000
- 3. Value Method Codes P3 = \$25,000,001 - \$50,000,000 R = Cost (Real Estate Only) S = Assessment T = Cash Market
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
						Buy (add'l)	7/2/12	J	
					Sold (part)	7/9/12	K	A	
					Buy (add'l)	10/31/12	K		
					Buy (add'l)	11/19/12	K		
					Buy (add'l)	12/3/12	K		
					Sold (part)	12/10/12	K	C	
					Sold (part)	12/17/12	K	C	
					Sold (part)	12/24/12	K	B	
					Sold (part)	12/31/12	J	A	
61. -Consumer Stap SPDR	B	Int./Div.	L	T	Sold (part)	1/3/2012	K	C	
					Sold (part)	2/13/12	K	B	
					Sold (part)	4/2/12	K	C	
					Buy (add'l)	4/20/12	K		
					Sold (part)	5/7/12	J	B	
					Buy (add'l)	5/14/12	J		
					Sold (part)	6/11/12	J	B	
					Buy (add'l)	6/29/12	J		

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- 2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000
- (See Columns C1 and D3) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P = \$1,000,001 - \$5,000,000 Q = \$5,000,001 - \$25,000,000
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	69.					Buy (add'l)	7/2/12	J	
70.					Sold (part)	7/9/12	K	C	
71.					Buy (add'l)	10/31/12	K		
72.					Buy (add'l)	11/19/12	K		
73.					Buy (add'l)	12/3/12	K		
74.					Sold (part)	12/10/12	K	C	
75.					Sold (part)	12/17/12	K	B	
76.					Sold (part)	12/24/12	J	A	
77.					Sold (part)	12/31/12	J		
78. -Healthcare SS SPDR	B	Int/Div.	L	T	Buy (add'l)	1/3/12	M		
79.					Sold (part)	2/13/12	K	A	
80.					Sold (part)	4/2/12	K	B	
81.					Buy (add'l)	4/20/12	K		
82.					Sold (part)	5/7/12	J	A	
83.					Buy (add'l)	5/14/12	J		
84.					Sold (part)	6/11/12	J	A	
85.					Buy (add'l)	6/29/12	J		

- | | | | | | |
|--|---|--|--|--|--|
| 1. Income Gain Codes:
(See Columns B1 and D4) | A = \$1,000 or less
F = \$50,001 - \$100,000 | B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000 | C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000 | D = \$5,001 - \$15,000
I = More than \$5,000,000 | E = \$15,001 - \$50,000
J = More than \$5,000,000 |
| 2. Value Codes
(See Columns C1 and D3) | J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$5,000,000 | M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | |
| 3. Value Method Codes
(See Column C2) | Q = Appraisal
U = Book Value | R = Cost (Real Estate Only)
V = Other | S = Assessment
W = Estimated | T = Cash Market | |

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86.					Buy (add'l)	7/2/12	J		
87.					Sold (part)	7/9/12	K	B	
88.					Buy (add'l)	10/31/12	K		
89.					Buy (add'l)	11/19/12	K		
90.					Buy (add'l)	12/3/12	K		
91.					Sold (part)	12/10/12	K	C	
92.					Sold (part)	12/17/12	K	B	
93.					Sold (part)	12/24/12	J	A	
94.					Sold (part)	12/31/12	J	A	
95. -Consumers Dis SS SPDR	A	Int./Div.	L	T	Sold (part)	1/3/12	K	A	
96.					Sold (part)	2/13/12	K	B	
97.					Sold (part)	4/2/12	K	C	
98.					Buy (add'l)	4/20/12	K		
99.					Sold (part)	5/7/12	K	B	
100.					Buy (add'l)	5/14/12	J		
101.					Sold (part)	6/11/12	J	B	
102.					Buy (add'l)	6/29/12	J		

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- 2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000
- N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000
- P3 = \$25,000,001 - \$50,000,000 P4 = More than \$50,000,000
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						Buy (add'l)	7/2/12	J	
					Sold (part)	7/9/12	K	C	
					Buy (add'l)	10/31/12	K		
					Buy (add'l)	11/19/12	K		
					Buy (add'l)	12/3/12	K		
					Sold (part)	12/10/12	K	C	
					Sold (part)	12/17/12	K	B	
					Sold (part)	12/24/12	K	B	
					Sold (part)	12/31/12	J	A	
112. -Select Sector SPDR Amex Industrial	A	Int./Div.		T	Buy (add'l)	2/13/12	L		
113.					Sold (part)	3/26/12	L	A	
114.					Buy (add'l)	4/2/12	L		
115.					Sold (part)	4/16/12	L		
116. -Select Sector SPDR Technology	A	Int./Div.		T	Sold (part)	1/3/12	K	A	
117.					Sold (part)	2/13/12	K	B	
118.					Sold (part)	4/2/12	K	C	
119.					Buy (add'l)	4/20/12	K		

