

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2012**

*Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)*

<b>1. Person Reporting (last name, first, middle initial)</b>  lkuta, Sandra S.	<b>2. Court or Organization</b>  Ninth Circuit	<b>3. Date of Report</b>  07/23/2013
<b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b>  Circuit Judge	<b>5a. Report Type (check appropriate type)</b> <input type="checkbox"/> Nomination      Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b>  01/01/2012 to 12/31/2012
<b>7. Chambers or Office Address</b>  U.S. Court of Appeals - Ninth 125 South Grand Avenue Pasadena, California 91105		
<b>IMPORTANT NOTES:</b> <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

**I. POSITIONS.** *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee #1	Trust #1
2.	
3.	
4.	
5.	

**II. AGREEMENTS.** *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1997	O'Melveny & Myers Defined Benefit Plan with former law firm, no control
2.	
3.	

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income** NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.			
2.			
3.			
4.			

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)* NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.		
2.		
3.		
4.		

**IV. REIMBURSEMENTS** *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)* NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	The Federalist Society	03/03/2012-03/04/2012	Stanford, California	Speaker	Transportation food, and hotel
2.	University of Chicago Law School	04/29/2012-05/01/2012	Chicago, IL	Speaker, Judge of Moot Court	Transportation, food and hotel
3.	George Mason School of Law	10/06/2012-10/10/2012	Arlington, VA	Educational program	Transportation, food and hotel
4.	Federal Bar Association Hawaii Chapter	12/12/2012-12/14/2012	Honolulu, Hawaii	Speaker	Transportation, food and hotel
5.					

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)* NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)* NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bank of America	Mortgage on rental property, Agoura, California	M
2.	Citimortgage	Mortgage on rental property, West Los Angeles, California	M
3.	Union Bank	Mortgage on rental property, West Los Angeles, California	M
4.	Union Bank	Mortgage on rental property, Santa Monica, California	O
5.	City National Bank	Mortgage on rental property, Los Angeles, California	L

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. ING Direct Accounts (cash equivalent) (Y)									
2. Bank of America	A	Interest			Closed	04/17/12	J	A	
3. Trust 1	G	Rent	P1	W					
4. -Rental Property, Agoura, California									
5. -50% interest in rental property, Venice, California									
6. -50% interest in rental property, W. Los Angeles, California									
7. -33% interest in rental property, Santa Monica, California									
8. -Rental property, Los Angeles, California									
9. IRA#2	D	Dividend	O	T					
10. -CNI Money Market									
11. -CNI Charter Corporate bond Servicing					Buy	02/16/12	J		
12.					Buy (add'l)	12/14/12	J		
13.					Buy (add'l)	12/19/12	J		
14. -CNI Charter Govt Bond Fund					Buy	02/16/12	J		
15.					Buy (add'l)	9/06/12	J		
16.					Buy (add'l)	12/14/12	J		
17.					Buy (add'l)	12/19/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 I11 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 I12 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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NONE (No reportable income, assets, or transactions.)

18.	A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount	(2) Type (e.g., div., rent, or int.)	(1) Value	(2) Value	(1) Type (e.g., buy, sell, redemption)	(2) Date	(3) Value	(4) Gain	(5) Identity of buyer/seller (if private transaction)
		Code 1 (A-H)		Code 2 (J-P)	Code 3 (Q-W)		mm/dd/yy	Code 2 (J-P)	Code 1 (A-H)	
						Sold (part)	03/14/12	K		
19.	-CNI Charter High Yield Bond Fund					Buy	02/15/12	J		
20.						Sold (part)	12/14/12	J		
21.	-Doubleline Total Return Bond Fund					Buy	03/14/12	K		
22.	-Eaton Vamce Floating Rate Bond Fund Cl I					Buy	12/14/12	J		
23.	-Ivy High Income Fund Cl I					Buy	09/06/12	K		
24.						Sold (part)	12/14/12	J		
25.	-Principal Preferred Securites Fund INSTL					Buy	07/19/12	K		
26.	-CNI Charter US Core Equity Ser					Buy	12/19/12	K		
27.	-ING Global Real Estate Fund					Buy	03/14/12	J		
28.	-Oppeheimer Dev Markets Cl I					Buy	09/06/12	J		
29.						Buy (add'l)	12/14/12	J		
30.	-PIMCO Commodity Real Return Strategy					Buy	03/14/12	J		
31.	-Touchstone Small Cap Core Fund Y					Buy	02/16/12	J		
32.						Buy (add'l)	12/14/12	J		
33.						Buy (add'l)	09/06/12	J		
34.						Sold (part)	03/14/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2).	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	35. -Ishares Gold Trust Shs Bene Int					Buy	03/16/12	J	
36. -Ishares S&P US Preferred Stock Index Fund					Buy	05/21/12	K		
37.					Sold (part)	06/26/12	J		
38.					Sold (part)	07/23/12	J		
39. -SPDR S&P Divided ETF					Buy	05/21/12	J		
40.					Buy (add'l)	12/06/12	K		
41.					Sold (part)	12/21/12	J		
42. -Vanguard MSCI EAFE ETF					Buy	12/18/12	J		
43. -CNI Charter Lg Cap Val Eqty-Inst					Sold (part)	02/16/12	J		
44.					Sold (part)	03/14/12	J		
45.					Buy (add'l)	07/19/12	J		
46.					Sold (part)	12/04/12	J		
47.					Sold	12/31/12	J		
48. -CNI Charter Lge Cap Grwth-Inst					Sold (part)	02/16/12	J		
49.					Sold (part)	03/14/12	J		
50.					Sold (part)	05/17/12	J		
51.					Sold (part)	12/07/12	K		

