

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2012**

*Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)*

<b>1. Person Reporting (last name, first, middle initial)</b>  Magnuson, Paul A.	<b>2. Court or Organization</b>  U.S. District Court, Minnesota	<b>3. Date of Report</b>  06/28/2013
<b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b>  U.S. District Judge, Senior	<b>5a. Report Type (check appropriate type)</b> <input type="checkbox"/> Nomination                      Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <b>Sb.</b> <input checked="" type="checkbox"/> Amended Report	<b>6. Reporting Period</b>  01/01/2012 to 12/31/2012
<b>7. Chambers or Office Address</b>  734 Burger Federal Courts Building 316 North Robert Street Saint Paul, MN 55101		
<b>IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</b>		

**I. POSITIONS.** *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director	International Judicial Academy, Washington, DC
2. Advisor	International Council for Court Administrators - Advisory Council
3. Director	MN Prayer Breakfast, Minneapolis, MN
4.	
5.	

**II. AGREEMENTS.** *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

**FINANCIAL DISCLOSURE REPORT**

Page 2 of 9

Name of Person Reporting

Magnuson, Paul A.

Date of Report

06/28/2013

**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income** NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)* NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

**IV. REIMBURSEMENTS** *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)* NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	United States Russia Foundation (USRF)	01/11/2012 - 01/13/2012	Los Angeles, CA	Directors Meeting	Transportation, room, meals
2.	Advocates International	05/11/2012 - 05/18/2012	Tirana, Albania	Judicial Conference	Transportation, room, meals
3.	United States Russia Foundation (USRF)	05/22/2012 - 05/25/2012	New York, NY	Directors Meeting	Transportation, room, meals
4.	United States Russia Foundation (USRF)	06/25/2012 - 06/28/2012	San Diego, CA	Directors Meeting	Transportation, room, meals
5.	United States Russia Foundation (USRF)	09/09/2012 - 09/15/2012	Moscow, Russia	Directors Meeting	Transportation, room, meals

**FINANCIAL DISCLOSURE REPORT**

Page 3 of 9

<b>Name of Person Reporting</b> Magnuson, Paul A.	<b>Date of Report</b> 06/28/2013
--	-------------------------------------

6.	George Mason University	12/01/2012 - 12/07/2012	Miami, FL	Advanced Economics Institute	Transportation, room, meals
7.					
8.					
9.					
10.					

**FINANCIAL DISCLOSURE REPORT**

Page 4 of 9

Name of Person Reporting

Magnuson, Paul A.

Date of Report

06/28/2013

**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

# FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting <b>Magnuson, Paul A.</b>	Date of Report 06/28/2013
--	------------------------------

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
		Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1.	Hartford Cap.Fund IRA		None	M	T					
2.	H.B. Fuller Common Stock	A	Dividend	J	T					
3.	Hartford Cap Fund A		None	J	T					
4.	Hartford Cap Fund L		None	J	T					
5.	Ord-Tech Oilton Remote Detection Technologies, Inc.		None	J	T					
6.	Metropolitan Life Insurance		None	J	T					
7.	Morgan Stanley Bank N.A.	A	Int./Div.	J	T					
8.	Columbia Acom Z	A	Dividend	J	T					
9.	Columbia Interm Bond Z	A	Dividend	J	T					
10.	Fidelity Adv Strat Inc I	B	Dividend	L	T					
11.	Franklin Rising Dividends Adv	A	Dividend	K	T					
12.	Gabelli Small Cap Growth	A	Dividend	J	T					
13.	American GW FD of America F2		None	K	T					
14.	Managers Bond Fund	A	Dividend	J	T					
15.	Franklin Temp Mutual Shares Z	A	Dividend	K	T					
16.	Franklin Mutual Glb Disc Z	B	Dividend	K	T					
17.	American New World F2	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$5,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

# FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

Magnuson, Paul A.

Date of Report

06/28/2013

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Oppenheimer Intl Bond Y	A	Dividend	K	T					
19. Oppenheimer Developing Mkts Y	A	Dividend	J	T					
20. American Small Cap World F2	A	Dividend	J	T					
21. Templeton Emerg Mkts Sm Cp Adv	A	Dividend	J	T					
22. Templeton Global Bd Fd Adv	B	Dividend	K	T					
23. Thornburg Inv Inc Builder I	B	Dividend	K	T					
24. Thornburg Intl Value I	A	Dividend	K	T					
25. American WA Mutual F2	A	Dividend	K	T					
26. Morgan Stanley Bank N.A.	A	Int./Div.	K	T					
27. Minnesota Hsg Fin Agy Rev Ser C	B	Int./Div.	K	T					
28. Buffalo Minn Wastewtr Ser A	B	Int./Div.	K	T					
29. Washington Cnty Minn	A	Int./Div.	K	T					
30. Olmsted Cnty Minn Cap Ser B	B	Int./Div.	K	T					
31. St Paul Minn Genl Oblig Str SerD	B	Int./Div.	K	T					
32. Brooklyn Center Minn ISD No 2	B	Int./Div.	K	T					
33. Westar Energy Inc	A	Int./Div.			Redeemed	05/15/12	J	A	
34. Georgia Power	A	Int./Div.			Sold	04/27/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$5,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

# FINANCIAL DISCLOSURE REPORT

Page 7 of 9

<b>Name of Person Reporting</b> <b>Magnuson, Paul A.</b>	<b>Date of Report</b> 06/28/2013
---	-------------------------------------

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. General Electric Capital Corp	A	Int./Div.	J	T					
36. General Electric Cap Corp	A	Int./Div.	J	T					
37. Xcel Energy Inc	A	Int./Div.	J	T					
38. AXA Equitable/Equitable Accumulator		None	N	T					

- |  |   |  |   |  |                         |
|--|---|--|---|--|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value   | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated   | T = Cash Market  |                         |

**FINANCIAL DISCLOSURE REPORT**

Page 8 of 9

<b>Name of Person Reporting</b>	<b>Date of Report</b>
Magnuson, Paul A.	06/28/2013

---

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*



# FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting	Date of Report
Magnuson, Paul A.	06/28/2013

## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Paul A. Magnuson**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544