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|--|--|--|---|---|--|
| 1. Income Gain Codes:
(See Columns B1 and D4) | A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000 | B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000 | D = \$5,001 - \$15,000
I11 = \$1,000,001 - \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | E = \$15,001 - \$50,000
I12 = More than \$5,000,000 |
| 2. Value Codes
(See Columns C1 and D3) | Q = Appraisal
U = Book Value | R = Cost (Real Estate Only)
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| 3. Value Method Codes
(See Column C2) | | | | | |

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120.					Sold (part)	5/7/12	J	B	
121.					Buy (add'l)	5/14/12	J		
122.					Sold (part)	6/11/12	J	B	
123.					Buy (add'l)	6/29/12	J		
124.					Buy (add'l)	7/2/12	J		
125.					Sold (part)	7/9/12	K	C	
126.					Sold (part)	10/31/12	L	B	
127. -Utilities Sel SPDR	B	Int/Div.		T	Sold (part)	1/3/12	K	C	
128.					Sold (part)	2/13/12	J	B	
129.					Buy (add'l)	3/30/12	J		
130.					Sold (part)	4/2/12	K	B	
131.					Buy (add'l)	4/20/12	K		
132.					Sold (part)	5/7/12	J	B	
133.					Buy (add'l)	5/14/12	J		
134.					Sold (part)	6/11/12	K	B	
135.					Buy (add'l)	6/29/12	J		
136.					Buy (add'l)	7/2/12	K		

- 1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000
- F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H1 = \$1,000,001 - \$5,000,000 I12 = More than \$5,000,000
- 2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000
- (See Columns C1 and D3) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000
- P3 = \$25,000,001 - \$50,000,000 P4 = More than \$50,000,000
- 3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash Market
- (See Column C2) U = Book Value V = Other W = Estimated

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting Engelmayer, Paul A.	Date of Report 05/14/2013
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

137.					Sold (part)	7/9/12	K	B	
138.					Buy (add'l)	10/31/12	K		
139.					Sold (part)	11/19/12	L		
140. Brokerage #4 (H)									
141. -Acadian Emerging Markets	B	Int./Div.	M	T	Buy (add'l)	12/28/12	J		
142. -BBH Core Select N		None	N	T	Buy	12/20/12	N		
143. -Berkshire Hathaway Inc Del Cl A		None	P1	T	Sold (part)	12/11/12	O	G	
144. -Berkshire Hathaway Inc Del B		None	M	T	Sold (part)	2/21/12	L	F	
145.					Sold (part)	7/30/12	K	E	
146.					Sold (part)	9/11/12	K	D	
147.					Sold (part)	10/3/12	K	D	
148.					Sold (part)	11/29/12	J	D	
149. -Wisdom Tree LargeCap Dividend	E	Int./Div.	O	T					
150. -PIMCO Unconstrained Bond Instl CL	E	Int./Div.	O	T	Buy (add'l)	1/4/12	N		
151. -Prudential Jennison Natural Resources Fund Cl Z		None	M	T					
152. -RS Global Natural Resources Cl Y	A	Int./Div.	M	T	Buy	9/5/12	M		
153. -First Eagle Global Inst Cl I	D	Int./Div.	N	T	Buy (add'l)	9/4/12	L		

- | | | | | | |
|--|--|--|--|--|-------------------------|
| 1. Income Gain Codes:
(See Columns B1 and D4) | A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000 | B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000 | D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes
(See Columns C1 and D3) | Q = Appraisal
U = Book Value | R = Cost (Real Estate Only)
V = Other | S = Assessment
W = Estimated | T = Cash Market | |