- |  |   |  |  |  |                         |
|--|---|--|--|--|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>I = More than \$5,000,000          | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value   | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated  | T = Cash Market  |                         |

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	(1) Amount	(2) Type (e.g., div., rent, or int.)	(1) Value	(2) Value	(1) Type (e.g., buy, sell, redemption)	(2) Date	(3) Value	(4) Gain	(5) Identity of buyer/seller (if private transaction)
	Code 1 (A-H)		Code 2 (J-P)	Code 3 (Q-W)		mm/dd/yy	Code 2 (J-P)	Code 1 (A-H)	
52.					Sold	12/31/12	J		
53. -CNI Charter Corp Bond Fd-Instl					Sold (part)	03/14/12	J		
54.					Sold	12/18/12	L		
55. -CNI Charter Govt Bd Fd-Instl CI					Sold	03/14/12	K		
56.					Buy	09/06/12	J		
57. -CNI Charter High Yld Bd FD-Instl					Buy (add'l)	02/15/12	J		
58.					Sold	12/14/12	J		
59. -Dodge&Cox Intl Stk Fd					Sold	02/16/12	J		
60. -Eaton Vance Strct Em Mkt					Buy (add'l)	07/19/12	J		
61.					Sold (part)	02/16/12	J		
62.					Sold (part)	03/14/12	J		
63.					Sold	09/06/12	J		
64. -Royce Pen Mut Fd Invest CI					Sold (part)	02/16/12	J		
65.					Sold	07/19/12	J		
66. -Tweedy Browne Global Value FD					Buy	02/16/12	J		
67.					Sold	05/17/12	J		
68. -First Eagle Overseas Fd CI1					Buy	02/16/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000	D=\$5,001 - \$15,000 H2=More than \$5,000,000	E=\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J=\$15,000 or less N=\$250,001 - \$500,000 P3=\$25,000,001 - \$50,000,000	K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000	L=\$50,001 - \$100,000 P1=\$1,000,001 - \$5,000,000 P4=More than \$5,000,000	M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q=Appraisal U=Book Value	R=Cost (Real Estate Only) V=Other	S=Assessment W=Estimated	T=Cash Market	

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A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	69.					Sold	05/17/12	J	
70. -Pimco Emerging Local Bond					Buy	03/14/12	J		
71.					Sold (part)	05/17/12	J		
72.					Sold	06/22/12	J		
73. -Pimco Emerging Mtk Bd INSTL					Buy	03/14/12	J		
74.					Sold	07/19/12	J		
75. -Vanguard Hi Yld Corp					Buy	03/14/12	J		
76.					Sold	09/06/12	J		
77. Brokerage Account #1									
78. -500 Index Fund Inv	B	Dividend	K	T					
79. -Small-Cap Index Fund Inv	A	Dividend	L	T					
80. American Funds VCSP/College America 529A	B	Dividend	L	T					
81. -Growth Fund of America									
82. -The Investment Company of America									
83. -Washington Mutual Investors Fund									
84. -The Bond Fund of America									
85. Brokerage Account #2									

