

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2012**

<b>1. Person Reporting (last name, first, middle initial)</b> Griesa, Thomas P.	<b>2. Court or Organization</b> United States District Court	<b>3. Date of Report</b> 05/13/2013
<b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b> United States District Judge	<b>5a. Report Type (check appropriate type)</b> <input type="checkbox"/> Nomination <input type="checkbox"/> Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final	<b>6. Reporting Period</b> 01/01/2012 to 12/31/2012
	<b>5b.</b> <input type="checkbox"/> Amended Report	
<b>7. Chambers or Office Address</b> United States District Court 500 Pearl Street, Room 1630 New York, NY 10007		

**IMPORTANT NOTES:** *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.*

**I. POSITIONS.** *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board of Directors	Greater New York Councils Boy Scouts of America
2. Trustee	Trust #1
3.	
4.	
5.	

**II. AGREEMENTS.** *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

**A. Filer's Non-Investment Income**

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

**IV. REIMBURSEMENTS** *-- transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting <b>Griesa, Thomas P.</b>	Date of Report <b>05/13/2013</b>
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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	1. Citibank (Checking Account)	A	Interest	M	T				
2. AT&T (T)	C	Dividend	K	T					
3. Alcatel-Lucent (ALU)		None	J	T					
4. Ameren (AEE)	B	Dividend	K	T					
5. Comcast (CMCSA)	A	Dividend	J	T					
6. Exelon (EXC)	B	Dividend	K	T					
7. Great Plains Energy (GXP)	B	Dividend	K	T					
8. Southern Co. (SO)	D	Dividend	M	T					
9. American Fund Capital Income Builder Fund (CAIBX)	E	Dividend			Sold	12/06/12	O		
10. Western Asset Money Market Fund		None	O	T					
11. Western Asset NY Municipals *	E	Dividend	O	T					
12. American Fund Washington Mutual Investors (AWSHX)	D	Dividend			Sold	12/6/12	M	F	
13. Nationwide Life Annuity		None	P1	T					
14.									
15. IRA [REDACTED]: Bond Fund of America (see note)	A	Interest	K	T	Sold (part)	11/26/12	J	A	
16. IRA [REDACTED]: Bond Fund of America (see note)	A	Interest	K	T	Sold (part)	11/26/12	J	A	
17.									

1. Income Gain Codes: A=\$1,000 or less B=\$1,001 - \$2,500 C=\$2,501 - \$5,000 D=\$5,001 - \$15,000 E=\$15,001 - \$50,000  
 (See Columns B1 and D4) F=\$50,001 - \$100,000 G=\$100,001 - \$1,000,000 H1=\$1,000,001 - \$5,000,000 I12=More than \$5,000,000  
 2. Value Codes J=\$15,000 or less K=\$15,001 - \$50,000 L=\$50,001 - \$100,000 M=\$100,001 - \$250,000  
 (See Columns C1 and D3) N=\$250,001 - \$500,000 O=\$500,001 - \$1,000,000 P1=\$1,000,001 - \$5,000,000 P2=\$5,000,001 - \$25,000,000  
 P3=\$25,000,001 - \$50,000,000 P4=More than \$50,000,000  
 3. Value Method Codes Q=Appraisal R=Cost (Real Estate Only) S=Assessment T=Cash Market  
 (See Column C2) U=Book Value V=Other W=Estimated

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NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	18. NEW YORK NY SUBSER C-1 (██████████)		None	K	T	Buy	12/11/12	K	
19. NEW YORK NY SUBSER I-1 (██████████)		None	K	T	Buy	12/11/12	K		
20. NEW YORK NY SUBSER H-1 (██████████)		None	K	T	Buy	12/11/12	K		
21. NEW YORK ST ENV. FACS CORP (██████████)	A	Interest	K	T	Buy	12/11/12	K		
22. NEW YORK ST ENV. FACS CORP (██████████)	A	Interest	K	T	Buy	12/11/12	K		
23. NEW YORK ST DORM AUTH ST PERS INCOME (██████████)		None	K	T	Buy	12/11/12	K		
24. NEW YORK STTWY AUTH GEN REV SER (██████████)		None	K	T	Buy	12/11/12	K		
25. NEW YORK ST URBAN DEV CORP REV (██████████)		None	L	T	Buy	12/11/12	L		
26. NEW YORK NY RFDG-SER K (██████████)		None	K	T	Buy	12/10/12	K		
27. NEW YORK NY CITY MUN WTR FIN AUTH WTR (██████████)	A	Interest	K	T	Buy	12/10/12	K		
28. NEW YORK NY CITY TRANSITIONAL FIN (██████████)		None	K	T	Buy	12/10/12	K		
29. NEW YORK ST RFDG-SER (██████████)		None	L	T	Buy	12/10/12	L		
30. NEW YORK ST DORM AUTH REVS ST (██████████)		None	L	T	Buy	12/10/12	L		
31. NEW YORK ST TWY AUTH ST PERS INCOME TAX (██████████)		None	K	T	Buy	12/10/12	L		
32. NEW YORK NY SER D (██████████)		None	L	T	Buy	12/10/12	L		
33. NEW YORK NY SUBSER D-1-AMBAC TCRS-BNY (██████████)		None	K	T	Buy	12/10/12	K		
34. FT UNIT 3814 MUNICIPAL INCOME (██████████)	D	Interest	M	T	Buy	12/02/12	M		