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting Engelmayer, Paul A.	Date of Report 05/14/2013
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	154.					Buy (add'l)	12/13/12	J	
155.					Buy (add'l)	12/20/12	M		
156. -Schwab NY AMT Tax-Free Money Fund		None	L	T					
157. -MFS Emerging Markets Debt Fund Class I	A	Int./Div.		T	Sold	1/25/12	L	A	
158. -Ivy Global Natural Resources Fund CI Y					Sold	9/4/12	M	B	
159. Brokerage #5 (H)									
160. -Long Island Pwr Authn Y Elec Sys Rev [REDACTED]	A	Int./Div.	M	T	Buy	7/2/12	M		
161. -Metropolitan Transn Auth NY Rev For [REDACTED]	B	Int./Div.	M	T	Buy	8/15/12	M		
162. -New York N Y Go Bds Subseries L-1 Fiscal [REDACTED]	B	Int./Div.	M	T	Buy	4/13/12	M		
163. -New York N Y Go Bds Ser. H [REDACTED]	A	Int./Div.	M	T	Buy	6/4/12	M		
164. -New York NY City Transitional Fin Auth Rev [REDACTED]	D	Int./Div.	M	T	Buy	2/28/12	M		
165.					Buy (add'l)	3/13/12	M		
166. -New York N Y City Transitional Fin [REDACTED]		None	L	T	Buy	8/28/12	L		
167. -New York City Mun Wtr Fin Auth Bds Ser [REDACTED]	C	Int./Div.	M	T	Buy	3/5/12	M		
168. -New York N Y City Transitional Fin [REDACTED]	C	Int./Div.	M	T	Buy	4/30/12	M		
169. -New York N Y City Transitional Fin [REDACTED]		None	M	T	Buy	7/12/12	M		
170. -New York St Brdg Auth Rev Gen Rev Bds [REDACTED]		None	M	T	Buy	7/17/12	M		

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|--|--|--|--|--|-------------------------|
| 1. Income Gain Codes:
(See Columns B1 and D4) | A = \$1,000 or less
F = \$50,001 - \$100,000 | B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000 | C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000 | D = \$5,001 - \$15,000
I = More than \$5,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes
(See Columns C1 and D3) | J = \$15,000 or less
N = \$250,001 - \$500,000
P = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000
Q = \$1,000,001 - \$5,000,000
R = More than \$5,000,000 | M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | |
| 3. Value Method Codes
(See Column C2) | Q = Appraisal
U = Book Value | R = Cost (Real Estate Only)
V = Other | S = Assessment
W = Estimated | T = Cash Market | |

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Engelmayer, Paul A.

Date of Report

05/14/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	171. -New York St Dorm Auth Revs Non St ██████████	B	Int./Div.	M	T	Buy	6/4/12	M	
172. -New York St Dorm Auth St Pers Income ██████████	B	Int./Div.	M	T	Buy	6/14/12	M		
173. -New York St Dorm Auth Revs St ██████████	B	Int./Div.	L	T	Buy	4/13/12	L		
174. -New York St Dorm Auth Revs St ██████████	C	Int./Div.	M	T	Buy	4/10/12	M		
175. -New York St Dorm Auth Revs St ██████████	B	Int./Div.	L	T	Buy				
176. -New York St Twy Authst Pers Income Tax ██████████	B	Int./Div.	L	T	Buy	4/10/12	L		
177. -New York St Twy Authst Pers Income Tax ██████████	B	Int./Div.	M	T	Buy	4/9/12	M		
178. -Port Auth N Y & N J Consolidated Bds 173 ██████████	B	Int./Div.	M	T	Buy	6/20/12	M		
179. -Puerto Rico Comwlth Pub Impt Ref Bds ██████████		None	M	T	Buy	3/9/12	M		
180. -Puerto Rico Elec Pwr Auth Pwr ██████████	B	Int./Div.	L	T	Buy	4/10/12	L		
181. -Triborough Brdg & Tunl Auth N Y Revs 05 ██████████	A	Int./Div.	L	T	Buy	8/6/12	L		
182. -Schwab NY AMT Tax-Free Money Fund		None	L	T					
183. IRA #1 (H)									
184. -Aberdeen Emerging Markets Instl	A	Int./Div.	L	T	Buy	1/26/12	L		
185.					Buy (add'l)	12/20/12	J		
186. -Franklin Templeton Emerging Market Bond Fund				T	Sold	1/25/12	L		
187. -Ivy Global Natural Resources Fund CI Y				T	Sold	9/4/12	J	B	

- 1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000
- (Sec Columns B1 and D4) F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H1 = \$1,000,001 - \$5,000,000 H2 = More than \$5,000,000
- 2. Valuc Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000
- (Sec Columns C1 and D3) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000
- 3. Valuc Method Codes P3 = \$25,000,001 - \$50,000,000 R = Cost (Real Estate Only) S = Assessment T = Cash Market
- (Sec Column C2) Q = Appraisal U = Book Valuc V = Other W = Estimated

