

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2012**

*Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)*

<b>1. Person Reporting (last name, first, middle initial)</b> Hardiman, Thomas M.	<b>2. Court or Organization</b> U. S. Court of Appeals	<b>3. Date of Report</b> 05/14/2013
<b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b> U.S. Circuit Judge - Active	<b>5a. Report Type (check appropriate type)</b> <input type="checkbox"/> Nomination <input type="checkbox"/> Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final	<b>6. Reporting Period</b> 01/01/2012 to 12/31/2012
	<b>5b.</b> <input type="checkbox"/> Amended Report	
<b>7. Chambers or Office Address</b> 2270 United States Courthouse 700 Grant Street Pittsburgh, PA 15219		

**IMPORTANT NOTES:** *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.*

**I. POSITIONS.** *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director	Big Brothers Big Sisters of Greater Pittsburgh, Inc.
2. Trustee	St. Edmund's Academy
3. Trustee	Richard A. Zappala Family Foundation
4. Lecturer in Law (pro bono)	Duquesne Law School
5.	

**II. AGREEMENTS.** *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

**FINANCIAL DISCLOSURE REPORT**

Page 2 of 24

Name of Person Reporting

Hardiman, Thomas M.

Date of Report

05/14/2013

**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

**A. Filer's Non-Investment Income**

NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.			
2.			
3.			
4.			

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	2012	The First City Company
2.		
3.		
4.		

**IV. REIMBURSEMENTS** -- *transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*

NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Duquesne University School of Law	July 7-15, 2012	Italy	Law Lectures	Transportation, food, and lodging
2.					
3.					
4.					
5.					

**FINANCIAL DISCLOSURE REPORT**

Page 3 of 24

Name of Person Reporting

Hardiman, Thomas M.

Date of Report

05/14/2013

**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Academy of Trial Lawyers of Allegheny County	Honorary Membership	\$600.00
2.			
3.			
4.			
5.			

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

# FINANCIAL DISCLOSURE REPORT

Page 4 of 24

<b>Name of Person Reporting</b> <b>Hardiman, Thomas M.</b>	<b>Date of Report</b> 05/14/2013
---	-------------------------------------

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period			D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)	
1. PNC Checking Account	A	Interest	K	T						
2. Capital One Savings Acct.	B	Interest	M	T						
3. PNC Money Market Acct.	A	Interest	N	T						
4. Vanguard Prmpcp Mut. Fund (MF)	A	Dividend	K	T						
5. Fulton Financial Stk (CS)	A	Dividend	J	T						
6. WELLS FARGO EQUITY ACCOUNT										
7. Wells Fargo MM	A	Interest	M	T						
8. Altisource Asset Management		None	J	T	Spinoff (from line 9)	12/26/12	J			
9. Altisource Portfolio Solutions CS		None	K	T						
10. Altisource Portfolio Solutions		None	J	T	Sold (part)	01/26/12	J	C		
11. Altisource Portfolio Solutions		None	J	T	Sold (part)	06/19/12	J	D		
12. Altisource Portfolio Solutions		None	J	T	Sold (part)	10/03/12	J	C		
13. Altisource Resident - Class B		None	J	T	Spinoff (from line 9)	12/26/12	J			
14. AON Corp	A	Dividend			Sold	08/07/12	K	C		
15. Artio Global Investors	A	Dividend			Sold	08/31/12	J	A		
16. AIG Group		None	K	T	Buy	03/27/12	K			
17. AIG Group		None	J	T	Buy	05/07/12	J			

1. Income Gain Codes: (See Columns BI and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

# FINANCIAL DISCLOSURE REPORT

Page 5 of 24

Name of Person Reporting

Hardiman, Thomas M.

Date of Report

05/14/2013

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period			D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)	
	18. AIG Group		None	J	T	Buy	09/11/12	J		
19. Apple	A	Dividend	K	T	Buy	11/14/12	K			
20. Bank New York Mellon CS	A	Dividend	K	T	Buy	01/27/12	K			
21. Barrick Gold Corp CS	A	Dividend	K	T						
22. Berkshire Hathaway		None	L	T	Buy	03/06/12	J			
23. Broadridge Financial Solutions	A	Dividend			Sold	07/18/12	J	A		
24. Chemtura		None	L	T	Buy	05/09/12	J			
25. Chevron	A	Dividend			Sold	01/06/12	K	D		
26. Cisco Systems CS	B	Dividend	K	T	Buy	05/10/12	J			
27. Cisco Systems CS	B	Dividend	K	T	Buy	10/24/12	J			
28. Citigroup	A	Dividend	L	T						
29. Clearwire Corp. CS		None	J	T						
30. Consol Energy	A	Dividend			Sold	08/07/12	J	A		
31. Corning CS	A	Dividend	K	T						
32. Covanta Holding	A	Dividend			Sold	06/29/12	J	A		
33. Direct TV CS		None	K	T	Sold (part)	09/25/12	J	D		
34. Dish Network CS		None	K	T						

