

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2012**

<b>1. Person Reporting (last name, first, middle initial)</b>  Doherty, Rebecca F.	<b>2. Court or Organization</b>  Western District of Louisiana	<b>3. Date of Report</b>  06/18/2013
<b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b>  U.S. District Judge, Active	<b>5a. Report Type (check appropriate type)</b> <input type="checkbox"/> Nomination <input type="checkbox"/> Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b>  01/01/2012 to 12/31/2012
<b>7. Chambers or Office Address</b>  John M. Shaw U. S. Courthouse 800 Lafayette Street, Ste. 4900 Lafayette, LA 70501		
<b>IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</b>		

**I. POSITIONS.** *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

**II. AGREEMENTS.** *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

**A. Filer's Non-Investment Income**

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1.		
2.		
3.		
4.		

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

**IV. REIMBURSEMENTS** – *transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period			D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)	
	1. UBS Bank Deposit USA	A	Interest	K	T					
2. Federated Absolute Return (was:Federated Market Opportunity)	A	Dividend	K	T						
3. PIMCO Foreign Bond	A	Dividend	J	T						
4. PIMCO Commodity Real Return	A	Dividend	J	T						
5. Calvert Global Alternative Energy Fund		None	J	T						
6. Putnam Health Sciences Trust	A	Dividend	J	T						
7. Oppenheimer International Bond	A	Dividend	J	T						
8. PIMCO Emerging Markets Fund	A	Dividend	J	T						
9. Ivy Asset Strategy Fund	A	Dividend	J	T						
10. Oppenheimer Developing Markets Fund	A	Dividend	J	T						
11. PIMCO Stock Plus Short Fund	A	Dividend	K	T						
12. Templeton China Fund	A	Dividend	J	T						
13. Franklin ADJ Rate Government Fund	A	Dividend	K	T						
14. Oppenheimer Ltd. Term Gov't Fund	A	Dividend	J	T						
15. Black Rock Global All Fund	A	Dividend	K	T						
16. Bank of China, NY	A	Interest			Buy	01/03/12	K			
17.					Redeemed	02/24/12	K			

1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000  
 (See Columns B1 and D4) F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H = \$1,000,001 - \$5,000,000 I = \$5,000,001 - \$10,000,000 J = \$10,000,001 - \$50,000,000 K = \$50,000,001 - \$100,000,000 L = \$100,000,001 - \$500,000,000 M = \$500,000,001 - \$1,000,000,000 N = \$1,000,000,001 - \$5,000,000,000 O = \$5,000,000,001 - \$10,000,000,000 P = \$10,000,000,001 - \$50,000,000,000 Q = \$50,000,000,001 - \$100,000,000,000 R = \$100,000,000,001 - \$500,000,000,000 S = \$500,000,000,001 - \$1,000,000,000,000 T = \$1,000,000,000,001 - \$5,000,000,000,000 U = \$5,000,000,000,001 - \$10,000,000,000,000 V = \$10,000,000,000,001 - \$50,000,000,000,000 W = \$50,000,000,000,001 - \$100,000,000,000,000

2. Value Codes (See Columns C1 and D3)

3. Value Method Codes (See Column C2)

Q = Appraisal  
 R = Cost (Real Estate Only)  
 V = Other  
 S = Assessment  
 W = Estimated  
 T = Cash Market

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**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. UTMA-custodial account (X)									
19. --- UBS Bank USA Deposit Account		None	J	T					

- 1. Income Gain Codes:
  - A = \$1,000 or less
  - F = \$50,001 - \$100,000
  - J = \$15,000 or less
  - N = \$250,001 - \$500,000
  - P3 = \$25,000,001 - \$50,000,000
- 2. Value Codes  
(See Columns C1 and D3)
  - B = \$1,001 - \$2,500
  - G = \$100,001 - \$1,000,000
  - K = \$15,001 - \$50,000
  - O = \$500,001 - \$1,000,000
  - R = Cost (Real Estate Only)
  - V = Other
- 3. Value Method Codes  
(See Column C2)
  - Q = Appraisal
  - U = Book Value
  - C = \$2,501 - \$5,000
  - H1 = \$1,000,001 - \$5,000,000
  - L = \$50,001 - \$100,000
  - P1 = \$1,000,001 - \$5,000,000
  - P4 = More than \$50,000,000
  - S = Assessment
  - W = Estimated
  - D = \$5,001 - \$15,000
  - I12 = More than \$5,000,000
  - M = \$100,001 - \$250,000
  - P2 = \$5,000,001 - \$25,000,000
  - T = Cash Market
  - E = \$15,001 - \$50,000

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

Part VII - Investments and Trusts

Line 2 - Federated Absolute Return (formerly known as: Federated Market Opportunity)

Line 16 & 17 - Bank of China, NY - bought and redeemed in 2012

Line 18 - UTMA Custodial Account opened 12/23/11 [REDACTED]. No funds deposited into account in 2011, no income in 2011. Funds deposited into account in 2012 were held in a money market account; no income in 2012, no purchases made.

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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: *s/* Rebecca F. Doherty

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
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