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting Engelmayer, Paul A.	Date of Report 05/14/2013
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code I (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188. -MFS Emerging Markets Debt Fund Class I				T	Sold	1/25/12	J	A	
189. -RS Global Natural Resources CI Y		None	J	T	Buy	9/5/12	J		
190. -ING Senior Income CI A	B	Int./Div.	J	T					
191. IRA #2 (H)									
192. -MFS Emerging Markets Debt Fund Class I (Y)									
193. IRA #3 (H)									
194. -Aberdeen Emerging Markets Instl	A	Int./Div.	J	T	Buy	1/26/12	K		
195.				T	Buy (add'l)	12/20/12	J		
196. -Ivy Global Natural Resources Fund CI Y				T	Sold	9/4/12	J	B	
197. -MFS Emerging Markets Debt Fund Class I				T	Sold	1/25/12	K	A	
198. -RS Global Natural Resources CI Y		None	J	T	Buy	9/5/12	J		
199. -ING Senior Income CI A	B	Int./Div.	J	T					
200. IRA #4 (H)									
201. -RS Global Natural Resources CI Y		None	J	T	Buy	9/5/12	K		
202. -Ivy Global Natural Resources Fund CI Y				T	Sold	9/4/12	K	B	
203. -ING Senior Income CI A	D	Int./Div.	M	T	Sold (part)	10/12/12	J		
204. IRA #5 (H)									

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|--|--|--|--|--|-------------------------|
| 1. Income Gain Codes:
(See Columns B1 and D4) | A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000 | B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000 | D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes
(See Columns C1 and D3) | Q = Appraisal
U = Book Value | R = Cost (Real Estate Only)
V = Other | S = Assessment
W = Estimated | T = Cash Market | |
| 3. Value Method Codes
(See Column C2) | | | | | |

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Name of Person Reporting

Engelmayer, Paul A.

Date of Report

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	205. -Aberdeen Emerging Markets Instl	A	Int./Div.	L	T	Buy (add'l)	12/20/12	J	
206. -ING Senior Income Cl A	C	Int./Div.	L	T	Sold (part)	10/12/12	J		
207. IRA #6 (H)									
208. -Balestra Global Ltd		None	N	T	Buy	3/1/12	N		
209. -Definitive Guardian Fund, Ltd.		None	O	T					
210. -Schwab Advisor Cash Reserves Premier Sweep		None	J	T					
211. -Aberdeen Emerging Markets Instl					Sold	2/22/12	L	D	
212. -Acadian Emerging Markets					Sold	1/25/12	M	D	
213. -Franklin Templeton EM Debt Op					Sold	1/25/12	L		
214. -Ivy Global Natural Resources Fund Cl Y					Sold	2/22/12	L	D	
215. Trust #1 (H)									
216. -Aberdeen Emerging Markets Instl		None	J	T	Buy	9/5/12	J		
217.					Buy (add'l)	12/20/12	J		
218. -Acadian Emerging Markets					Sold	12/19/12	K	C	
219. -BBH Core Select N		None	J	T	Buy	3/28/12	J		
220. Eaton Vance Structured Emerging Markets A					Sold	9/4/12	J	A	
221. -Berkshire Hathaway Inc Del B		None	J	T					

- 1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000
- F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H1 = \$1,000,001 - \$5,000,000 H2 = More than \$5,000,000
- 2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000
- N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000
- P3 = \$25,000,001 - \$50,000,000 P4 = More than \$50,000,000
- 3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash Market
- U = Book Value V = Other W = Estimated