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

# FINANCIAL DISCLOSURE REPORT

Page 6 of 24

<b>Name of Person Reporting</b> Hardiman, Thomas M.	<b>Date of Report</b> 05/14/2013
--	-------------------------------------

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period			D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)	
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)	
35. Disney CS	A	Dividend	K	T						
36. Domtar Corp CS	A	Dividend	K	T						
37. Ebay CS		None	K	T	Sold (part)	03/01/12	J	C		
38. Ebay CS		None	K	T	Sold (part)	07/09/12	K	D		
39. Fairchild Semiconductor		None	K	T	Sold (part)	01/19/12	J	C		
40. Fairchild Semiconductor		None	K	T	Sold (part)	04/19/12	J	B		
41. Fairchild Semiconductor		None	K	T	Sold (part)	08/03/12	K	D		
42. Goldman Sachs	A	Dividend	K	T	Buy	05/11/12	K			
43. Google		None	L	T	Buy	01/20/12	K			
44. Google		None	L	T	Buy	04/13/12	J			
45. Health Net		None	K	T	Buy	05/29/12	J			
46. Health Net		None	K	T	Buy	07/25/12	J			
47. Health Net		None	K	T	Buy	08/06/12	J			
48. Health Net		None	K	T	Sold (part)	11/01/12	J	A		
49. JP Morgan Chase CS	B	Dividend	L	T						
50. Knoll Inc CS	A	Dividend			Sold	09/06/12	K	D		
51. Knology CS		None			Sold	02/28/12	K	D		

1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000  
 (See Columns B1 and D4) F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H1 = \$1,000,001 - \$5,000,000 H2 = More than \$5,000,000  
 2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000  
 (See Columns C1 and D3) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000  
 P3 = \$25,000,001 - \$50,000,000 P4 = More than \$50,000,000  
 3. Value Method Codes R = Cost (Real Estate Only) S = Assessment T = Cash Market  
 (See Column C2) U = Book Value V = Other W = Estimated

# FINANCIAL DISCLOSURE REPORT

Page 7 of 24

Name of Person Reporting

Hardiman, Thomas M.

Date of Report

05/14/2013

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A.	B.		C.		D.				
	Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
		Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)
52. Mastercard		A	Dividend	K	T	Sold (part)	05/01/12	J	D	
53. Metropsc Communications			None	K	T	Buy	10/17/12	K		
54. Metropsc Communications			None	J	T	Buy	12/04/12	J		
55. Newscorp		A	Dividend	L	T					
56. PNC Financial CS		A	Dividend			Sold	09/19/12	K	D	
57. Sprint Nextel CS			None			Sold	10/24/12	K	A	
58. Time Warner (new)		A	Dividend	K	T					
59. TRW Automotive Holdings			None	K	T	Buy	05/18/12	J		
60. TRW Automotive Holdings			None	K	T	Buy	10/23/12	J		
61. US Bancorp CS		A	Dividend	K	T					
62. WELLS FARGO BOND ACCOUNT										
63. Wells Fargo MM		A	Interest	J	T					
64. RBS Capital Funding f/k/a ABN Amro Cap VII			None	K	T					
65. American Int'l Grp		B	Interest	K	T					
66. Arch Capital Grp		A	Interest			Sold	05/02/12	J	A	
67. Barclays Bank PLC Pfd. Stk (PF)		B	Dividend	K	T					
68. Deutsche Bank		B	Interest	K	T					

1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000  
 (Sec Columns B1 and D4) F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H1 = \$1,000,001 - \$5,000,000 H2 = More than \$5,000,000  
 2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000  
 (Sec Columns C1 and D3) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000  
 P3 = \$25,000,001 - \$50,000,000 P4 = More than \$50,000,000  
 3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash Market  
 (Sec Column C2) U = Book Value V = Other W = Estimated

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting <b>Hardiman, Thomas M.</b>	Date of Report 05/14/2013
--	------------------------------

**VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)**

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period			D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)	
	69. Duke Energy PF	A	Dividend	J	T					
70. Eaton Vance En. Equity MF	A	Dividend	J	T						
71. Eaton Vance Gr. India MF		None	K	T						
72. Investment Co. MF	A	Dividend	K	T						
73. Renaissance Re Hldgs PF	A	Interest	J	T	Sold (part)	12/28/12	J	A		
74. Spectra Energy Corp PF	A	Dividend	J	T						
75. Washington Mutual Invest. MF	A	Dividend	K	T						
76. Capital World Income MF	A	Dividend	K	T						
77. Europacific Growth MF	A	Dividend	K	T						
78. New Perspective FDA MF	A	Dividend	K	T						
79. Lord Abbett Inv. Trust C class	C	Dividend	M	T						
80. Lord Abbett Inv. Trust Class A	D	Dividend	M	T	Buy	12/27/12	K			
81. 401(k) ACCOUNT #1A										
82. Lg. Value Equity MF (Dodge & Cox)	A	Dividend	J	T						
83. Lg. Value Growth (T. Rowe Price)	A	Dividend	J	T						
84. Mid Cap Value (Perkins Mid Cap Value)	A	Dividend	J	T						
85. International Value II (Dodge & Cox)	A	Dividend	J	T						

