AO 10
Rev. 1/2013

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2012

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Martini, William J.	District Court - New Jersey	04/24/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge - Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2012 to 12/31/2012
7. Chambers or Office Address		
50 Walnut Street PO Box 419 Newark, NJ 07101-0419		

***IMPORTANT NOTES:* The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.**

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Trustee	Nicholas Martini Foundation
2.	Trustee	John Cabot University
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Martini, William J.	04/24/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2012	Nicholas Martini Foundation, Trustee	$22,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Federal Judicial Center	July 14-17	San Francisco, CA	National Workshop for Judges	Travel
2.	Federal Judicial Center	October 22-24	West Palm Beach, FL	Multidistrict Litigation Conference	Travel
3.					
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

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Martini, William J.	04/24/2013

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	BROKERAGE ACCOUNT#2									
2.	FEDERATED KAUFMANN FUND-R (FORMALLY FUND-K)	C	Distribution	K	T					
3.	BROKERAGE ACCOUNT #[redacted]									
4.	I SHARES TR MCSI EAFE FD	C	Dividend	L	T					
5.	PIMCO ALL ASSET ALL	B	Dividend	K	T					
6.	POWERSHARES FTSE RAFI US	B	Dividend	L	T					
7.	POWERSHARES EXCH TRAD FD	C	Dividend	M	T					
8.	CHARLES SCHWAB ADV CASH RESERV PREM		None	J	T					
9.	IRA #7[redacted]									
10.	CHARLES SCHWAB ADV CASH RESERV PREM	A	Interest	K	T	Sold (part)	1/17/12	K		
11.						Buy (add'l)	12/18/12	J		
12.						Buy (add'l)	12/28/12	J		
13.	JPMORGANSTRATEGIC INCM	C	Dividend	L	T					
14.	JPMORGAN HIGHBRIDGE DYNAMIC COMMODITIES		None	K	T					
15.	TFS MARKET NEUTRAL FUND		None	K	T					
16.	TEMPLETON GLOBAL BOND	D	Dividend	L	T	Sold (part)	1/17/12	J	A	
17.	LOOMIS SAYLES BOND CL	D	Dividend	M	T	Sold (part)	1/17/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. PIMCO TOTAL RETURN FUND	E	Dividend	N	T	Sold (part)	1/17/12	J	A	
19. THORNBURG LTD TERM	D	Dividend	M	T					
20. RYDEX SGI MGD FUTURES STRATEGY FD		None			Sold	1/17/12	K		
21. DIAMOND HILL LONG SHORT FUND CL A	A	Dividend	K	T	Buy (add'l)	1/17/12	J		
22. THIRD AVENUE REAL ESTATE VALUE FD INSTL	C	Dividend	K	T					
23. AQR MGD FUTURES STRAT FD CL N	A	Dividend	K	T	Buy	1/17/12	K		
24. PIMCO ALL ASSET ALL AUTHORITY CL D	A	Dividend	K	T	Buy	1/17/12	K		
25. BARCLAYS BANK IPATH ETN S&P 500 DYNAMIC		None	K	T	Buy	1/17/12	K		
26.									
27.									
28. VALLEY NATIONAL BANK		None	K	T					
29. THE AXA EQUITABLE VARIABLE LIFE INSURANCE POLICY		None	K	T					
30. EQUITABLE - SEP		None	L	T					

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Martini, William J.	04/24/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

THE NICHOLAS MARTINI FOUNDATION IS A 501(C)(3) CHARITABLE FAMILY FOUNDATION. IT'S MISSION IS TO SUPPORT YOUTH, HEALTH, ENVIRONMENTAL AND EDUCATION PROGRAMS.
AS A MEMBER OF THE BOARD OF TRUSTEES I PARTICIPATE IN THE DECISIONS TO DISTRIBUTE THOSE FUNDS. THE TRUSTEES ANNUALY ESTABLISH INVESTMENT POLICY FOR THE FOUNDATION. THAT POLICY IS IMPLEMENTED BY AN INDEPENDENT MANAGER WHO HAS FULL DISCRETION WITH REGARD TO INVESTMENT DECISIONS. TRUSTEES DO NOT MAKE INVESTMENT DECISIONS.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ William J. Martini

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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