

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2012**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Otero, Samuel J.	2. Court or Organization U.S. District Court	3. Date of Report 05/06/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge, Active	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination <input type="checkbox"/> Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final	6. Reporting Period 01/01/2012 to 12/31/2012
	5b. <input type="checkbox"/> Amended Report	
7. Chambers or Office Address United States District Court 312 North Spring Street, Room 244-P Los Angeles, CA 90012		

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board Member	Judge Michel Intellectual Property American Inn of Court
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2003	California Judges Retirement Plan; Pension Upon Retirement, Age 63
2. 1988	Los Angeles Employees Retirement Plan; Pension Upon Retirement, Age 55
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

Otero, Samuel J.

Date of Report

05/06/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 01/2012	Los Angeles Employee Retirement Pension	\$16,191.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 01/2012	Los Angeles Unified School District
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Federal Circuit Bar Association	June 20-23, 2012	San Diego, California	2012 Bench and Bar Conference	Meals, hotel
2.					
3.					
4.					
5.					

FINANCIAL DISCLOSURE REPORT

Page 3 of 7

Name of Person Reporting Otero, Samuel J.	Date of Report 05/06/2013
---	------------------------------

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	L.A. I.P. Inn of Court	Membership	\$750.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting Otero, Samuel J.	Date of Report 05/06/2013
---	-------------------------------------

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
		Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1.	Franklin California Tax Free Income Fund	A	Dividend	J	T					
2.	Galliard Stable Value (LA Def. Comp.Wash. Mutual Liquid)	A	Interest	J	T					
3.	First Investors Investment Grade Fund A (brokerage acct #1)	A	Dividend	K	T	Sold (part)	05/23/12	J		
4.	First Investors Fund for Income (brokerage acct #1)	A	Dividend	K	T	Sold (part)	07/10/12	K		
5.	Oppenheimer Discovery Fund	C	Dividend	L	T					
6.	Oppenheimer Equity Fund	C	Dividend	L	T					
7.	Aviva Life Insurance Strategy Select	A	Dividend	K	T					
8.	Great American Life Insurance	A	Dividend	K	T					
9.	First Investors Growth & Income Fund (X br. acct #1)	A	Dividend	J	T					
10.	First Investors Situations Fund A (X br. acct #1)	A	Dividend			Sold	07/10/12	J		
11.	First Investors Total Return fund (X br. acct #1)	A	Dividend			Sold	12/26/12	K		
12.	First Investors SelectGrowth Fund A (X br. acct #1)	A	Dividend	J	T					
13.	First Investors Value Fund (x brokerage acct #1)	A	Dividend			Sold	07/10/12	K		
14.	Bank of America (X "common" brokerage account #2)	A	Dividend			Sold	03/15/12	J		
15.	Ford Motor (X "common" brokerage account #2)	A	Dividend	J	T	Sold (part)	03/27/12	J		
16.	CitiGroup CX "common" brokerage	A	Dividend			Sold	03/27/12	J		
17.	Johnson & Johnson (x "common" acct #2)	A	Dividend			Sold	03/27/12	J		

1. Income Gain Codes: A = \$1,000 or less; F = \$50,001 - \$100,000; B = \$1,001 - \$2,500; G = \$100,001 - \$1,000,000; C = \$2,501 - \$5,000; H1 = \$1,000,001 - \$5,000,000; D = \$5,001 - \$15,000; E = \$15,001 - \$50,000; I12 = More than \$5,000,000

2. Value Codes: J = \$15,000 or less; K = \$15,001 - \$50,000; L = \$50,001 - \$100,000; M = \$100,001 - \$250,000; N = \$250,001 - \$500,000; O = \$500,001 - \$1,000,000; P1 = \$1,000,001 - \$5,000,000; P2 = \$5,000,001 - \$25,000,000; P3 = \$25,000,001 - \$50,000,000; P4 = More than \$50,000,000

3. Value Method Codes: Q = Appraisal; R = Cost (Real Estate Only); S = Assessment; T = Cash Market; U = Book Value; V = Other; W = Estimated

FINANCIAL DISCLOSURE REPORT

Page 5 of 7

Name of Person Reporting Otero, Samuel J.	Date of Report 05/06/2013
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	18. United States Savings Bond	A	Dividend	J	T				
19. Chase Bank Account Joint	B	Interest	K	T					
20. L.A. Federal Credit Union Accounts	B	Interest	M	T					
21. California Credit Union	A	Interest	J	T					
22.									
23.									
24.									

- | | | | | | |
|--|--|--|---|--|-------------------------|
| 1. Income Gain Codes:
(See Columns B1 and D4) | A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000 | B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000 | D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes
(See Columns C1 and D3) | Q = Appraisal
U = Book Value | R = Cost (Real Estate Only)
V = Other | S = Assessment
W = Estimated | T = Cash Market | |
| 3. Value Method Codes
(See Column C2) | | | | | |

FINANCIAL DISCLOSURE REPORT

Page 6 of 7

Name of Person Reporting Otero, Samuel J.	Date of Report 05/06/2013
---	-------------------------------------

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting Otero, Samuel J.	Date of Report 05/06/2013
---	------------------------------

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Samuel J. Otero**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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