1. Income Gain Codes: A=\$1,000 or less B=\$1,001 - \$2,500 C=\$2,501 - \$5,000 D=\$5,001 - \$15,000 E=\$15,001 - \$50,000  
 (See Columns B1 and D4) F=\$50,001 - \$100,000 G=\$100,001 - \$1,000,000 H1=\$1,000,001 - \$5,000,000 H2=More than \$5,000,000  
 2. Value Codes J=\$15,000 or less K=\$15,001 - \$50,000 L=\$50,001 - \$100,000 M=\$100,001 - \$250,000  
 (See Columns C1 and D3) N=\$250,001 - \$500,000 O=\$500,001 - \$1,000,000 P1=\$1,000,001 - \$5,000,000 P2=\$5,000,001 - \$25,000,000  
 P3=\$25,000,001 - \$50,000,000 P4=More than \$50,000,000  
 3. Value Method Codes Q=Appraisal R=Cost (Real Estate Only) S=Assessment T=Cash Market  
 (See Column C2) U=Book Value V=Other W=Estimated



# FINANCIAL DISCLOSURE REPORT

Page 9 of 24

Name of Person Reporting

Hardiman, Thomas M.

Date of Report

05/14/2013

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period			D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)	
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)	
86. International Growth (Jamns Overseas)	A	Dividend	J	T						
87. 401(k) ACCOUNT #2										
88. Merrill Lynch	A	Interest	J	T						
89. LSI (f/k/a Agere Systems) CS		None	J	T						
90. Central Europe & Russia MF	A	Dividend	J	T						
91. Century Link	A	Dividend	J	T	Buy	04/01/12	J			
92. Citigroup CS		None	J	T						
93. Dow Chemical CS	A	Dividend	J	T						
94. General Electric CS	A	Dividend	J	T						
95. Intel CS	A	Dividend	J	T						
96. Merck CS	A	Dividend	J	T						
97. Nektar Therapeutics CS		None	J	T						
98. Panacos Pharm. CS		None	J	T						
99. Pfizer CS	A	Dividend	J	T						
100. Qwest CS	A	Dividend			Merged (with line 91)	04/01/12	J	A		
101. Sirius CS		None	J	T						
102. Wilsons Leather CS		None	J	T						

1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000  
 (See Columns B1 and D4) F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H1 = \$1,000,001 - \$5,000,000 H2 = More than \$5,000,000  
 2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000  
 (See Columns C1 and D3) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000  
 P3 = \$25,000,001 - \$50,000,000 P4 = More than \$50,000,000 P = Assessment  
 3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash Market  
 (See Column C2) U = Book Value V = Other W = Estimated

**FINANCIAL DISCLOSURE REPORT**

<b>Name of Person Reporting</b> Hardiman, Thomas M.	<b>Date of Report</b> 05/14/2013
--	-------------------------------------

**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103. TRUST #1									
104. Wells Fargo Trust Account	A	Interest	K	T					
105. AMCAP MF	A	Dividend	L	T					
106. Capital World Gr. & Income MF	B	Dividend	L	T					
107. Europacific Growth MF	A	Dividend	K	T					
108. Fundamental Inv. MF	A	Distribution	L	T					
109. Growth Fund of America MF	A	Distribution	L	T					
110. Investment Co. of America MF	B	Dividend	L	T					
111. New Perspective MF	A	Dividend	L	T	Buy	03/29/12	J		
112. New Perspective MF	A	Dividend	L	T	Buy	06/01/12	K		
113. Washington Mutual Inv. MF	B	Dividend	L	T					
114. TRUST #2									
115. Wells Fargo Trust Account	A	Interest	K	T					
116. AMCAP MF	A	Dividend	K	T					
117. Capital World Gr. & Income MF	B	Dividend	L	T					
118. Europacific Growth MF	A	Dividend	L	T					
119. Fundamental Inv. MF	A	Dividend	K	T					