1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000  
 (See Columns B1 and D4) F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H1 = \$1,000,001 - \$5,000,000 H2 = More than \$5,000,000  
 2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000  
 (See Columns C1 and D3) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000  
 P3 = \$25,000,001 - \$50,000,000 P4 = More than \$50,000,000  
 3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash Market  
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A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period			D. Transactions during reporting period				
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	35. FT UNIT 3197 MLP CLOSED END FD & ENERGY	C	Interest	L	T					
36. INVESTORS QUALITY TAX EXEMPT TR		None	O	T	Buy	12/07/12	O			
37.										
38. Assets Trust #1	G	Int./Div.	P2	T						
39. -AT&T Inc.					Sold (part)	3/16/12	L	D		
40. -Alcatel-Lucent ADR					Sold	1/18/12	J			
41. -AOL Inc.					Sold	1/18/12	J			
42. -Apache Corp.					Sold (part)	3/16/12	L	E		
43. -CSX Corp.										
44. -Comcast Corp										
45. -DirectTV Group Inc.										
46. -Franklin Resources Inc.										
47. -P H Glatfelter Co.					Sold (part)	1/18/12	L			
48. -Great Plains Energy Inc.										
49. -Hershey										
50. -Host Hotels & Resorts Inc.										
51. -Kansas City Southern Inds										

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 (See Columns B1 and D4) F=\$50,001 - \$100,000 G=\$100,001 - \$1,000,000 H1=\$1,000,001 - \$5,000,000 H2=More than \$5,000,000  
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 3. Value Method Codes P3=\$25,000,001 - \$50,000,000 P4=More than \$50,000,000 Q=Appraisal R=Cost (Real Estate Only) S=Assessment T=Cash Market  
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)	
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)	
52. -Kellogg Co.										
53. -Kimberly Clark Corp.										
54. -Monsanto										
55. -Neenah Paper Inc.										
56. -News Corp. Class A										
57. -Pepco Holdings Inc.										
58. -Pitney Bowes Inc.										
59. -Principal Financial Group Inc.										
60. -Starwood Hotels & Resorts										
61. -Time Warner Inc.										
62. -Time Warner Cable										
63. -US Bancorp										
64. -Union Pacific Corp.					Sold (part)	3/16/12	L	F		
65. -Williams Cos Inc.										
66. -Wisconsin Energy Corp Holding Co.										
67. -Adams Express Co. - Maryland										
68. -Franklin Income Fund Class A					Sold (part)	3/16/12	L			

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.001 - \$500,000.000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)					

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	69.					Sold	12/10/12	O		
70. -Franklin Real Estate Securities FD Class A										
71. -Vanguard Information Technology										
72. -Royal Bank of Scotland										
73. -Barclays Bank Pfd.					Sold	12/10/12	L	C		
74. -HSBC Holdings Pfd.					Sold	12/10/12	L	D		
75. -Prudential Pfd.					Sold	12/10/12	L	C		
76. -Centerpoint Energy										
77. -Microsoft										
78. -DST Systems										
79. -Hewlett Packard					Sold (part)	10/1/12	K			
80. -Intel Corp.										
81. -American Fund Euro Pacific Growth Fund										
82. -Templeton Bric Fund										
83. -Columbia Marsico Fund										
84. -Oppenheimer Developing Mkts. Fund										
85. -Verizon										

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	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86. -Frontier Communication					Sold	1/18/12	J		
87. -JP Morgan Chase									
88. -Kraft Foods									
89. -Johnson & Johnson									
90. -US Treasury Inflation Index Notes									
91. -CitiBank Bank Deposit Program									
92. -US Treasury Bills									
93. -Barclay Bank ETF - Crude Oil					Sold	11/15/12	M		
94. -SPDR Gold Trust									

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- F = \$50,001 - \$100,000      G = \$100,001 - \$1,000,000      H = \$1,000,001 - \$5,000,000      I = More than \$5,000,000
- 2. Value Codes              J = \$15,000 or less      K = \$15,001 - \$50,000      L = \$50,001 - \$100,000      M = \$100,001 - \$250,000
- N = \$250,001 - \$500,000      O = \$500,001 - \$1,000,000      P1 = \$1,000,001 - \$5,000,000      P2 = \$5,000,001 - \$25,000,000
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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

\* Western Asset NY Municipals was erroneously omitted in previous years' disclosure reports. It was acquired in two years, 2009 and 2011. The 2009 acquisition was value code O and the 2011 acquisition was value code N, according to Cash/Market valuation (valuation method T).

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Griesa, Thomas P.	05/13/2013

**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Thomas P. Griesa**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544