AO 10
Rev. 1/2013

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2012

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Riley, William J.	Eighth Circuit Court of Appeals	05/16/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. Circuit Judge - Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final 5b. ☐ Amended Report	01/01/2012 to 12/31/2012

7. Chambers or Office Address

Roman Hruska U.S. Courthouse
111 South 18th Plaza, #4303
Omaha, NE 68102-1322

***IMPORTANT NOTES:** The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Member & Manager	Riley Quest, L.L.C.
2.	Adjunct Professor	University of Nebraska, College of Law
3.	Delegate to House of Delegates	Nebraska State Bar Association since 1998
4.	Treasurer, Nebraska Chapter	American Board of Trial Advocates
5.	Counselor/Judicial Member	Robert M. Spire Inns of Court
6.	Trustee, Board of Trustees	MidAmerica Council, Boy Scouts of America

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	04/20/12	University of Nebraska-Lincoln, College of Law, Adjunct Faculty, Spring 2013
2.	04/01/75	Profit Sharing and 401(k) Retirement Plan, Fitzgerald, Schorr, Barmettler & Brennan, P.C., L.L.O., former law firm, no control after termination 8/15/2001
3.		

Name of Person Reporting	Date of Report
Riley, William J.	05/16/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	Spring2012	University of Nebraska, College of Law	$7,700.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Minnesota CLE	03/12/12	Minneapolis, MN	Speak at Appellate Practice Institute	Airfare, taxi & airport parking
2.					
3.					
4.					
5.					

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Riley, William J.	05/16/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.	Reduced Membership	American Board of Trial Advocates	$450.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Riley, William J.	05/16/2013

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1.	First National Bank of Omaha Accounts	A	Interest	K	T					
2.	Mass Mutual Life Insurance - Cash Values		None	L	T					
3.	Riley Quest, L.L.C.	A	Dividend	O	Q					
4.	Marasico Growth Fund	A	Dividend	J	T					
5.	IRA #1	A	Dividend	M	T					
6.	-Janus Growth & Income									
7.	-Weitz Value Fund									
8.	-Marsico Focus Fund									
9.	IRA - #2	A	Dividend	L	T					
10.	-American Century Income & Growth Fund									
11.	-Marsico Growth & Income Fund									
12.	-American Century Equity Income									
13.	IRA - #3									See Section VIII
14.	-Janus Fund									See Section VIII
15.	-Janus Twenty Fund									See Section VIII
16.	RBC Dain Rauscher 403(b) Account	A	Div. & Int.	K	T					
17.	-Evergreen Gro. - A Fund					Sold	05/10/11	J	A	See Section VIII

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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Riley, William J.	05/16/2013

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☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.	-Fidelity Adv. Grow & Inc.-T					Sold	05/10/11	J	A	See Section VIII
19.	-Fidelity Adv. Divers Intl.-T					Sold	05/10/11	J	A	See Section VIII
20.	-Fidelity Adv. MidCap II CL-T					Sold	05/10/11	J	A	See Section VIII
21.	-Fidelity Adv. Val Leaders-T					Sold	05/10/11	J	A	See Section VIII
22.	-Fidelity Adv. LVGD Co. S&K CL-T					Sold	05/10/11	J	A	See Section VIII
23.	RBC Bank Deposit Program				T	Buy	05/10/11	J		See Section VIII
24.	-Davis New York Venture Fund				T	Buy	05/24/11	J		See Section VIII
25.	-Eagle Ser				T	Buy	05/24/11	J		See Section VIII
26.	-Growth Fund Amer Inc				T	Buy	05/24/11	J		See Section VIII
27.	-Pioneer Ser TR I				T	Buy	05/24/11	J		See Section VIII
28.	-Thornburg Invt Tr				T	Buy	05/24/11	J		See Section VIII
29.	-Wells Fargo Advantage FDS				T	Buy	11/21/11	K		See Section VIII
30.	First National Bank of Omaha - Profit Sharing & 401(k) FSB&B	A	Div. & Int.	O	T					
31.	-Goldman Sachs -U.S. Government Money Market Fund					Distributed (part)	12/31/12	N	A	
32.	-Berkshire Hathaway, Inc. Class A Common									
33.	-Marsico Focus Fund					Sold	12/03/12	L	E	
34.	-Marsico Growth Fund					Sold	12/03/12	L	E	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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35. -Oakmark Equity & Income I Fund					Sold	12/03/12	K	D	
36. -T Rowe Price Equity Income Fund					Sold	12/03/12	L	E	
37. RBC Account X	D	Int./Div.	M	T					
38. -RBC Account					Sold (part)	09/06/12	M	A	
39. -Davis New York Venture Fund					Sold (part)	01/24/12	J	A	
40. -Davis New York Venture Fund					Sold (part)	08/27/12	J	A	
41. -Templeton Foreign FD CL A					Sold (part)	08/17/12	J	A	
42. -Templeton Foreign FD CL A					Sold (part)	11/19/12	J	A	
43. -Omaha Pub Pwr Dist Neb Elec					Sold	08/27/12	J	A	
44. -Thornburg Int'l Value X					Sold (part)	08/27/12	J	A	
45. -Sarpy County Nebraska Recovery Zone X					Sold	08/27/12	K	B	
46. -Omaha Pulic Power District Nebraska X					Sold	08/27/12	K	B	
47. -Prime Money Market X-RBC	A	Interest	J						
48. -Ashton Funds Montag & Caldwell Growth X	A	Dividend	J						
49. Davis New York Venture Fund	A	Dividend	J	T					
50. Thornburg Intl Value Fund	A	Dividend	J	T					
51. Templeton Foreign FD CL A	A	Dividend	J	T					

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52.	-Public Power Generation Agency Nebraska X	C	Interest	L						

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

IRA #3 on lines 13, 14 & 15 was merged with IRA #1 on line 5 sometime before 2012, and I do not know when with any accuracy.

Lines 17 to 22 previously overlooked -- sold approximately 05/10/11.

Lines 23 to 28 previously overlooked -- bought approximately 05/10/11 or 05/24/11.

Line 29 previously overlooked -- bought approximately 11/21/11.

Name of Person Reporting	Date of Report
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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ William J. Riley

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544