- 1. Income Gain Codes:      A = \$1,000 or less      B = \$1,001 - \$2,500      C = \$2,501 - \$5,000      D = \$5,001 - \$15,000      E = \$15,001 - \$50,000
- F = \$50,001 - \$100,000      G = \$100,001 - \$1,000,000      H1 = \$1,000,001 - \$5,000,000      H2 = More than \$5,000,000
- 2. Value Codes              J = \$15,000 or less      K = \$15,001 - \$50,000      L = \$50,001 - \$100,000      M = \$100,001 - \$250,000
- (See Columns C1 and D3)      N = \$250,001 - \$500,000      O = \$500,001 - \$1,000,000      P1 = \$1,000,001 - \$5,000,000      P2 = \$5,000,001 - \$25,000,000
- P3 = \$25,000,001 - \$50,000,000
- 3. Value Method Codes      Q = Appraisal      R = Cost (Real Estate Only)      S = Assessment      T = Cash Market
- (See Column C2)      U = Book Value      V = Other      W = Estimated

# FINANCIAL DISCLOSURE REPORT

Page 11 of 24

Name of Person Reporting

Hardiman, Thomas M.

Date of Report

05/14/2013

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. Growth Fund of America MF	A	Dividend	K	T					
121. Investment Co. of America MF	A	Dividend	K	T					
122. New Perspective MF	A	Dividend	L	T	Buy	03/29/12	K		
123. New Perspective MF	A	Dividend	L	T	Buy	06/01/12	J		
124. Washington Mutual Inv. MF	A	Dividend	K	T					
125. TRUST #3									
126. Wells Fargo Trust Account	A	Interest	K	T					
127. AMCAP MF	A	Dividend	K	T					
128. Capital World Gr. & Income MF	B	Dividend	L	T					
129. Europacific Growth MF	A	Dividend	K	T					
130. Fundamental Inv. MF	A	Dividend	K	T					
131. Growth Fund of America MF	A	Dividend	K	T					
132. Investment Co. of America MF	A	Dividend	K	T					
133. New Perspective MF	A	Dividend	L	T	Buy	03/29/12	K		
134. New Perspective MF	A	Dividend	L	T	Buy	06/01/12	J		
135. Washington Mutual Inv. MF	A	Dividend	K	T					
136. TRUST #4 (██████████ #3)									

1. Income Gain Codes: A = \$1,000 or less  
(See Columns B1 and D4)  
F = \$50,001 - \$100,000  
J = \$15,000 or less  
N = \$250,001 - \$500,000  
P3 = \$25,000,001 - \$50,000,000
2. Value Codes  
(See Columns C1 and D3)  
G = \$1,001 - \$2,500  
K = \$15,001 - \$50,000  
O = \$500,001 - \$1,000,000  
R = Cost (Real Estate Only)  
V = Other
3. Value Method Codes  
(See Column C2)  
Q = Appraisal  
U = Book Value
- C = \$2,501 - \$5,000  
H11 = \$1,000,001 - \$5,000,000  
L = \$50,001 - \$100,000  
P1 = \$1,000,001 - \$5,000,000  
P4 = More than \$50,000,000  
S = Assessment  
W = Estimated
- D = \$5,001 - \$15,000  
H2 = More than \$5,000,000  
M = \$100,001 - \$250,000  
P2 = \$5,000,001 - \$25,000,000  
T = Cash Market
- E = \$15,001 - \$50,000

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting

Hardiman, Thomas M.

Date of Report

05/14/2013

**VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)**

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period			D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)	
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)	
137. Wells Fargo Trust Account	A	Interest	J	T						
138. AMCAP MF	A	Dividend	L	T						
139. Fundamental Inv. MF	B	Dividend	L	T						
140. Growth Fund of America MF	A	Dividend	L	T						
141. Investment Co. of America MF	B	Dividend	L	T						
142. Lord Abbet Inv. Trust	A	Dividend	K	T	Buy	03/02/12	K			
143. New Perspective MF	A	Dividend	L	T						
144. Washington Mutual Inv. MF	B	Dividend	L	T						
145. TRUST #5										
146. Wells Fargo Bank Account	A	Interest	L	T						
147. AMCAP MF	A	Dividend	K	T						
148. Capital Income Builder MF	A	Dividend	K	T						
149. Capital World Gr. & Income MF	A	Dividend	K	T						
150. Eaton Vance Gr. India MF		None	K	T						
151. INTL Growth & Income MF	A	Dividend	K	T						
152. Fundamental INVS Inc. MF	A	Dividend	K	T						
153. Growth Fund of America MF	A	Dividend	K	T						

1. Income Gain Codes: A=\$1,000 or less B=\$1,001 - \$2,500 C=\$2,501 - \$5,000 D=\$5,001 - \$15,000 E=\$15,001 - \$50,000  
 (See Columns B1 and D4) F=\$50,001 - \$100,000 G=\$100,001 - \$1,000,000 H1=\$1,000,001 - \$5,000,000 H12=More than \$5,000,000
2. Value Codes J=\$15,000 or less K=\$15,001 - \$50,000 L=\$50,001 - \$100,000 M=\$100,001 - \$250,000  
 (See Columns C1 and D3) N=\$250,001 - \$500,000 O=\$500,001 - \$1,000,000 P1=\$1,000,001 - \$5,000,000 P2=\$5,000,001 - \$25,000,000  
 P3=\$25,000,001 - \$50,000,000 P4=More than \$50,000,000
3. Value Method Codes Q=Appraisal R=Cost (Real Estate Only) S=Assessment T=Cash Market  
 (See Column C2) U=Book Value V=Other W=Estimated

