AO 10 Rev. 1/2013	**FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012**	*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial)	**2. Court or Organization**	**3. Date of Report**
Wesley, Richard C.	U.S. Court of Appeals, 2nd Circuit	03/20/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active, U.S. Circuit Judge	**5a. Report Type (check appropriate type)** ☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	**6. Reporting Period** 01/01/2012 to 12/31/2012
7. Chambers or Office Address Livingston County Government Center 6 Court Street Geneseo, New York 14454		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.	Member	Cornell Law School Advisory Council
3.	Board of Directors	Livingston Country Club
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1. 2003	New York State Employees Retirement System: Pension upon retirement at 55.
2.	
3.	

Name of Person Reporting	Date of Report
Wesley, Richard C.	03/20/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2012	New York State Employees Retirement System	$55,118.53
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2012	Livonia Central School (Salary)
2.	
3.	
4.	

IV. REIMBURSEMENTS *– transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Federal Goverment	01/04/2012 to 01/06/12	Phoenix, AZ	Rules Committee Meeting	Room, meals and transportation
2. Privately Funded Peter Jennings Project	03/20/2012 to 03/21/2013	Philadelphia, PA	Educational Program	Room, meals and transportation
3. Federal Government	04/02/2012 to 04/03/2012	Dallas, TX	Rules Committee Meeting	Room, meals and transportation
4. Federal Government	05/03/2012 to 05/04/2012	Buffalo, NY	Circuit Representative at courthouse opening	Mileage, room, tolls
5. Federal Government	06/04/2012 to 06/08/2012	New Palz, NY	U.S. Judicial Conference	Mileage, room, tolls, meals

Name of Person Reporting	Date of Report
Wesley, Richard C.	03/20/2013

6.	Federal Government	06/10/2012 to 06/12/2012	Washington, DC	Rules Committee Meeting	Transportation, room, meals
7.	U.S. Attorney's Office	09/19/2012 to 09/20/2012	Lake Placid, NY	Educational Program for U.S. Attorneys	Transportation, mileage, tolls, room, meal
8.	Federal Government	10/04/2012 to 10/05/2012	Charleston, SC	Rules Committee Meeting	Transportation, room, meals
9.	NYS Bar Association	10/10/2012 to 10/10/2012	Syracuse, NY	Educational Program for the NYS Bar Assocation	Mileage, tolls

Name of Person Reporting	Date of Report
Wesley, Richard C.	03/20/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Wesley, Richard C.	03/20/2013

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. Key Bank	A	Int./Div.	L	T					
2. Trust I - Chevy Chase Bank Account	A	Int./Div.	J	T					
3. Trust II - Chevy Chase Bank Accuont	A	Int./Div.	J	T					
4. NWML Balanced Funds IRA	A	Dividend	J	T					
5. Northwestern Mutual Life (Whole Life)	C	Dividend	M	T					
6. Northwestern Mutual Life (Whole Life)	B	Dividend	K	T					
7. Northwestern Mutual Life (Whole Life)	C	Dividend	K	T					
8. Northwestern Mutual Life (Whole Life)	B	Dividend	K	T					
9. Northwestern Mutual Life (Whole Life)	B	Dividend	K	T					
10. Northwestern Mutual Life (Whole Life)	B	Dividend	J	T					
11. Assanti Capital Management, Inc., (IRA) Cash	A	Int./Div.	J	T					
12. DFA International Small Company Fund	D	Dividend	K	T					
13. DFA Emerging Markets Fund	D	Dividend	K	T					
14. DFA Large Company Fund	D	Dividend	L	T					
15. DFA Large Cap Value Fund	D	Dividend	L	T					
16. DFA Real Estate Securities Fund	B	Dividend	K	T					
17. DFA US Small Cap Fund	D	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Wesley, Richard C.	03/20/2013

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. DFA Five Year Global Fixed Income Fund	B	Dividend	K	T					
19. DFA International Value Fund	E	Dividend	M	T					
20. AAM.DFA Two Year Government Portfolio	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Wesley, Richard C.	03/20/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Part I - Positions:
Trust I
Trust II - I am the Trustee for both Trusts

Name of Person Reporting	Date of Report
Wesley, Richard C.	03/20/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Richard C. Wesley

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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