- 1. Income Gain Codes:      A=\$1,000 or less      B=\$1,001 - \$2,500      C=\$2,501 - \$5,000      D=\$5,001 - \$15,000      E=\$15,001 - \$50,000
- F=\$50,001 - \$100,000      G=\$100,001 - \$1,000,000      H1=\$1,000,001 - \$5,000,000      H2=More than \$5,000,000
- 2. Value Codes              J=\$15,000 or less      K=\$15,001 - \$50,000      L=\$50,001 - \$100,000      M=\$100,001 - \$250,000
- (See Columns C1 and D3)      N=\$250,001 - \$500,000      O=\$500,001 - \$1,000,000      P1=\$1,000,001 - \$5,000,000      P2=\$5,000,001 - \$25,000,000
- P3=\$25,000,001 - \$50,000,000      P4=More than \$50,000,000
- 3. Value Method Codes      Q=Appraisal      R=Cost (Real Estate Only)      S=Assessment      T=Cash Market
- (See Column C2)      U=Book Value      V=Other      W=Estimated



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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)	
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)	
86. -CNI Money Market Account	A	Interest	J	T						
87. -CNI Charter Lg Cap Val Eqty-Inst	A	Dividend			Buy	09/06/12	J			
88.					Sold (part)	03/14/12	J	B		
89.					Sold (part)	05/17/12	J	A		
90.					Sold (part)	11/23/12	J	A		
91.					Sold (part)	12/04/12	J	A		
92.					Sold	12/31/12	J	A		
93. -CNI Charter Lge Cap Gwth-Instl	A	Dividend			Sold (part)	03/14/12	J	A		
94.					Buy (add'l)	07/19/12	J			
95.					Sold (part)	11/23/12	J	C		
96.					Sold (part)	11/23/12	J	A		
97.					Sold (part)	12/04/12	K			
98.					Sold	12/31/12	J	A		
99. -CNI Charter US Core Equity Ser	A	Dividend	K	T	Buy	12/06/12	K			
100. -CNI Charter Cal Tx Exmpt Insti	D	Dividend	N	T	Buy (add'l)	02/16/12	J			
101.					Buy (add'l)	07/19/12	J			
102.					Sold (part)	03/14/12	L	A		

1. Income Gain Codes: (Sec Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I12 = More than \$5,000,000	E = \$15,001 - \$50,000
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103.					Sold (part)	12/18/12	J	B	
104.					Sold (part)	12/18/12	J	A	
105. -Dodge&Cox Intl Stock FD	A	Dividend			Sold	02/16/12	J	A	
106. -Eaton Vance Strct Em Mrkt	A	Dividend			Sold (part)	03/14/12	J	A	
107.					Sold	09/06/12	J	A	
108. -Royce Pen Mut FD Invest CL	A	Dividend			Sold (part)	02/16/12	J	A	
109.					Sold	06/12/12	J	A	
110. -CNI Charter High Yld Bd Fd Inst	B	Dividend	K	T	Buy (add'l)	03/14/12	J		
111.					Buy (add'l)	09/06/12	J		
112.					Sold (part)	07/19/12	J	A	
113.					Sold (part)	12/06/12	J	A	
114. -Doubleline Total return bond	B	Dividend	K	T	Buy	03/14/12	K		
115.					Buy (add'l)	09/06/12	J		
116.					Buy (add'l)	12/06/12	J		
117.					Sold (part)	05/17/12	J	A	
118.					Sold (part)	07/19/12	J	A	
119. -First Eagle Overseas FD CLI	A	Dividend			Buy	02/15/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 I11 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 I12 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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<b>Name of Person Reporting</b> Ikuta, Sandra S.	<b>Date of Report</b> 07/23/2013
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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	120.					Sold	05/17/12	J	
121. -Tweedy Browne Global Value FD#001	A	Dividend			Buy	02/16/12	J		
122.					Buy (add'l)	03/14/12	J		
123.					Sold	05/17/12	J		
124. -SPDR S&P Dividend ETF	A	Dividend	J	T	Buy	05/21/12	K		
125.					Sold (part)	06/26/12	J	A	
126. -Pimco Emerging Mkts Bd Instl 137	A	Dividend			Buy	03/14/12	J		
127.					Sold	07/19/12	J	A	
128. -Pimco Emerging Local Bonds	A	Dividend	J	T	Buy	03/14/12	J		
129.					Sold (part)	09/06/12	J		
130. -Vanguard Hi Yld Corp FD Admiral 529	A	Dividend	J	T	Buy	03/14/12	K		
131.					Sold (part)	09/06/12	J	A	
132. -Ivy High Income Fund Class I	B	Dividend	K	T	Buy	09/06/12	K		
133.					Sold (part)	12/06/12	J	A	
134.					Sold (part)	12/17/12	J	A	
135.					Sold (part)	12/17/12	J	B	
136. -Touchstone small cap core fund Y	A	Dividend	J	T	Buy	05/17/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting <b>Ikuta, Sandra S.</b>	Date of Report 07/23/2013.
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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
						Buy (add'l)	09/06/12	J	
					Buy (add'l)	06/12/12	J		
					Sold (part)	12/06/12	J	A	
					Sold (part)	12/07/12	J	A	
					Sold (part)	12/07/12	J	A	
142. -Pimco Commodity RR Strategy-Instl#45	A	Dividend	J	T	Buy	03/14/12	J		
					Sold (part)	12/13/12	J	A	
144. -Principal Preferred Securities INSTL	B	Dividend	K	T	Buy	07/19/12	J		
					Buy (add'l)	12/06/12	J		
					Sold (part)	12/13/12	J	A	
					Sold (part)	12/27/12	J	A	
148. -ING Global Real Estate Fund I	A	Dividend	J	T	Buy	03/14/12	J		
149. -Ishares Gold Trust	A	Dividend	J	T	Buy	03/16/12	J		
					Buy (add'l)	05/21/12	J		
					Buy (add'l)	12/10/12	J		
152. -Oppenheimer Developing Mkt Cl I	A	Dividend	J	T	Buy	09/06/12	J		
153. -Eaton Vance Floating Rate Cl I	A	Dividend	J	T	Buy	12/06/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000 J=\$15,000 or less N=\$250,001 - \$500,000 P3=\$25,000,001 - \$50,000,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000 K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000	C=\$2,501 - \$5,000 I11=\$1,000,001 - \$5,000,000 L=\$50,001 - \$100,000 P1=\$1,000,001 - \$5,000,000 P4=More than \$50,000,000	D=\$5,001 - \$15,000 H2=More than \$5,000,000 M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000	E=\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q=Appraisal U=Book Value	R=Cost (Real Estate Only) V=Other	S=Assessment W=Estimated	T=Cash Market	
3. Value Method Codes (See Column C2)					

