AO 10
Rev. 1/2013

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) BARKER, SARAH E.	**2. Court or Organization** US District Court Indiana Southern District	**3. Date of Report** 05/13/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) ACTIVE ARTICLE III	**5a. Report Type (check appropriate type)** ☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	**6. Reporting Period** 01/01/2012 to 12/31/2012
7. Chambers or Office Address 46 EAST OHIO STREET, ROOM 210, INDIANAPOLIS, IN 46204		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Director 2001-2013	Federal Judges Association
2.	Member, Board of Advisors, 1989-2013	Indiana University--Purdue University at Indianapolis
3.	Director 1996-2013	IU Health (nonprofit). Formerly Methodist Health Group; formerly Clarian Health Partners.
4.	Director 2010-2013	Spencer Williams Foundation
5.	Trustee, Board of Trustees 2003-2013	Indiana Historical Society
6.	Member, Board of Advisors 2005-2013	Spirit and Place, Community Festival
7.	Commissioner, Indiana Bicentennial Commission, 2011-2013	State of Indiana, Office of the Lt. Governor

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
BARKER, SARAH E.	05/13/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2012	IU Health Morgan Hospital -- Nonemployee compensation
2.		
3.		
4.		

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Federal Judges Association	May 8-10, 2012	Washington, DC	Executive Comm/Board mtg	Air, lodging, and meals
2.	American Bar Association	August 5-6, 2012	Chicago, IL	Seminar	Mileage, lodging, and meals
3.					
4.					
5.					

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BARKER, SARAH E.	05/13/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.	Larry Rudolech	Two paintings gifted by artist in connection with other purchases	$1,000.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
BARKER, SARAH E.	05/13/2013

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	Indianapolis Post Office Credit Union, Account	B	Interest	L	T					
2.	JP Morgan Chase Bank	A	Interest	K	T					
3.	EuroPacific Growth Fund, American Funds (Mut Fund) (IRA #1)	A	Dividend			Sold	11/09/12	K		See note at Part VIII.
4.	BlackRock Global Allocation Fund (Mutual Fund)(IRA #1)	B	Dividend	L	T	Sold (part)	11/09/12	J		See note at Part VIII.
5.						Sold (part)	11/09/12	J		See note at Part VIII.
6.	FIA Card Services NA RASP (IRA #1, cash eq)	A	Interest	J	T					See note at Part VIII.
7.	CISCO Systems, Inc., Common Stock	A	Dividend	J	T					
8.	JP Morgan Chase Bank	A	Interest	K	T					
9.	Hartford Whole Life		None	J	T					
10.	Franklin Templeton Founding Funds (FFACX) (Mutual Fund)	A	Dividend			Sold	2/14/12	K		
11.	General Electric Common Stock	A	Dividend	J	T					
12.	Ivy Global Natural Resources A (Mutual Fund) (IRA #2)	A	Dividend	J	T					
13.	Ivy International Core Equity A (Mutual Fund) (IRA #2)	A	Dividend	K	T					
14.	Waddell & Reed Advisors Core Inv A (Mut Fund) (IRA #2)	B	Dividend	K	T					
15.	Waddell & Reed Advisors Science/ Technology A (MF)(IRA #2)	A	Dividend	K	T					
16.	Waddell & Reed Advisors High Income A (MutualFund) (IRA #2)	B	Dividend	K	T					
17.	Ivy Real Estate Securities A (Mutual Fund) (IRA #2)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT Page 5 of 7	Name of Person Reporting BARKER, SARAH E.	Date of Report 05/13/2013

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18. Waddell & Reed Advisors Small Cap A (Mutual Fund) (IRA #2)	A	Dividend	K	T					
19. Waddell & Reed Advisors Asset Strategy A (Mut Fund) (IRA#2)	A	Dividend	K	T					
20. Waddell & Reed Advisors New Concepts A (Mut Fund) (IRA #2)	A	Dividend	K	T					
21. Ivy Limited-Term Bond A (Mutual Fund) (IRA #2)	A	Dividend	K	T					
22. American Balanced Fund CL A (Mutual Fund)(IRA#1)	A	Dividend	K	T	Buy	11/09/12	K		
23. BlackRock Low Duration Bond Fund CL A (Mutual Fund)(IRA#1)	A	Dividend	J	T	Buy	11/09/12	J		
24. BlackRock Large Cap Core Fund A (Mutual Fund)(IRA#1)	A	Dividend	J	T	Buy	11/09/12	J		
25. Franklin Templeton Balanced Fund (FBMCX)(Mutual Fund)	B	Dividend	K	T	Buy	2/14/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Investments and Trusts (3): This was an "exchange sale" within the American Fund family, with the corresponding "exchange buy" (of American Balanced Fund Inc CL A) reported at Line 22. Such an exchange sale "constitutes a redemption" according to the trade documents, and no reportable capital gains were generated or calculated as a result.

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Part VII, Investments and Trusts (4): These two partial sales each involved an "exchange sale" which "constitutes a redemption" within the BlackRock Fund family, with no reportable capital gains generated or calculated as a result. The two corresponding exchange buys are reported at Lines 23 (BlackRock Low Duration Bond CLA) and 24 (BlackRock Large Cap Core Fund A).

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Part VII, Investments and Trusts (6): "FIA Card Services NA RASP" involves an aggregated and occasionally reportable,temporary accumulation of IRA cash held as reserves and/or swept into deposit accounts in Merrill Lynch-allied institutions. This cash flow phenomenon occurs to varying degrees within IRA account #1prior to dividends, etc., being automatically reinvested in the underlying holdings, which themselves produced the dividends. Such underlying holdings are disclosed fully elsewhere in Section VII.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ SARAH E. BARKER

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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