# FINANCIAL DISCLOSURE REPORT

Page 13 of 24

Name of Person Reporting <b>Hardiman, Thomas M.</b>	Date of Report 05/14/2013
--	------------------------------

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period			D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)	
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)	
154. Investment Co. of America MF	A	Dividend	K	T						
155. New Perspectives FD Inc. MF	A	Dividend	K	T						
156. Altisource Asset Management		None	J	T	Spinoff (from line 157)	12/26/12	J			
157. Altisource Portfolio CS		None	J	T						
158. Altisource Portfolio Solutions		None	J	T	Sold (part)	01/26/12	J	B		
159. Altisource Portfolio Solutions		None	J	T	Sold (part)	06/19/12	J	B		
160. Altisource Portfolio Solutions		None	J	T	Sold (part)	10/03/12	J	B		
161. Altisource Residential Corp		None	J	T	Spinoff (from line 157)	12/26/12	J			
162. AONCS	A	Dividend			Sold	08/07/12	J	B		
163. Artio Global Investors	A	Dividend			Sold	08/31/12	J	A		
164. AIG Group		None	J	T	Buy	03/27/12	J			
165. AIG Group		None	J	T	Buy	05/07/12	J			
166. AIG Group		None	J	T	Buy	09/12/12	J			
167. Apple Inc.	A	Dividend	J	T	Buy	11/14/12	J			
168. Bank of NY Mellon	A	Dividend	J	T	Buy	01/27/12	J			
169. Barrick Gold CS	A	Dividend	J	T						
170. Berkshire Hathaway		None	K	T						

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	R = Cost (Real Estate Only) V = Other	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value		S = Assessment W = Estimated	T = Cash Market	

# FINANCIAL DISCLOSURE REPORT

Page 14 of 24

Name of Person Reporting

Hardiman, Thomas M.

Date of Report

05/14/2013

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period			D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)	
	171. Broadridge Financial	A	Dividend			Sold	07/18/12	J	A	
172. Chemtura		None	K	T	Buy	07/26/12	J			
173. Chevron	A	Dividend			Sold	01/16/12	J	C		
174. Cisco Systems CS	A	Dividend	J	T	Buy	05/10/12	J			
175. Citigroup	A	Dividend	K	T						
176. Clearwire CS		None	J	T						
177. Consol Energy	A	Dividend			Sold	08/07/12	J	A		
178. Corning	A	Dividend	J	T						
179. Covanta	A	Dividend			Sold	06/29/12	J	A		
180. Direct TV CS		None	J	T						
181. Dish Network CS		None	K	T						
182. Domtar	A	Dividend	K	T						
183. Ebay CS		None	K	T	Sold (part)	04/19/12	J	C		
184. Ebay CS		None	K	T	Sold (part)	07/19/12	J	C		
185. Fairchild Semi		None	K	T	Sold (part)	01/09/12	J	B		
186. Fairchild Semi		None	K	T	Sold (part)	04/19/12	J	A		
187. Fairchild Semi		None	K	T	Sold (part)	08/03/12	J	B		

1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000  
 (See Columns B1 and D4) F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H1 = \$1,000,001 - \$5,000,000 H2 = More than \$5,000,000  
 2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000  
 (See Columns C1 and D3) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000  
 3. Value Method Codes P3 = \$25,000,001 - \$50,000,000 P4 = More than \$50,000,000 Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash Market  
 (See Column C2) U = Book Value V = Other W = Estimated

# FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Hardiman, Thomas M.

Date of Report

05/14/2013

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	188. Goldman Sachs	A	Dividend	K	T	Buy	05/11/12	J	
189. Google		None	K	T	Buy	01/20/12	J		
190. Google		None	K	T	Buy	04/13/12	J		
191. Healthnet		None	J	T	Buy	05/29/12	J		
192. Healthnet		None	J	T	Buy	07/25/12	J		
193. Healthnet		None	J	T	Buy	08/06/12	J		
194. Healthnet		None	J	T	Sold (part)	05/29/12	J	A	
195. JPMorgan Chase CS	A	Dividend	K	T					
196. Knoll Inc. CS	A	Dividend			Sold	09/06/12	J	C	
197. Knology CS		None			Sold	02/28/12	J	D	
198. Mastercard	A	Dividend	K	T	Sold (part)	05/01/12	J	C	
199. Metropcs Comm		None	J	T	Buy	10/17/12	J		
200. Metrepcs Comm		None	J	T	Buy	12/04/12	J		
201. Newscorp	A	Dividend	K	T					
202. PNC Financial CS	A	Dividend			Sold	08/21/12	J	B	
203. PNC Financial CS	A	Dividend			Sold	09/19/12	J	D	
204. Sprint Nextel CS		None			Sold	10/01/12	J	B	