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Name of Person Reporting Engelmayer, Paul A.	Date of Report 05/14/2013
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. -Wisdom Tree LargeCap Dividend Fund	A	Int./Div.	J	T	Sold (part)	12/19/12	J	C	
223. -WisdomTree MidCap Dividend		None	J	T					
224. -GRT Value Fund Advisor Class		None	J	T					
225. -iShares Tr S&P 400 BARRA Midcap Growth		None	J	T					
226. -PIMCO Unconstrained Bond Instl CL	B	Int./Div.	J	T	Buy	2/28/12	K		
227.					Buy (add'l)	2/29/12	J		
228.					Buy (add'l)	3/28/12	J		
229.					Buy (add'l)	12/20/12	J		
230. -Prudential Jennison Natural Resources Fund CI Z		None	J	T	Buy	9/5/12	J		
231.					Buy (add'l)	12/20/12	J		
232. -First Eagle Global Inst CL I	A	Int./Div.	J	T	Buy	3/28/12	J		
233.					Buy (add'l)	12/20/12	J		
234. -Schwab Municipal Money Fund Sweep Shares		None	J	T					
235. -Templeton Global Bond Fund CI A		None	J	T	Buy	12/20/12	J		
236. -Virtus Premium AlphaSector CL I		None	J	T	Buy	12/24/12	K		
237. -ING Senior Income CI A	A	Int./Div.	J	T	Sold (part)	3/14/12	J	A	
238. iShares Tr MSCI EAFE Index Fd					Sold	2/27/12	J	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$5,001 - \$10,000 I = \$10,001 - \$25,000 J = \$25,001 - \$50,000 K = \$50,001 - \$100,000 L = \$100,001 - \$250,000 M = \$250,001 - \$500,000 N = \$500,001 - \$1,000,000 O = \$1,000,001 - \$5,000,000 P = \$5,000,001 - \$25,000,000 Q = \$25,000,001 - \$50,000,000	D = \$5,001 - \$15,000 E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	R = Cost (Real Estate Only) V = Other	W = Estimated	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value		S = Assessment T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting Engelmayer, Paul A.	Date of Report 05/14/2013
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	256.					Buy (add'l)	3/28/12	J	
257.					Buy (add'l)	12/20/12	J		
258. -Prudential Jennison Natural Resources Fund CI Z		None	J	T	Buy	9/5/12	J		
259.					Buy (add'l)	12/20/12	J		
260. -T. Rowe Price Emerging Market Bond Fund					Sold	2/27/12	J	A	
261. -First Eagle Global Inst CL I		None	J	T	Buy	12/20/12	J		
262. -Schwab Municipal Money Fund Sweep Shares		None	J	T					
263. -Templeton Global Bond Fund CI A		None	J	T	Buy	12/20/12	J		
264. -Virtus Premium AlphaSector CL I		None	J	T	Buy	12/24/12	K		
265. -ING Senior Income CI A	A	None	J	T	Sold (part)	3/14/12	J	A	
266. -iShares Tr MSCI EAFE Index Fd					Sold	2/27/12	J	C	
267. -Ivy Asset Strategy Fund Class A	A	Int./Div.		T	Buy (add'l)	12/13/12	J		
268.					Sold	12/19/12	K	C	
269. -Ivy Global Natural Resources Fund CI Y					Sold	9/4/12	J	B	
270. -Loomis Sayles Strategic Income A					Sold	2/27/12	J	A	
271. -PIMCO Funds Total Return Fund Instl #35					Sold	2/27/12	J	A	
272.									

1. Income Gain Codes: A=\$1,000 or less B=\$1,001 - \$2,500 C=\$2,501 - \$5,000 D=\$5,001 - \$15,000 E=\$15,001 - \$50,000
 (Sec Columns B1 and D4) F=\$50,001 - \$100,000 G=\$100,001 - \$1,000,000 H=\$1,000,001 - \$5,000,000 H2=More than \$5,000,000
 2. Value Codes J=\$15,000 or less K=\$15,001 - \$50,000 L=\$50,001 - \$100,000 M=\$100,001 - \$250,000
 (Sec Columns C1 and D3) N=\$250,001 - \$500,000 O=\$500,001 - \$1,000,000 P1=\$1,000,001 - \$5,000,000 P2=\$5,000,001 - \$25,000,000
 P3=\$25,000,001 - \$50,000,000 P4=More than \$50,000,000
 3. Value Method Codes Q=Appraisal R=Cost (Real Estate Only) S=Assessment T=Cash Market
 (Sec Column C2) U=Book Value V=Other W=Estimated

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Engelmayer, Paul A.

