AO 10
Rev. 1/2013

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2012

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Russell, Thomas B.	United States District Court -	05/15/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Article III - Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final 5b. ☐ Amended Report	01/01/2012 to 12/31/2012
7. Chambers or Office Address		
501 Broadway, Federal Building Paducah, KY 42001		

***IMPORTANT NOTES:** The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Partner	First Investors of Paducah (Investment Club) See additional information
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Russell, Thomas B.	05/15/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

Name of Person Reporting	Date of Report
Russell, Thomas B.	05/15/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Russell, Thomas B.	05/15/2013

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Checking Account - Paducah Bank	A	Interest	J	T					
2. Checking Account - Regions Bank	A	Interest	J	T					
3. IRA, UBS(See Sec. VIII)	A	Dividend	L	T					
4. AmerFDS Cap. World Gr. Inc.									
5. American Mutual Funds									
6. Amer. Funds Investments Co. of America									
7. Amer. Funds, Washington Mutual Investors									
8. IRA, UBS (See Sec VIII)	A	Dividend	K	T					
9. AmerFDS Capital World Growth									
10. Amer. Funds, Investment Co. of America									
11. Savings Acct. Regions Bank	A	Interest	L	T					
12. Common Stock, Regions Bank	A	Dividend	J	T					
13. IRA -Regions Bank (See Sec. VIII)	A	Div/Int	N	T					
14. U.S. Treas. SEC Strip									
15. American Europacific GRTH-F2									
16. Pimco Total Return (Mutual Fund)									
17. Pimco Commodity Realreturn (Mutual Fund)									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting	Date of Report
Russell, Thomas B.	05/15/2013

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Templeton Global Bond Fund									
19. FannieMae DTD									
20. Federal Home Loan Bank									
21. Managers Cadence Cap					Sold	2012	J		
22. American Century Equity Income - Mutual Fund									
23. Federated Kaufmann CL - Mutual Fund					Sold	2012	J		
24. Investco Developing Markets FD CL					Buy	2012			
25. Pioneer Fundamental Value Fund					Buy	2012			
26. First Investors of Paducah (See Sec. VIII)	A	Dividend	L	T					
27. US Banc (common)									
28. IBM (common)									
29. Abbott Lab (common)									
30. Cisco Sys (common)									
31. Intel (common)									
32. Schlumberge (common)									
33. Simon P (common)									
34. Anadarko (common)									

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35. Loews (common)									
36. Fastenal (common)									
37. Microsoft (common)									
38. Coke (common)									
39. Johnson (common)									
40. Minnesota (3M) (common)									
41. BB & T Corp. (common)					Sold	08/16/12	J	A	
42. Proctor (common)									
43. CSI (common)									
44. Exon Mobil (common)									
45. Oracle (common)									
46. Walgreen (common)									
47. B.P. PLC (common)									
48. Texas Inds (common)					Sold	12/29/11	J	A	
49. Apple (common)									
50. Kaucx (common)					Sold	08/16/12	J	A	
51. Ishares (IWM)(common)									

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52. SLF					Sold	08/16/12	J	A	
53. Portfolio PRAA					Sold	11/09/12	J	A	
54. SPDRSDY					Sold	10/31/12	J	A	
55. Citigroup					Sold	10/31/12	J	A	
56. Trinity									
57. Dell					Sold	06/06/12	J	A	
58. Seadrill (previously mislabled as Scandrill)									
59. Wells Fargo									
60. Gilead									
61. China Mobile					Sold	10/31/12	J	A	
62. Fluor									
63. National (NOV)									
64. US Steel (error)					Sold	09/27/10	J	A	
65. Clean									
66. Am Semi (AMSC)					Sold	10/31/12	J	A	
67. Cresud					Sold	10/31/12	J	A	
68. Plexus									

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69. Ashland (ASH)									
70. Tata (TTM)									
71. Yum (YUM)									
72. Vanguard (VWO)									
73. Vanguard (VEA)									
74. Toyota (TM)									
75. Ford (F)					Sold	06/06/12	J	A	
76. Tesoro (TSO)									
77. Sturm(RGR)					Sold	12/17/12	J	A	
78. AT&T					Sold	08/16/12	J	A	
79. Kraft									
80. UTX									
81. Healthcare HCN									
82. SDY					Sold	10/31/12	J	A	
83. Fifth Third Security (CD)					Sold	2012	J	A	
84. Barclays					Buy	08/20/12			
85. Dollar General					Buy	10/31/12			

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
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86. Baker BHI					Buy	03/29/12			
87. Becton BDX					Buy	03/29/12			
88. Mkt Vect NLR					Buy	03/29/12			
89. Royal Dutch RDS'B					Buy	03/29/12			
90. Spectra SE					Buy	03/29/12			
91. Medtronic MDT					Buy	06/06/12			
92. Mondelez MDL					Spinoff (from line 79)	2012			

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3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

I. POSITIONS

In October of 1996, I became a member of an investment club known as First Investors of Paducah, which is organized as a partnership. There are 15 partners divided into 7 teams. Each team invests their portion. I only have control over the investment of my team. I do have a 1/15 interest in all investments.

VII. INVESTMENTS AND TRUSTS

(No. 3 - 7) IRA- UBS. The total income from dividends and interest for the account was $15,000 or less.

(No. 8 -10) IRA - UBS. [redacted] The total income from dividends and interest in the account was $15,000 or less.

(No. 13 - 25) IRA - Regions Bank. The total income from dividends and interest for the account was $15,000 or less.

I have an IRA with Regions Bank and UBS. Other than making an allocation choice between bonds, stocks, money markets, etc., I exercise no other control over the IRA account. I am not consulted nor do I give advice on the individual investments.

(No. 26-92) First Investors of Paducah is an investment club organized as a partnership. There are 15 partners divided into 7 teams. Each team makes an independent investment of their portion. I have no control over the investments of the other 6 teams. I do have a 1/15 interest in all of the investments. Items 26 thru 94 represent the stock investments of the club. Line 26 reflects the value for my 1/15 interest in the stock and mutual funds owned by the partnership.

Name of Person Reporting	Date of Report
Russell, Thomas B.	05/15/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Thomas B. Russell

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544