1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000  
 (See Columns B1 and D4) F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H1 = \$1,000,001 - \$5,000,000 H2 = More than \$5,000,000  
 2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000  
 (See Columns C1 and D3) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000  
 P3 = \$25,000,001 - \$50,000,000 P4 = More than \$50,000,000  
 3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash Market  
 (See Column C2) U = Book Value V = Other W = Estimated

# FINANCIAL DISCLOSURE REPORT

Page 16 of 24

Name of Person Reporting

Hardiman, Thomas M.

Date of Report

05/14/2013

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period			D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)	
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)	
205. Sprint Nextel CS		None			Sold	10/24/12	J	C		
206. Time Warner, Inc. New	A	Dividend	J	T						
207. TRW Automotive Holdings		None	J	T	Buy	05/18/12	J			
208. TRW Automotive Holdings		None	J	T	Buy	10/23/12	J			
209. US Bancorp CS	A	Dividend	K	T						
210. TRUST #6										
211. Wells Fargo Trust Account	A	Interest	L	T						
212. AMCAP MF	A	Dividend	K	T						
213. Capital Income Builder MF	A	Dividend	K	T						
214. Capital World Gr. & Income MF	A	Dividend	K	T						
215. Eaton Vance Gr. India MF		None	K	T						
216. INTL Growth & Income MF	A	Dividend	K	T						
217. Fundamental INVS Inc. MF	A	Dividend	K	T						
218. Growth Fund of America MF	A	Dividend	K	T						
219. Investment Co. of America MF	A	Dividend	K	T						
220. New Perspectives FD Inc. MF	A	Dividend	K	T						
221. Altisource Asset Management		None	J	T	Spinoff (from line 222)	12/26/12	J			

1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000  
 (See Columns B1 and D4) F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H1 = \$1,000,001 - \$5,000,000 H2 = More than \$5,000,000  
 2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000  
 (See Columns C1 and D3) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000  
 3. Value Method Codes P3 = \$25,000,001 - \$50,000,000 R = Cost (Real Estate Only) S = Assessment T = Cash Market  
 (See Column C2) U = Book Value V = Other W = Estimated



# FINANCIAL DISCLOSURE REPORT

Page 17 of 24

Name of Person Reporting <b>Hardiman, Thomas M.</b>	Date of Report 05/14/2013
--	------------------------------

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period			D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)	
	222. Altisource Portfolio CS		None	J	T					
223. Altisource Portfolio Solutions		None	J	T	Sold (part)	01/26/12	J	B		
224. Altisource Portfolio Solutions		None	J	T	Sold (part)	06/19/12	J	B		
225. Altisource Portfolio Solutions		None	J	T	Sold (part)	10/03/12	J	B		
226. Altisource Residential Corp		None	J	T	Spinoff (from line 222)	12/26/12	J			
227. AON Corp	A	Dividend			Sold	08/07/12	J	B		
228. Apple Inc.	A	Dividend	J	T	Buy	11/14/12	J			
229. Artio Global Investors	A	Dividend			Sold	08/31/12	J	A		
230. AIG Group		None	J	T	Buy	03/27/12	J			
231. AIG Group		None	J	T	Buy	05/07/12	J			
232. AIG Group		None	J	T	Buy	09/12/12	J			
233. Bank of New York Mellon	A	Dividend	J	T	Buy	01/27/12	J			
234. Barrick Gold CS	A	Dividend	J	T						
235. Berkshire Hathaway		None	K	T						
236. Broadridge Financial	A	Dividend			Sold	07/18/12	J	A		
237. Chemtura		None	K	T	Buy	07/26/12	J			
238. Chevron	A	Dividend			Sold	01/06/12	J	C		

1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000  
 (See Columns B1 and D4) F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H1 = \$1,000,001 - \$5,000,000 H2 = More than \$5,000,000  
 2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000  
 (See Columns C1 and D3) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000  
 P3 = \$25,000,001 - \$50,000,000 P4 = More than \$50,000,000  
 3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash Market  
 (See Column C2) U = Book Value V = Other W = Estimated

# FINANCIAL DISCLOSURE REPORT

Page 18 of 24

Name of Person Reporting

Hardiman, Thomas M.