Date of Report

05/14/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period			D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)	
	273.		None	J	T	Buy	9/5/12	J		
274.					Buy (add'l)	12/20/12	J			
275.					Sold	12/19/12	K	C		
276.		None	J	T	Buy	3/28/12	J			
277.					Sold	9/4/12	J	A		
278.		None	J	T						
279.	A	Int./Div.	J	T	Sold (part)	12/19/12	J	C		
280.		None	J	T						
281.		None	J	T						
282.		None	J	T						
283.	B	Int./Div.	J	T	Buy	2/28/12	K			
284.					Buy (add'l)	2/29/12	J			
285.					Buy (add'l)	3/28/12	J			
286.					Buy (add'l)	12/20/12	J			
287.		None	J	T	Buy	9/5/12	J			
288.					Buy (add'l)	12/20/12	J			
289.	A	Int./Div.	J	T	Buy	3/28/12	J			

- | | | | | | |
|--|--|--|--|--|-------------------------|
| 1. Income Gain Codes:
(See Columns B1 and D4) | A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000 | B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000 | D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes
(See Columns C1 and D3) | Q = Appraisal
U = Book Value | R = Cost (Real Estate Only)
V = Other | S = Assessment
W = Estimated | T = Cash Market | |
| 3. Value Method Codes
(See Column C2) | | | | | |

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting Engelmayer, Paul A.	Date of Report 05/14/2013
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	290.					Buy (add'l)	12/20/12	J	
291.		None	J	T					
292.		None	J	T	Buy	12/20/12	J		
293.		None	J	T	Buy	12/24/12	K		
294.	A	Int./Div.	J	T	Sold (part)	3/14/12	J	A	
295.					Sold	2/27/12	J	C	
296.	A	Int./Div.		T	Buy	12/13/12	J		
297.					Sold	12/19/12	K	C	
298.					Sold	9/4/12	J	B	
299.					Sold	2/27/12	J	A	
300.					Sold	2/27/12	J	A	
301.					Sold	2/27/12	J	A	
302. UTMA #1 (H)									
303. -Aberdeen Emerging Markets Instl		None	J	T	Buy	9/5/12	J		
304. -Eaton Vance Structured Emerging Markets A					Sold	9/4/12	J	A	
305. -Wisdom Tree LargeCap Dividend Fund		None	J	T					
306. -WisdomTree MidCap Dividend		None	J	T					

- | | | | | | |
|--|--|--|--|--|-------------------------|
| 1. Income Gain Codes:
(See Columns B1 and D4) | A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000 | B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000 | D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes
(See Columns C1 and D3) | Q = Appraisal
U = Book Value | R = Cost (Real Estate Only)
V = Other | S = Assessment
W = Estimated | T = Cash Market | |

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Engelmayer, Paul A.

Date of Report

05/14/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period			D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)	
	307. -Prudential Jennison Natural Resources Fund CI Z		Int./Div.	J	T	Buy	9/5/12	J		
308. -First Eagle Global Inst CL I		None	J	T	Buy	2/27/12	J			
309. -Templeton Global Bond Fund CI A	B	Int./Div.	J	T	Buy	2/29/12	K			
310.					Buy (add'l)	3/15/12	J			
311. -ING Senior Income CI A					Sold	3/14/12	J	A		
312. -iShares Tr MSCI EAFE Index Fd					Sold	2/27/12	K	C		
313. -Ivy Global Natural Resources Fund CI Y					Sold	9/4/12	J	B		
314. -Loomis Sayles Global Bond Instl					Sold	2/27/12	J	A		
315. UTMA #2 (H)										
316. -Aberdeen Emerging Markets Instl		None	J	T	Buy	9/5/12	J			
317. -Eaton Vance Structured Emerging Markets A					Sold	9/4/12	J	A		
318. -Berkshire Hathaway Inc Del B		None	J	T						
319. -Wisdom Tree LargeCap Dividend Fund	A	Int./Div.	J	T						
320. -WisdomTree MidCap Dividend					Sold	12/19/12	J	A		
321. -Prudential Jennison Natural Resources Fund CI Z		None	J	T	Buy	9/5/12	J			
322. -First Eagle Global Inst CL I	A	Int./Div.	J	T	Buy	2/28/12	J			
323.					Buy (add'l)	2/29/12	J			

- 1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000
- (See Columns B1 and D4) F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H1 = \$1,000,001 - \$5,000,000 H2 = More than \$5,000,000
- 2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000
- (See Columns C1 and D3) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000
- 3. Value Method Codes P3 = \$25,000,001 - \$50,000,000 Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash Market
- (See Column C2) U = Book Value V = Other W = Estimated

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Name of Person Reporting

Engelmayer, Paul A.

