

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2012**

1. Person Reporting (last name, first, middle initial) FISHER, RAYMOND C.	2. Court or Organization U.S. COURT OF APPEALS - NINTH CIRCUIT	3. Date of Report 04/15/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. CIRCUIT JUDGE - SENIOR	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination <input type="checkbox"/> Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address U.S. COURT OF APPEALS - 9th CIRCUIT 125 S. GRAND AVE. #400 PASADENA, CA 91105		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member, Board of Directors	Constitutional Rights Foundation (nonprofit), Los Angeles, CA
2. Chair, Board of Directors	Western Justice Center (nonprofit), Pasadena, CA
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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FISHER, RAYMOND C.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. Jan-Dec 12	CA State Teachers Retirement System - Pension
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	UC Davis	April 7-8, 2012	UC Davis Law School	Moot Court	Lodging, Meals, Transportation
2.					
3.					
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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Name of Person Reporting FISHER, RAYMOND C.	Date of Report 04/15/2013
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. FIA Card Svcs NA RASP	A	Dividend	L	T					
2. M.LYNCH READY ASSETS TRUST	A	Int./Div.	K	T					
3. RFC STRIPS 0% 4/15/12		None			Redeemed	04/15/12	K	A	
4. RFC STRIPS 0% 10/15/13		None	K	T					
5. RFC STRIPS 0% 10/15/15		None	K	T					
6. US TREAS STRIPS 0% 8/15/12		None			Redeemed	08/15/12	L	A	
7. US TREAS STRIPS 0% 5/15/13		None	K	T					
8. US TREAS STRIPS 0% 8/15/13		None	K	T					
9. US TREAS STRIPS 0% 2/15/14		None	K	T					
10. US TREAS STRIPS 0% 11/15/14		None	K	T					
11. FINANCING CORP STRIPS 0% 5/30/13		None	K	T					
12. FINANCING CORP STRIPS 0% 5/30/14		None	K	T					
13. FHLMC NOTE 5.125% 7/15/12	A	Interest			Redeemed	07/15/12	J	A	
14. CD FIRST BK, PUERTO RICO 1.8% 10/1/2012	A	Interest			Redeemed	10/01/12	L		
15. CD FIRST BK, PUERTO RICO 2.0% 4/1/13	A	Interest	K	T					
16. CD WEBSTER BK, CT 3/31/14	A	Interest	L	T					
17. CD CITIBANK NA,NV 2.55% 3/24/15	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	18. ALGER SPECTRA FUND		None	L	T				
19. BLACKROCK GLOBAL ALLOC CL A	A	Dividend	K	T					
20. CALAMOS INTERNATL	A	Dividend	K	T					
21. COLUMBIA DIV INCOME	B	Dividend	L	T					
22. DELAWARE LTD TERM DIVERS. FD CL I	A	Dividend			Sold	12/12/12	L	A	
23. DREYFUS APPREC FD	A	Dividend	L	T					
24. FRANKLIN STRATEGIC INC FD	A	Dividend	L	T	Buy	03/07/12	L		
25. HARTFORD FLOATING RATE FD	A	Dividend			Sold	03/06/12	J	A	
26. HIGHMARK GENEVA MID CAP		None	K	T	Sold (part)	03/28/12	J	A	
27.		None			Buy (add'l)	12/12/12	K		
28. IVA WORLDWIDE FD		None	K	T	Buy	12/12/12	K		
29. JANUS GLOBAL RSCH		None			Sold (part)	03/28/12	J	A	
30.		None			Sold	12/12/12	K	A	
31. J.HANCOCK DISCIPLINED MID CAP		None			Sold (part)	03/28/12	J	A	
32.		None			Sold	12/12/12	J	A	
33. J.HANCOCK STRATEGIC INCM	A	Dividend	L	T					
34. LEGG MASON BW GLOBAL	A	Dividend			Sold	12/12/12	K	A	

- | | | | | | |
|--|--|--|---|---|-------------------------|
| 1. Income Gain Codes:
(See Columns B1 and D4) | A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000 | B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000 | D = \$5,001 - \$15,000
I12 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes
(See Columns C1 and D3) | Q = Appraisal
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| 3. Value Method Codes
(See Column C2) | | | | | |

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	35. LOOMIS SAYLES STRTGC INC FD		None			Sold	03/09/12	K	A
36. LORD ABBET INT'L	B	Dividend	K	T	Sold (part)	03/28/12	J	A	
37. LORD ABBETT SHORT TERM	C	Dividend	L	T	Buy (add'l)	03/28/12	K		
38.		None			Sold (part)	12/12/12	J	A	
39. METROPOLITAN WEST TOT.RET.BOND	A	Dividend			Buy (add'l)	03/28/12	K		
40.		None			Sold	12/12/12	L	A	
41. OPPENHEIMER INTL GROWTH		None			Sold (part)	03/28/12	J	A	
42.		None			Sold	12/12/12	K	A	
43. PIONEER FUNDAMENTAL GRWTH	A	Dividend	L	T					
44. PRINCIPAL MID CAP BLEND		None	K	T	Sold (part)	03/28/12	J	A	
45.		None			Buy (add'l)	12/12/12	J		
46. PRUDENTIAL SHORT TERM CORP BOND	C	Dividend	L	T	Buy (add'l)	03/28/12	K		
47.		None			Sold (part)	12/12/12	J	A	
48. PRUDENTIAL TOTAL RETURN	C	Dividend	L	T	Buy (add'l)	08/30/12	K		
49.		None			Sold (part)	12/12/12	J	A	
50. PUTNAM EQUITY INCOME		None			Sold	12/12/12	L	A	
51. RS GLOBAL NATURAL RESRCS		None			Sold	12/12/12	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	52. SUN AMERICA FOCUSED DIV	B	Dividend	L	T				
53. TEMPLETON GLOBAL FD	C	Dividend	L	T	Buy	03/07/12	K		
54.		None			Buy (add'l)	12/12/12	L		
55. THORNBURG INVESTMENT INCM	C	Dividend	K	T	Sold (part)	12/12/12	K	A	
56. THORNBURG STRATEGIC INCM	A	Dividend			Sold	12/13/12	L	A	
57. UAM FPA CRESCENT	A	Dividend	L	T	Buy	12/12/12	L		
58. VIRTUS MULTISECTOR S-T BOND FD	A	Dividend	L	T	Buy	12/12/12	L		
59. WELLS FARGO ADV GROWTH		None			Sold	12/12/12	L	A	
60. WESTERN ASSET CORE		None	L	T	Buy	12/12/12	L		
61. C.SCHWAB MUNI FUND	A	Interest	J	T					
62. PERKINS MID CAP VALUE FD		None			Sold	04/30/12	J	A	
63. NATIONWIDE AMERICA'S VISION ANNUITY (FID.VIP EQUITY)	B	Distribution	L	T					
64. JACKSON NAT'L LIFE (ANNUITIES)	C	Distribution	M	T					
65. VENWEST DEVELOPMENT LP1 - LTD PTR		None			Closed	10/27/12			

- | | | | | | |
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(See Column C2) | | | | | |

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII. Line 68: I voluntarily withdrew from VenWest Development limited partnership, incurring a loss. Thus the Value is zero and the Gain is negative, which Column D does not appear to address.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ RAYMOND C. FISHER**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544