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Name of Person Reporting <b>Ikuta, Sandra S.</b>	Date of Report 07/23/2013
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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. -Vanguard MSCI EAFE ETF	A	Dividend	J	T	Buy	12/10/12	J		
155. Flexible Variable Universal Life Ins Incentive Life	A	Dividend							
156. -Eq/ Common Stock Index					Sold	08/15/12	K	A	
157. -EQ/Large Cap Value Plus					Sold	08/15/12	K	A	
158. -Eq/Equity 500 Index					Sold	08/15/12	K	A	
159. -EQ Mid Cap Value PLUS					Sold	08/15/12	J	A	
160. -Eq/BlackRock Bsic Value Equity					Sold	08/15/12	K	A	
161. -Eq/Black Rock International Value					Sold	08/15/12	J	A	
162. -EQ/Large Cap Growth Plus					Sold	08/15/12	J	A	
163. Series EE U.S. Savings Bonds	A	Interest	J	T					
164. City National Bank Preferred Ladder Money Market	A	Interest	L	T	Open	01/01/12	L		
165. ING Flexible Premium Adjustable Universal Life Insurance		None	L	T	Buy	09/05/12	M		

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000	C=\$2,501 - \$5,000 I11=\$1,000,001 - \$5,000,000	D=\$5,001 - \$15,000 H2=More than \$5,000,000	E=\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J=\$15,000 or less N=\$250,001 - \$500,000 P3=\$25,000,001 - \$50,000,000	K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000	L=\$50,001 - \$100,000 P1=\$1,000,001 - \$5,000,000 P4=More than \$5,000,000	M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q=Appraisal U=Book Value	R=Cost (Real Estate Only) V=Other	S=Assessment W=Estimated	T=Cash Market	

**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting

**Ikuta, Sandra S.**

Date of Report

07/23/2013

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

Part VI, Linex 2 &3: I have reported a value code for the entire mortgage amount, although I have only a 50% ownership interest.

Part VI, Line 4: I have reported a value code for the entire mortgage amount, although I have only a 33% ownership interest

Part VII, Line 1: Cash equivalent account is under \$5,000.

Part VII, Line 3: I have calculated the income during the reporting period and the gross value at the end of the reporting period based on my percentage interest in the properties.

Part VII, Line 155: closed per lines 156-162.

Part VII, Line 164, City National Bank Preferred Ladder Money Market was opened 11/11, omitted by accident on prior report.

**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting	Date of Report
Ikuta, Sandra S.	07/23/2013

**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Sandra S. Ikuta**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544