AO 10
Rev. 1/2013

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2012

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Varlan, Thomas A.	U.S. District Court, E. Tenn.	04/29/2013

4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	5a. Report Type (check appropriate type)	6. Reporting Period
U. S. District Judge - Active	☐ Nomination Date ___ ☐ Initial ☑ Annual ☐ Final 5b. ☐ Amended Report	01/01/2012 to 12/31/2012

7. Chambers or Office Address

U. S. District Court
800 Market Street
Knoxville, TN 37902

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Advisory Board	University of Tennessee, Department of Political Science
2.	Director	Bearden High School Foundation
3.	Successor Trustee	[redacted] Revocable Living Trust
4.	Member	Judicial Conference of the United States
5.	Associate Board	University of Tennessee, College of Arts and Sciences
6.	Chair, Parent Committee	Boy Scout Troop 146

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Varlan, Thomas A.	04/29/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

Name of Person Reporting	Date of Report
Varlan, Thomas A.	04/29/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Varlan, Thomas A.	04/29/2013

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1.	Regions Bank Accounts (formerly AmSouth)	B	Interest	K	T					
2.	Coca Cola Common Stock	B	Dividend	L	T					
3.	BB&T Common Stock	A	Dividend	K	T	Sold (part)	09/13/12	J	A	
4.	Putnam Absolute Return 100 Cl A	A	Dividend	J	T	Buy	12/24/12	J		
5.	U. S. Savings Bond	A	Interest	J	T					
6.	Tennessee's BEST Prepaid Tuition Accounts	A	Interest	K	T					
7.	Strategic Portfolio Service Advantage IRA - 1	B	Dividend	K	T					
8.	- Ameriprise Insured Money Market	A	Interest	J	T					
9.	- Fidelity Adv. Strategy Income	A	Dividend	J	T	Sold (part)	10/31/12	J	A	
10.	- UMB Scout Stock	A	Dividend			Sold	01/11/12	J	A	
11.	- Fideltiy Advisor New Insights	A	Dividend	J	T					
12.	- AM Century Equity Income	A	Dividend			Sold	10/19/12	K	B	
13.	- Fidelity Advisors Float Rt	A	Dividend	J	T					
14.	- Franklin Mutual Discovery	A	Dividend			Sold	01/11/12	J	A	
15.	- American Century Heritage	A	Dividend			Sold	04/29/12	K	A	
16.	- Mutual Global Discovery Cl A	A	Dividend	J	T	Buy	11/01/12	J		
17.	- Prudential Total Return Bond Cl A	A	Dividend	J	T	Buy	11/01/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Varlan, Thomas A.	04/29/2013

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.	Strategic Advantage Service Portfolio IRA - 2	A	Dividend	K	T					
19.	- Ameriprise Insured Money Market	A	Interest	J	T					
20.	- Franklin Mutual Discovery	A	Dividend			Sold	05/29/12	J	A	
21.	- UMB Scout Stock	A	Dividend			Sold	04/12/12	J	A	
22.	- Fidelity Advisors Strategy Income	A	Dividend	J	T					
23.	- American Century Equity Income	A	Dividend	J	T					
24.	- Fidelity Advisors Float Rt.	A	Dividend	J	T					
25.	- Fidelity Advisors New Insights	A	Dividend	J	T					
26.	- American Century Heritage	A	Dividend	J	T					
27.	- Putnam Absolute Return 100	A	Dividend			Sold	05/29/12	J	A	
28.	- JP Morgan Large Cap Growth Cl A	A	Dividend	J	T	Buy	04/13/12	J		
29.	- Mutual Global Discovery Cl A	A	Dividend	J	T	Buy	04/13/12	J		
30.	- Prudential Total Return Bond Cl A	A	Dividend	J	T	Buy	04/13/12	J		
31.	[redacted] Revocable Living Trust (X) (See Part VIII)	B	Rent	M	W					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Line 31 - [redacted] house - Knoxville, Tennessee.

Name of Person Reporting	Date of Report
Varlan, Thomas A.	04/29/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Thomas A. Varlan

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544