

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2012**

*Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)*

<b>1. Person Reporting (last name, first, middle initial)</b> Jones, Richard A.	<b>2. Court or Organization</b> W.D. Washington	<b>3. Date of Report</b> 04/16/2013
<b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b> U.S. District	<b>5a. Report Type (check appropriate type)</b>	
	<input type="checkbox"/> Nomination      Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final	
<b>5b.</b> <input checked="" type="checkbox"/> Amended Report		<b>6. Reporting Period</b> 01/01/2012 to 12/31/2012
<b>7. Chambers or Office Address</b> United States Courthouse 700 Stewart Street, Suite 13128 Seattle, Washington 98101		
<b>IMPORTANT NOTES:</b> <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

**I. POSITIONS.** *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	YMCA of Greater Seattle
2. Board Member	YMCA of the USA National Board of Directors
3. Board Member	Washington State Bar Association Leadership Institute
4. Faculty Instructor	National Judicial College
5. Advisory Board Member	Northwest Minority Job Fair Committee
6. Co-Chair	King County Bar Association Rev. Dr. Martin Luther King Luncheon Committee
7. Board Member	Center for Children and youth Justice

**II. AGREEMENTS.** *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1994	Washington State Deferred Compensation Plan: pension upon retirement
2. 1994	Washington State Judicial Retirement Account: pension upon retirement
3.	

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**III. NON-INVESTMENT INCOME.** (Reporting individual and spouse; see pp. 17-24 of filing instructions.)**A. Filer's Non-Investment Income** NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

**B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.**

(Dollar amount not required except for honoraria.)

 NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	 -Salary
2.	
3.	
4.	

**IV. REIMBURSEMENTS** -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

 NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. YMCA-USA	May 21-22, 2012	Chicago, Illinois	Committee Meeting	Transportation, meals and lodging
2. YMCA-USA	June 12-June 13, 2012	Chicago, Illinois	Board Meeting	Transportation, meals and lodging
3. YCCA-USA	June 27-June 29, 2012	Chicago, Illinois	Committee Meeting	Transportation, meals and lodging
4. YMCA-USA	October 3-5, 2012	Chicago, Illinois	Committee Meeting	Transportation, meals and lodging
5. YMCA-USA	October 24-25, 2012	Chicago, Illinois	Board Meeting	Transportation, meals, and lodging

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)* NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)* NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	American Express	Credit Card	J
2.			
3.			
4.			
5.			

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	1. Tully's Stock (common) (Y)			J	W				
2. Tully's Stock(preferred) (Y)			J	W					
3. American Funds: American Balanced	A	Interest	M	T					
4. American Funds: American Mutual	A	Interest	M	T					
5. American Funds: Capital Income Builder	A	Interest	M	T					
6. American Funds: Capital World Growth & Income	A	Interest	L	T					
7. American Funds: Capital World Bond	A	Interest	M	T					
8. American Funds: Fundamental Investors	A	Interest	L	T					
9. American Funds: Growth Fund of America	A	Interest	L	T					
10. American Funds: Income Fund of America	A	Interest	M	T					
11. American Funds: Investment Company of America	A	Interest	M	T					
12. American Funds: SMALLCAP WORLD	A	Interest	L	T					
13. American Funds: Washington Mutual Investors		Interest	M	T					
14. American Funds: Bond Fund of America	B	Interest	M	T					
15. American Funds: Money Market	A	Distribution	L	T					
16. Washington State Pension (no control)	F	Distribution	N	T					
17. ICMARC		None	M	T					

1. Income Gain Codes:  
(See Columns B1 and D4)

A=\$1,000 or less  
F=\$50,001 - \$100,000

B=\$1,001 - \$2,500  
G=\$100,001 - \$1,000,000

C=\$2,501 - \$5,000  
H1=\$1,000,001 - \$5,000,000

D=\$5,001 - \$15,000  
H2=More than \$5,000,000

E=\$15,001 - \$50,000

2. Value Codes  
(See Columns C1 and D3)

J=\$15,000 or less  
N=\$250,001 - \$500,000  
P3=\$25,000,001 - \$50,000,000

K=\$15,001 - \$50,000  
O=\$500,001 - \$1,000,000

L=\$50,001 - \$100,000  
P1=\$1,000,001 - \$5,000,000  
P4=More than \$5,000,000

M=\$100,001 - \$250,000  
P2=\$5,000,001 - \$25,000,000

3. Value Method Codes  
(See Column C2)

Q=Appraisal  
U=Book Value

R=Cost (Real Estate Only)  
V=Other

S=Assessment  
W=Estimated

T=Cash Market

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**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Principal Finance Group (Whole Life)		None	J	T					

- |  |   |  |   |  |                         |
|--|---|--|---|--|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value   | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated   | T = Cash Market  |                         |

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

**VII. Investments and Trusts**

1 and 2 Tully's Stock. Tully's is currently in bankruptcy proceedings so the value and status is unknown at this time.

17 ICMARC Quarterly reports for this 401 do not reflect income, only the amount of gain or loss. 2012 reflected a gain of less than \$1,000

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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Richard A. Jones**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
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