AO 10
Rev. 1/2013

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2012

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
HART, WILLIAM T.	NORTHERN DISTRICT OF ILLINOIS	04/23/2013

4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	5a. Report Type (check appropriate type)	6. Reporting Period
U.S. SENIOR DISTRICT JUDGE	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final 5b. ☐ Amended Report	1/1/2012 to 12/31/2012

7. Chambers or Office Address

219 S. DEARBORN STREET
ROOM 2246
CHICAGO, ILLINOIS 60604

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	DIRECTOR	NORTHERN DISTRICT OF ILLINOIS COURT HISTORICAL ASSOCIATION, an Illinois not-for-profit corporation
2.	DIRECTOR AND SECRETARY	THE CLARE CHARITABLE FOUNDATION, an Illinois not-for-profit corporation
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
HART, WILLIAM T.	04/23/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

Name of Person Reporting	Date of Report
HART, WILLIAM T.	04/23/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
HART, WILLIAM T.	04/23/2013

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. [redacted] IRA #1 UBS FINANCIAL SERV., INC.:	E	Distribution	O	T					
2. ---BANK OF CHINA CD					Redeemed	01/13/12	M	A	
3. ---Nuveen PFD & Income					Buy	07/26/12	L		
4. ---DRU, INC.									
5. ---Caterpillar FNL SVC									
6. ---Genworth Fin., Inc.					Buy	08/27/12	L		
7. ---HSBC Fin. Corp.					Redeemed	07/16/12	L	A	
8. ---BBT Cap. T.					Redeemed	07/08/12	K	A	
9. ---M&T Capital Trust									
10. ---PNC Capital Trust E					Redeemed	07/30/12	J	A	
11. ---Credit Suisse GU									
12. ---J.P. Morgan Chase									
13. ---U.S. Steel Corp. Bond					Buy	09/07/12	L		
14. ---Blackstone/GSO					Buy	09/25/12	L		
15. ---UBS Bank Deposits									
16. ---FORD MOTOR CREDIT NTS									
17. ---BANK OF AMERICA DEBT									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
HART, WILLIAM T.	04/23/2013

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. ---MORGAN STANLEY B/E									
19. ---DUFF & PHELPS GL B UTL, INC.									
20. ---Northstar Realty Fin.					Buy	10/11/12	L		
21. UBS FINANCIAL SERV., INC. ACCT:									
22. ---British Petroleum	A	Dividend	K	T					
23. ---Duke Energy	A	Dividend	J	T					
24. ---Chi Bd. Ed. Bonds	B	Interest	L	T					
25. ---Bristol Meyers Squibb	B	Dividend	K	T					
26. ---General Electric	A	Dividend	K	T					
27. ---Blackrock Mont.	A	Dividend	K	T	Buy	08/31/12	L		
28. ---Hudson GO Purp B	B	Interest			Redeemed	12/01/12	K	A	
29. ---Luzerne, CO GO B	B	Interest	L	T					
30. ---Kansas CY CLGS	A	Interest	J	T					
31. ---Credit Suisse	A	Dividend	J	T					
32. ---Deutsche BK Cap III	B	Dividend	K	T					
33. ---UBS Bank USA	A	Interest			Redeemed	06/15/12	J	A	Trnsf. to RMAMM Ln.36sweep
34. ---Discover Fin. Serv.	A	Dividend	L	T	Buy	10/16/12	L		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
HART, WILLIAM T.	04/23/2013

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. ---Goldman Sachs	A	Dividend	K	T	Buy	10/24/12	K		
36. ---RMA Money Mkt.	A	Dividend	J	T					
37. ---Exelon Corp.	A	Dividend	K	T	Buy	12/05/12	K		
38. ---Western Union Co.	A	Dividend	K	T	Buy	12/21/12	K		
39. STOFAN AGAZZI ACCT:									
40. ---Ill. Rural Bk Bd Rev Bond	B	Interest	K	T					
41. ---Walgreen	A	Dividend	K	T					
42. ---Romeoville, IL GO	B	Interest	K	T					
43. ---Wash. St. Higher Ed. Fac Bond	B	Interest	K	T					
44. ---IM-IT Bond Fund 79 (Van Kampen)	A	Interest	J	T					
45. ---Ill. St. U. Rev. B	A	Interest	J	T					
46. ---Rancho Mirage CA B	A	Interest	J	T					
47. Harris BMO Bank (checking)	A	Interest	K	T					
48. Harris BMO Bank (savings)	A	Interest	L	T					
49. Mass. Mut. Life Ins. (Paid Up)		None	K	T					
50. The Clare Residency Deposit Account		None	O	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

NO

Name of Person Reporting	Date of Report
HART, WILLIAM T.	04/23/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ WILLIAM T. HART

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544