

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2012**

1. Person Reporting (last name, first, middle initial) HAMILTON, PHYLLIS J.	2. Court or Organization US DISTRICT COURT NORTHERN DISTRICT OF CALIFORNIA	3. Date of Report 04/22/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) DISTRICT JUDGE - ACTIVE	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address US DISTRICT COURT 1301 CLAY STREET OAKLAND, CA 94612		
<p align="center">IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.</i></p>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	_____	_____
2.	_____	_____
3.	_____	_____
4.	_____	_____
5.	_____	_____

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	_____	_____
2.	_____	_____
3.	_____	_____

FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

HAMILTON, PHYLLIS J.

Date of Report

04/22/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income** NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)* NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 01-12 2012	SAFEWAY, INC - SALARY
2. 01-12 2012	PUBLIC EMPLOYEES RETIREMENT SYSTEM - RETIREMENT BENEFITS
3.	
4.	

IV. REIMBURSEMENTS *- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)* NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Just The Beginning Foundation	Sep 20-23, 2012	Chicago, IL	Just The Beginning Foundation Biennial Conference	Lodging, meals, some local transportation partially underwritten by Just The Beginning Foundation
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 7

Name of Person Reporting

HAMILTON, PHYLLIS J.

Date of Report

04/22/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. FDIC (took over Colonial Bank)	MORTGAGE ON RENTAL PROPERTY, COLUMBIA, SC (Pt. VII, line 2)	N
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting HAMILTON, PHYLLIS J.	Date of Report 04/22/2013
---	------------------------------

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo Bank - IRA	A	Interest	M	T					
2. Rental Property, Columbia, SC (9/04)	E	Rent	N	W					
3. Wells Fargo Bank Accounts	B	Interest	M	T					
4. Ally Bank Accounts	A	Interest	M	T					
5. E-Trade Bank Accounts	C	Interest	J	T					
6. American Express Bank Account	A	Interest	K	T	Open	09/12/12	J		
7. Intel Stock	A	Dividend	J	T					
8. Agilent Stock		None	J	T					
9. Microsoft Stock		None	J	T					
10. Nasdaq 100 Fund		None	K	T					
11. Janus Worlwide Mutual Fund	A	Dividend	K	T					
12. Harbor Bond Fund Mutual Fund	A	Dividend	L	T	Buy (add'l)	09/17/12	J		
13.					Buy (add'l)	10/02/12	J		
14.					Buy (add'l)	11/01/12	J		
15.					Buy (add'l)	12/31/12	J		
16. Vanguard Index 500 Mutual Fund	B	Dividend	K	T	Buy (add'l)	12/31/12	J		
17. Janus Mercury Fund Mutual Fund	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 5 of 7

Name of Person Reporting HAMILTON, PHYLLIS J.	Date of Report 04/22/2013
---	------------------------------

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period			D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)	
	18. Safeco Equity Mutual Fund	A	Dividend	J	T					
19. Wells Fargo Advantage Fund (formerly known as Strong)	A	Dividend	J	T	Buy (add'l)	10/01/12	J			
20.					Buy (add'l)	11/01/12	J			
21.					Buy (add'l)	12/31/12	J			
22. US Savings Bonds	C	Interest	M	T						
23. Fidelity Puritan Fund	A	Dividend	M	T						
24. Lifepath Index 2020	A	Dividend	K	T						
25. PIMCO Total Return	D	Dividend	L	T						
26. Hartford Int'l Opportunity HLS	A	Dividend	J	T						
27. Hartford Small Company HLS	A	Dividend	J	T						
28. Lord Abbett Small Cap Value	A	Dividend	J	T						
29. Vanguard Dividend Growth Fund	D	Dividend	J	T	Buy	11/21/12	J			
30.					Buy (add'l)	12/31/12	J			
31. Vanguard GNMA Fund	C	Dividend	J	T	Buy	11/01/12	J			
32.					Buy (add'l)	11/21/12	J			
33.					Buy (add'l)	12/31/12	J			

1. Income Gain Codes: A=\$1,000 or less B=\$1,001 - \$2,500 C=\$2,501 - \$5,000 D=\$5,001 - \$15,000 E=\$15,001 - \$50,000
 (See Columns B1 and D4) F=\$50,001 - \$100,000 G=\$100,001 - \$1,000,000 HI=\$1,000,001 - \$5,000,000 H2=More than \$5,000,000
 2. Value Codes J=\$15,000 or less K=\$15,001 - \$50,000 L=\$50,001 - \$100,000 M=\$100,001 - \$250,000
 (See Columns C1 and D3) N=\$250,001 - \$500,000 O=\$500,001 - \$1,000,000 P1=\$1,000,001 - \$5,000,000 P2=\$5,000,001 - \$25,000,000
 P3=\$25,000,001 - \$50,000,000 P4=More than \$50,000,000
 3. Value Method Codes Q=Appraisal R=Cost (Real Estate Only) S=Assessment T=Cash Market
 (See Column C2) U=Book Value V=Other W=Estimated

FINANCIAL DISCLOSURE REPORT

Page 6 of 7

Name of Person Reporting HAMILTON, PHYLLIS J.	Date of Report 04/22/2013
---	------------------------------

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

HAMILTON, PHYLLIS J.

Date of Report

04/22/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **PHYLLIS J. HAMILTON**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544