Date of Report

05/14/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	324.					Buy (add'l)	3/15/12	J	
325.					Buy (add'l)	12/24/12	J		
326. -ING Senior Income CI A					Sold	3/14/12	J	A	
327. -iShares Tr MSCI EAFE Index Fd					Sold	2/27/12	J	C	
328. -Ivy Global Natural Resources Fund CI Y					Sold	9/4/2012	J	B	
329. -Loomis Sayles Global Bond Instl					Sold	2/27/12	J	A	
330. UTMA #3 (H)									
331. -Aberdeen Emerging Markets Instl		None	J	T	Buy	9/5/12	J		
332. -Eaton Vance Structured Emerging Markets A					Sold	9/4/12	J	A	
333. -Wisdom Tree LargeCap Dividend Fund		None	J	T					
334. -WisdomTree MidCap Dividend		None	J	T					
335. -Prudential Jennison Natural Resources Fund CI Z		None	J	T	Buy	9/5/12	J		
336. -First Eagle Global Inst CL I		None	J	T	Buy	2/27/12	J		
337. -Templeton Global Bond Fund CI A	B	Int./Div.	J	T	Buy	2/29/12	K		
338.					Buy (add'l)	3/15/12	J		
339. -ING Senior Income CI A					Sold	3/14/12	J	A	
340. -iShares Tr MSCI EAFE Index Fd					Sold	2/27/12	K	C	

1. Income Gain Codes: A=\$1,000 or less B=\$1,001 - \$2,500 C=\$2,501 - \$5,000 D=\$5,001 - \$15,000 E=\$15,001 - \$50,000
 (See Columns B1 and D4) F=\$50,001 - \$100,000 G=\$100,001 - \$1,000,000 H=\$1,000,001 - \$5,000,000 I2=More than \$5,000,000
 2. Value Codes J=\$15,000 or less K=\$15,001 - \$50,000 L=\$50,001 - \$100,000 M=\$100,001 - \$250,000
 (See Columns C1 and D3) N=\$250,001 - \$500,000 O=\$500,001 - \$1,000,000 P1=\$1,000,001 - \$5,000,000 P2=\$5,000,001 - \$25,000,000
 P3=\$25,000,001 - \$50,000,000 P4=More than \$50,000,000
 3. Value Method Codes Q=Appraisal R=Cost (Real Estate Only) S=Assessment T=Cash Market
 (See Column C2) U=Book Value V=Other W=Estimated

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Name of Person Reporting

Engelmayer, Paul A.

Date of Report

05/14/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	341. -Ivy Global Natural Resources Fund Cl Y					Sold	9/4/2012	J	B
342. -Loomis Sayles Global Bond Instl					Sold	2/27/12	J	A	
343. UTMA #4 (H)									
344. -Aberdeen Emerging Markets Instl		None	J	T	Buy	9/5/12	J		
345. -Berkshire Hathaway Inc Del B		None	J	T					
346. Eaton Vance Structured Emerging Markets A					Sold	9/4/12	J	A	
347. -Wisdom Tree LargeCap Dividend Fund	A	Int./Div.	J	T					
348. -WisdomTree MidCap Dividend					Sold	12/19/12	J	A	
349. -ING Senior Income Cl A					Sold	3/14/12	J	A	
350. -iShares Tr MSCI EAFE Index Fd					Sold	2/27/12	J	C	
351. -Prudential Jennison Natural Resources Fund Cl Z		None	J	T	Buy	9/5/12	J		
352. -Ivy Global Natural Resources Fund Cl Y					Sold	9/4/12	J	B	
353. -Loomis Sayles Global Bond Instl					Sold	2/27/12	J	A	
354. -First Eagle Global Inst CL I	A	Int./Div.	J	T	Buy	2/28/12	J		
355.					Buy (add'l)	2/29/12	J		
356.					Buy (add'l)	3/15/12	J		
357.					Buy (add'l)	12/24/12	J		

1. Income Gain Codes: A=\$1,000 or less B=\$1,001 - \$2,500 C=\$2,501 - \$5,000 D=\$5,001 - \$15,000 E=\$15,001 - \$50,000
 (See Columns BI and D4) F=\$50,001 - \$100,000 G=\$100,001 - \$1,000,000 HI=\$1,000,001 - \$5,000,000 H2=More than \$5,000,000
 2. Value Codes J=\$15,000 or less K=\$15,001 - \$50,000 L=\$50,001 - \$100,000 M=\$100,001 - \$250,000
 (See Columns CI and D3) N=\$250,001 - \$500,000 O=\$500,001 - \$1,000,000 P1=\$1,000,001 - \$5,000,000 P2=\$5,000,001 - \$25,000,000
 P3=\$25,000,001 - \$50,000,000 P4=More than \$50,000,000
 3. Value Method Codes Q=Appraisal R=Cost (Real Estate Only) S=Assessment T=Cash Market
 (See Column C2) U=Book Value V=Other W=Estimated

