AO 10
Rev. 1/2013

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2012

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
HUG, JR., PROCTER R.	Ninth Circuit Court of Appeals	05/14/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Article III Judge, Senior	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2012 to 12/31/2012

7. Chambers or Office Address

Bruce Thompson U.S. Courthouse
400 S. Virginia St., Suite 708
Reno, Nevada 89501

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
HUG, JR., PROCTER R.	05/14/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*
(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*
(Includes those to spouse and dependent children: see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

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HUG, JR., PROCTER R.	05/14/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
HUG, JR., PROCTER R.	05/14/2013

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Storey County Properties Partnership	F	Rent	O	W					
2. West Coast Development Partnership	D	Interest	L	W					
3. Brooktree Partners, Reno, Nevada	C	Rent	K	W					
4. Flowers Escrow Real Estate Mortgage	A	Interest	N	T					
5. Wachovia M/M Fund now Wells Fargo Advisors	B	Interest	M	T					
6. Wells Fargo M/M Fund	E	Interest	O	T					
7. Morgan Stanley Dean Witter Liquid Asset	A	Interest	J	T					
8. Bank of America	A	Interest	M	T					
9. Wells Fargo Bank	A	Interest	K	T					
10. ALCOA, Inc.	A	Dividend	K	T					
11. Abbott Labs	A	Dividend	K	T					
12. Alliance Income	A	Dividend	K	T					
13. Allstate Corp.	A	Dividend	J	T					
14. Athenhealth, Inc.	A	Dividend	J	T					
15. Barrick Gold Corp	A	Dividend	K	T					
16. Berkshire Hathoway, Inc.		None	L	T					
17. Canadian Nat. Resources	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Costco Wholesale Corp.	A	Dividend			Sold	12/07/12	K		
19. Calamos Invt. Tr.	A	Dividend	J	T					
20. Citigroup, Inc.	A	Dividend	K	T					
21. CNH Global NV	A	Dividend			Sold	12/07/12	K		
22. Conocophillips	A	Dividend	K	T					
23. Dell, Inc.	A	Dividend	K	T					
24. Du Pont De Nemours & Co.	A	Dividend	K	T					
25. Eaton Vance	A	Dividend	K	T					
26. Elan Corp.	A	Dividend	J	T					
27. Eniana	A	Dividend	J	T					
28. General Electric Co.	A	Dividend	J	T					
29. Glaxco	A	Dividend	J	T					
30. IDACORP, Inc.	A	Dividend	J	T					
31. Hershey Company	A	Dividend	K	T					
32. Intel Corp.	A	Dividend	K	T					
33. JC Pennys	A	Dividend	K	T	Buy	12/07/12	K		
34. Janus Fund	A	Interest	J	T					

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	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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35. Janus Twentieth Century	A	Interest	J	T					
36. Janus Venture	A	Interest	J	T					
37. Kraft Foods, Inc.	A	Dividend	K	T					
38. Merck & Co., Inc.	A	Dividend	K	T					
39. Nuveen Multi-Strategy Income	A	Dividend	K	T					
40. PNC Financial Services Group	A	Dividend	K	T	Buy	12/07/12	K		
41. Pall Corp.	A	Dividend	J	T					
42. Pepsico, Inc.	A	Dividend	J	T					
43. Pfizer, Inc.	A	Dividend	K	T					
44. Royal Dutch Shell PLC	A	Dividend	J	T					
45. Safeway, Inc.	A	Dividend			Sold	9/10/12	J		
46. Charles Schwab Corp.	A	Dividend	K	T					
47. Smith & Nephew PLC	A	Dividend	K	T					
48. Sprint Nextel Co.	A	Dividend	L	T					
49. 3M Company	A	Dividend	J	T					
50. Unilever NV	A	Dividend			Sold	12/07/12	K		
51. United Parcel SVC, Inc.	A	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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52. United States 12 Month Nat.	A	Dividend	K	T					
53. VISA	A	Dividend			Sold	12/07/12	K		
54. Wal-Mart Stores, Inc.	A	Dividend			Sold	12/07/12	K		
55. Wells Fargo Stock	A	Dividend	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
HUG, JR., PROCTER R.	05/14/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ PROCTER R. HUG, JR.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544