AO 10
Rev. 1/2013

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2012

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Haik, Richard T.	Western District of Louisiana	05/15/2013

4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	5a. Report Type (check appropriate type)	6. Reporting Period
U.S. District Judge - Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final 5b. ☐ Amended Report	01/01/2012 to 12/31/2012

7. Chambers or Office Address

800 Lafayette Street
Suite 4200
Lafayette, LA 70501

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	President	Colonel J. George & S. Saloom Hannie Community Home, Inc.
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Haik, Richard T.	05/15/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Louisiana Association of Defense Counsel	4/24/2012 - 4/29/2012	San Jose, Costa Rica	Seminar Speaker - LADC Conference	Travel, lodging, and meals
2.	Louisiana State Bar Association	6/5/2012 - 6/8/2012	Destin, Florida	Seminar speaker - LSBA Annual Meeting/School	Travel, lodging, and meals
3.	Louisiana Association of Justice	8/9/2012 - 8/10/2012	New Orleans, Louisiana	Seminar speaker - LAJ Maritme Law Conference	Travel, lodging, and meals
4.	Louisiana State Bar Association	9/20/2012 - 9/21/2012	New Orleans, Louisiana	Seminar speaker - LSBA Annual Admiralty Symposium	Travel, lodging, and meals
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.	Alfred Lipman	Hunting Trip	$500.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	St. Landry Bank	Mortgage on [redacted] Port Barre, LA (Part VII, line 67)	M
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Haik, Richard T.	05/15/2013

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1.	Iberia Bank Accounts	A	Interest	L	T					
2.	Midsouth Bank, NA Accounts	A	Interest	L	T					
3.	Brokerage Account #1									
4.	-- Diamond Offshore Drilling, Inc. Common Stock	A	Dividend	J	T					
5.	--Iberiabank Corp Common Stock	A	Dividend	J	T					
6.	--Rowan Cos Inc. Common Stock (Y)									
7.	--Edward Jones Money Market Account	A	Dividend	K	T					
8.	--Lafayette Parish, LA Law Enforcement Dist Rev Ltd Bonds	A	Interest	J	T	Buy	03/01/12	J		
9.	Brokerage Account #2									
10.	--San Juan Basin Royalty Trust	A	Interest	J	T					
11.	--Charlton County, GA Solid Waste Revenue Bonds	A	Interest	J	T					
12.	--DWS Managed Municipal Bond Fund	A	Int./Div.	J	T					
13.	--Ohio State Solid Waste Disposal Revenue Bonds	A	Interest	J	T					
14.	--Port of New Orleans, LA Board of Commissioners	A	Interest			Redeemed	07/06/12	J	A	
15.	--Putnam Tax Exempt Income	A	Dividend	J	T					
16.	--St. Paul, MN Port Authority Industrial Revenue Bonds	A	Interest			Redeemed	06/25/12	J		
17.	--Bibb County, GA Development Authority Bonds	C	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Haik, Richard T.	05/15/2013

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. --Cambria County, PA Revenue Bonds	A	Interest	J	T					
19. --Central Arizona Irrigation & Drainiage District Bonds (Y)									
20. --Connecticut Development Authority Bonds	B	Interest	K	T					
21. --Langhorne Manor Boro, PA Higher Education Bonds		None	J	T					
22. --Louisiana State University Bonds	A	Interest	J	T					
23. --Montgomery-Southside, AL Revenue Bonds	B	Interest	K	T					
24. --New Jersey Health Care Facilities Bonds	A	Interest	J	T	Redeemed (part)	07/02/12	J		
25. --St. Paul, MN Port Authority Bonds	A	Interest			Redeemed	06/25/12	J		
26. --St. Paul, MN Port Auth Hsg & Red	A	Interest			Redeemed	06/25/12	J		
27. --Savannah, GA Economic Development Authority Bonds	A	Interest	J	T					
28. --Sumner, IL Healthcare Facilities Revenue Bonds	A	Interest	J	T					
29. --Verizon Communications Common Stock	A	Dividend	J	T					
30. --Tjiwi Kimia Intl Finance Co Senior Notes		None	J	T					
31. --Tricom SA Guaranteed Note (Y)									
32. --Money Market Fund Investment Shares	A	Dividend	K	T					
33. --MFS Multimarket Income Trust Mutual Fund	A	Dividend	J	T					
34. --San Juan Basin Royalty Trust Units	A	Royalty	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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35. --At Home Corp Subordinated Notes		None	J	T					
36. --Capital Income Builder Fund A	B	Dividend	K	T					
37. --Rapides Fin Auth LA Revenue Cleco	B	Interest	K	T					
38. --Regions Financial Corp	A	Dividend			Sold	09/14/12	K		
39. --LA State Gas & Fuels Tax Ser A Revenue	A	Interest	J	T					
40. --Home Bancorp, Inc. Stock		None			Sold	09/14/12	J	C	
41. --Ultra Pure Technologies, Inc. Stock (Y)									
42. --Louisiana Public Facilities Auth Rev Bonds	A	Interest	K	T					
43. --Katy Texas Inpdt School District Bonds	B	Interest	K	T					
44. --Frontier Communications Corp Stock (Y)									
45. --Columbia Tax Exempt Fund Class A	A	Dividend	J	T					
46. --Iberia Parish LA Parishwide School Dist Rfdg Gen Obgln	A	Interest	K	T					
47. --Lafayette LA Public Improvement Tax Rev	A	Interest	J	T					
48. --Cutwater Select Income Fund	A	Dividend	J	T					
49. --Lafayette LA Public Trust Auth Rev	A	Interest	K	T					
50. --Midsouth Bancorp Inc.	A	Dividend			Sold	09/24/12	K	C	
51. Individual Retirement Account #1	D	Dividend	N	T					

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52. --American Balanced Fund									
53. --American Mutual Fund									
54. --Capital World Growth & Income Fund									
55. --Europacific Growth Fund									
56. --Growth Fund of America									
57. --Income Fund of America									
58. --New Perspective Fund									
59. --Washington Mutual Investors Fund									
60. --American Funds Sh Term Bond Fund									
61. Colonel J. George & S. Saloom Hannie	E	Distribution	M	W					
62. BPL Visions, LLC, formerly The McGeHee Group, LLC		None	M	W					
63. Oxford Partners, LLC		None	J	W					
64. Gordon Global Investments, LLC		None	J	W					
65. 1/3 Interest in Property Located in Vermilion Parish, LA		None	J	W					
66. E-Kat, LLC		None	J	W	Buy	08/28/12	K		
67. [redacted] Port Barre, LA ($178,500)		None	M	R	Buy	09/21/12	M		R. Hensarling
68. Rental Property, [redacted] Lafayette, LA ($292,000)		None	N	R	Buy	07/05/12	N		T. Orillion, C. Orillion

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Richard T. Haik

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544