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting Engelmayer, Paul A.	Date of Report 05/14/2013
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
358. 529 Plan #1 (H)									
359. -New York Saves Growth Stock Index Portfolio		None	J	T					
360. -New York Saves Interest Accumulation Index Portfolio		None	J	T					
361. -New York Saves Value Stock Index Portfolio		None	J	T					
362. 529 Plan #2 (H)									
363. -New York Saves Interest Accumulation Portfolio		None	J	T					
364. -New York Saves Mid Cap Stock Index Portfolio		None	J	T					
365. -New York Saves Value Stock Index Portfolio		None	J	T					
366. -New York Saves Growth Stock Index Portfolio		None	J	T					
367. -New York Saves Inflation Protected Portfolio		None	L	T					
368. -New York Saves Developed Markets Income Portfolio		None	L	T					
369. 529 Plan #3 (H)									
370. -New York Saves Growth Stock Index Portfolio		None	J	T	Buy	1/10/12	J		
371. -New York Saves Mid Cap Stock Index Portfolio		None	J	T					
372. -New York Saves Small Cap Stock Index Portfolio		None	J	T					
373. -New York Saves Value Stock Index Portfolio		None	J	T	Buy	1/10/12	J		
374. -New York Saves Inflation Protected Portfolio		None	L	T	Buy (add'l)	1/10/12	J		

- | | | | | | |
|--|---|--|---|--|-------------------------|
| 1. Income Gain Codes:
(See Columns B1 and D4) | A = \$1,000 or less
F = \$50,001 - \$100,000 | B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000 | C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000 | D = \$5,001 - \$15,000
I = More than \$5,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes
(See Columns C1 and D3) | J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000 | M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | |
| 3. Value Method Codes
(See Column C2) | Q = Appraisal
U = Book Value | R = Cost (Real Estate Only)
V = Other | S = Assessment
W = Estimated | T = Cash Market | |

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Engelmayer, Paul A.

Date of Report

05/14/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	375. -New York Saves Developed Markets Income Portfolio		None	J	T				
376. 529 Plan #4 (H)									
377. -New York Saves Growth Stock Index Portfolio		None	J	T	Buy	1/10/12	J		
378. -New York Saves Interest Accumulation Portfolio		None	L	T					
379. -New York Saves Value Stock Index Portfolio		None	J	T	Buy	1/10/12	J		
380. -New York Saves Inflation Protected Portfolio		None	J	T	Buy (add'l)	1/10/12	J		
381. Teachers Retirement System of NY 403(b) #1 (H)									
382. -Diversified Equity		None	M	T					
383. -Stable Value		None	L	T					
384. -International Equity		None	L	T					
385. -Inflation Protection		None	L	T					
386. Citigroup Checking Account		None	L	T					
387. Chase Checking Account		None	L	T					
388. Westchester County Real Estate Parcel #1		None	L	W					
389. Westchester County Real Estate Parcel #2		None	L	W					
390. HSBC Checking Account #1 (X)									
391. -Cash									

- 1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000
- F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H1 = \$1,000,001 - \$5,000,000 H2 = More than \$5,000,000
- 2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000
- N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000
- P3 = \$25,000,001 - \$50,000,000 P4 = More than \$50,000,000
- 3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash Market
- U = Book Value V = Other W = Estimated

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting Engelmayer, Paul A.	Date of Report 05/14/2013
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period			D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)	
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)	
392. Estate #1 (Y)										
393.										

- | | | | | | |
|--|--|--|--|--|-------------------------|
| 1. Income Gain Codes:
(See Columns B1 and D4) | A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000 | B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000 | D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes
(See Columns C1 and D3) | Q = Appraisal
U = Book Value | R = Cost (Real Estate Only)
V = Other | S = Assessment
W = Estimated | T = Cash Market | |
| 3. Value Method Codes
(See Column C2) | | | | | |

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Name of Person Reporting

Engelmayer, Paul A.

Date of Report

05/14/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting	Date of Report
Engelmayer, Paul A.	05/14/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Paul A. Engelmayer**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure Administrative Office of the United States Courts Suite 2-301 One Columbus Circle, N.E. Washington, D.C. 20544
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