Date of Report

05/14/2013

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period			D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)	
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)	
239. Cisco Systems CS	A	Dividend	J	T	Buy	05/10/12	J			
240. Clearwire CS		None	J	T						
241. Citigroup	A	Dividend	K	T						
242. Consol Energy	A	Dividend			Sold	08/07/12	J	A		
243. Corning	A	Dividend	J	T						
244. Covanta	A	Dividend			Sold	06/29/12	J	A		
245. DirectTV CS		None	J	T						
246. Dish Network CS		None	K	T						
247. Dotmar	A	Dividend	K	T						
248. Ebay CS		None	K	T	Sold (part)	04/19/12	J	C		
249. Ebay CS		None	K	T	Sold (part)	07/19/12	J	C		
250. Fairchild Semi		None	K	T	Sold (part)	01/19/12	J	B		
251. Fairchild Semi		None	K	T	Sold (part)	04/19/12	J	A		
252. Fairchild Semi		None	K	T	Sold (part)	08/03/12	J	B		
253. Goldman Sachs	A	Dividend	K	T	Buy	05/11/12	J			
254. Google		None	K	T	Buy	01/20/12	J			
255. Google		None	K	T	Buy	04/13/12	J			

1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000  
 (See Columns B1 and D4) F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H1 = \$1,000,001 - \$5,000,000 I12 = More than \$5,000,000  
 2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000  
 (See Columns C1 and D3) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000  
 3. Value Method Codes P3 = \$25,000,001 - \$50,000,000 P4 = More than \$50,000,000 Q = Appraisal R = Cost (Refil Estate Only) S = Assessment T = Cash Market  
 (See Column C2) U = Book Value V = Other W = Estimated

# FINANCIAL DISCLOSURE REPORT

Page 19 of 24

Name of Person Reporting <b>Hardiman, Thomas M.</b>	Date of Report 05/14/2013
--	------------------------------

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code I (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
256. JPMorgan Chase CS	A	Dividend	K	T					
257. Knoll Inc. CS	A	Dividend			Sold	09/06/12	J	C	
258. Knology CS		None			Sold	02/28/12	J	D	
259. Mastercard	A	Dividend	K	T	Sold (part)	05/01/12	J	C	
260. Metro PCS		None	J	T	Buy	10/17/12	J		
261. Metro PCS		None	J	T	Buy	12/04/12	J		
262. News Corp	A	Dividend	K	T					
263. PNC Financial CS	A	Dividend			Sold	08/21/12	J	B	
264. PNC Financial CS	A	Dividend			Sold	09/19/12	J	D	
265. SprintNextel CS		None			Sold	10/01/12	J	B	
266. Sprint Nextel CS		None			Sold	10/24/12	J	C	
267. Time Warner New	A	Dividend	J	T					
268. TRW Automotive		None	J	T	Buy	05/18/12	J		
269. TRW Automotive		None	J	T	Buy	10/23/12	J		
270. US Bancorp CS	A	Dividend	K	T					
271. REAL ESTATE PARTNERSHIP									
272. West Moon Twp. Assoc.	E	Distribution	M	U					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C 1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment	T = Cash Market	W = Estimated

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting <b>Hardiman, Thomas M.</b>	Date of Report 05/14/2013
--	------------------------------

**VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)**

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period			D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Identity of buyer/seller (if private transaction)	
	273. Bensalem Realty Assoc.	G	Distribution	N	U					
274. FC Donegal Assoc.	G	Distribution	O	U						
275. First City GA Partners	F	Distribution	N	U						
276. McIntyre Square Assoc.	D	Distribution	L	U						
277. SCHWAB ACCOUNT										
278. Abington PA School Dist.	B	Interest	K	T						
279. Agilent Technologies	A	Dividend	J	T	Buy	04/26/12	J			
280. AmerisourceBergen Corp CS	A	Dividend	J	T						
281. Apple	A	Dividend	J	T	Buy	11/18/12	J			
282. Automatic Data Proc. CS	A	Dividend	J	T						
283. BCE New	A	Dividend	K	T						
284. Bard CR Inc. CS	A	Dividend	K	T						
285. Becton Dickinson CS	A	Dividend	J	T						
286. Bentworth School Dist. BD	A	Interest			Sold	03/15/12	K	A		
287. Butler Twp. BD	A	Interest	K	T						
288. Central Bucks Pa BD	A	Interest			Sold	05/15/12	K	A		
289. Colgate Palmolive CS	A	Dividend	J	T						

1. Income Gain Codes: A = \$1,000 or less; B = \$1,001 - \$2,500; C = \$2,501 - \$5,000; D = \$5,001 - \$15,000; E = \$15,001 - \$50,000  
 (See Columns B1 and D4) F = \$50,001 - \$100,000; G = \$100,001 - \$1,000,000; H1 = \$1,000,001 - \$5,000,000; H2 = More than \$5,000,000  
 2. Value Codes: J = \$15,000 or less; K = \$15,001 - \$50,000; L = \$50,001 - \$100,000; M = \$100,001 - \$250,000  
 (See Columns C1 and D3) N = \$250,001 - \$500,000; O = \$500,001 - \$1,000,000; P1 = \$1,000,001 - \$5,000,000; P2 = \$5,000,001 - \$25,000,000  
 3. Value Method Codes: P3 = \$25,000,001 - \$50,000,000; P4 = More than \$50,000,000; S = Assessment; T = Cash Market  
 (See Column C2) Q = Appraisal; R = Cost (Real Estate Only); V = Other; W = Estimated  
 U = Book Value

# FINANCIAL DISCLOSURE REPORT

Page 21 of 24

Name of Person Reporting <b>Hardiman, Thomas M.</b>	Date of Report 05/14/2013
--	------------------------------

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
290. Conoco Phillips CS	A	Dividend	K	T	Buy	09/28/12	J		
291. Conoco Phillips CS	A	Dividend	K	T	Spinoff (from line 290)	06/06/12	J		
292. Eaton Corp	A	Dividend	J	T	Buy	12/03/12	J		
293. Emerson Elec. CS	A	Dividend	K	T					
294. Exxon Mobil CS	A	Dividend	J	T					
295. General Electric CS	A	Dividend			Sold	01/19/12	J	A	
296. IBM CS	A	Dividend	J	T					
297. Johnson & Johnson CS	A	Dividend	K	T	Buy	09/28/12	J		
298. JP Morgan Chase CS	A	Dividend	J	T					
299. Lowes	A	Dividend	K	T	Buy	05/23/12	J		
300. Mastercard	A	Dividend	K	T	Sold (part)	03/14/12	J		
301. Microsoft	A	Dividend	J	T	Buy	09/28/12	J		
302. Monroeville PA Mun.	A	Interest	K	T					
303. Mount Lebanon PA	B	Interest	K	T					
304. Octorara PA Area	B	Interest	K	T					
305. Pepsico CS	A	Dividend	J	T					
306. Pfizer	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting <b>Hardiman, Thomas M.</b>	Date of Report 05/14/2013
--	------------------------------

**VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)**

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period			D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)	
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)	
307. PNC Financial CS	A	Dividend	J	T	Buy	01/30/12	J			
308. Praxair CS	A	Dividend	J	T						
309. Republic Services CS	A	Dividend	J	T	Buy	01/30/12	J			
310. Rock-Tenn Co CUA	A	Dividend	K	T	Buy	04/25/12	J			
311. Schlumberger CS	A	Dividend	J	T	Buy	01/30/12	J			
312. Symantec Corp		None	J	T						
313. Schwab MM	A	Interest	L	T						
314. Synopsys		None	J	T						
315. Sysco Corp. CS	A	Dividend	J	T	Buy	01/30/12	J			
316. Sysco Corp	A	Dividend	J	T	Buy	05/30/12	J			
317. Telefonica Span ADR		None			Sold	04/25/12	J	A		
318. Unilever CS	A	Dividend	J	T						
319. United Parcel Svc. CS	A	Dividend	J	T						
320. University Area Jt.	A	Interest	K	T						
321. Pennsylvania HSG	A	Interest	K	T	Buy	02/15/12	K			
322. Wal-Mart CS	A	Dividend			Sold	04/25/12	J	A		
323. Eaton Corp CS Exchange/New Basis	A	Dividend			Sold	12/03/12	J	A		

- 1. Income Gain Codes:      A = \$1,000 or less      B = \$1,001 - \$2,500      C = \$2,501 - \$5,000      D = \$5,001 - \$15,000      E = \$15,001 - \$50,000
- F = \$50,001 - \$100,000      G = \$100,001 - \$1,000,000      H1 = \$1,000,001 - \$5,000,000      I12 = More than \$5,000,000
- 2. Value Codes              J = \$15,000 or less      K = \$15,001 - \$50,000      L = \$50,001 - \$100,000      M = \$100,001 - \$250,000
- N = \$250,001 - \$500,000      O = \$500,001 - \$1,000,000      P1 = \$1,000,001 - \$5,000,000      P2 = \$5,000,001 - \$25,000,000
- P3 = \$25,000,001 - \$50,000,000      P4 = More than \$50,000,000
- 3. Value Method Codes      Q = Appraisal      R = Cost (Real Estate Only)      S = Assessment      T = Cash Market
- U = Book Value      V = Other      W = Estimated

**FINANCIAL DISCLOSURE REPORT**

Page 23 of 24

Name of Person Reporting	Date of Report
Hardiman, Thomas M.	05/14/2013

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

**FINANCIAL DISCLOSURE REPORT**

Page 24 of 24

Name of Person Reporting	Date of Report
Hardiman, Thomas M.	05/14/2013

**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Thomas M. Hardiman**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure Administrative Office of the United States Courts Suite 2-301 One Columbus Circle, N.E. Washington, D.C. 20544
--