

Report Required by the Ethics FINANCIAL DISCLOSURE REPORT in Government Act of 197:Calendar Year 2003 (S U.S.C. app. &sect;&sect; IOt.111)

1. Person :Reporting (Last IllllM, First 111une, Middle initial) 2. Court or Organizatiai  
3. Date ofReport Arcara, Richard J U.S. District Court W .JD.N.Y. 0512612004

4. Title (Article mJudges indicate acti1le or senior status; s. Report"fype (check appropriate type)

6.

Reporting Period magistrah:: judges indicate full-or par1t-time) Q Nomination, Date (il/01/2003 ChiefU.S.. District Jjdge to 0 Initial @Annual 0 Final 1213112003

7.

Chambc:rsor Office Address 8. On the basis of the info:mation contained in this Report and anyIOOdificatioos pertaining thereto, it is. in my opinion, in compliance 68 Court Street, Room 609 with applicable laws and regulations. Buffalo, New York 14202 Reviewing Officer Date DRTANTNOTES: The instructions'aoompanying this fixmilllSt be li>llowed. Complete all pms, checkingthe NONE box fir each part where you haveno reportablei111filmation. Sign on Jast page. L POSrrI.ONS. (Reporting individual only; see pp. 9-13 orfiling instructions)  
NONE -(Noreportableposi.tions.)

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IL A G!IEEMENTS. (Reporting individual only; see Al&middot; 14-16 of filing instructions) ri1n o:r.>

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NONE -(No reportable ai:niements.) . , -.. ;:-\ N er

1.

IY. RI"JMBURSEMENj'f: -transportation, lodging, food. entertainment (liacludes those to spouse and dependent children. See pp. 25-27 ofinstructions.) fi!I NONE -(No such reportable reimbwsements.)

FINANCIAL DISCLOSURE REPORT

Arcara, RichardJ

Y. GD'i"JS. (Include.s those to spouse and dependentchildren. See pp. 28-31 ofinstructions.) NONB - CNosuchreportabfgifts.)

I.

YL LIAB1IDJES. (Iacludes. those ofspouseanddependentchildren. Sepp. 32-34 of instructions.) NONE (Noreportableliali>Elities.)

I.

Date ofReport

.Page1 of3 Arcara, RicbardJ 0512612004

Vil. INV!'-!STMENTS arut TR.USTS -income, value, traoscations (111Cludes those ofthe spouse 1111ddepediceot children. Sepp. 34-57 offiling instructions.)



12. Legg:M.i'5U1 Equity Value

(1) {2} (I}

Value Value Type (e.g.Code2 Method buy, sel(1-P) Code3 merger,

. (Q-W} redemption)

A Dividend None

None

A Dividend None

None

A Dividend

A Dividend None K T J T I T K T J T I T I T buy K T buy K T buy -Ifnot exeq&raquo; disclosure

(4)

(S)Value Gain Identity ofCode2 Code] buyeiiseller {J-P) (A-H) (lfpdvatetransaction) sold K Date: Mondt&middot;

Day 6130 -J 6130 J 6130 K 12/30 -J Davis NY Venture Fund

A.

None 12/30 J T buy -K Dryden High Yie ld Fund K T buy 6/30 A Dividend --

15.

Eata1 Vmce High Income

16.

Jdex Ser. Fund-Salomm

17. Prudential Jennison Growth

18.

Nuveon None None None None I T buy I T buy K T buy I T buy 12/30 12/30 12/30 12/30 J II J J I .&bull; ...

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... . &middot; Q :&#65533;&#65533;&#65533;sa1 'R =Cost{ae'iiiEstme'Cim.Y) S Assessment T ='cash1Matket {SeCCOiumn C2) U ;,...BoOk V11iue V .=Ofuer W =&middot;Estimated

. Page 2 of 3 Arcara. Richard 1 05/2612004

VIL INVESTMENTS tmr.d TRUSTS -income, value, tanscations (includes those ofllie spouse m:l dependent children. See pp. 34-57 oflling insttuctions.)

A.  
Description of Assets  
(including trust assets)

-Place "(X)" after each asset if exempt from prior disclosure  
(1)

(2)  
Amount Type (e.g. Code 1 div. mil. or (A-H) im.)

c.  
D. Gross value at end of Transactions during reporting period  
If DOI exempt from disclosure

(1)  
(2)  
(1)  
(2)  
(3)  
(4) Value Type (e.g. Date: Value Identically of Code 2 Method buy, set Month-Code 2 Code 1 buyer/seller  
P) Code 3 merg (J-P) (A-H) (if private  
(Q-W) Redemption transaction)

II. Legg Mason IRS

Alliance Premier Q.A  
20.

-Idex Global

21.

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22.  
Lois Abbott a.A -Ahn Premier Equity Fund

23.

-Neiff' Perspective Fund CLA

24.

-

25.

-pFunds

26.

Legg Mason Oppmunity Trust

27.

Fidilit!f Advisor -m LeggMason

28.

-None None A Dividend None A Dividend A Dividend A Dividend A Dividend

J T sold 8/13 J J T J T J T -J T J T J T buy 8/12 J -A Dividend J T

30.

Seclror Sp Dr. A Dividend J T

31.

Boeing - A Dividend J T

32.

Cisco Systems - None J T

33.

AOL Time Warner - Nooe J T\_

34.

IDDIUPoot - A Dividend J T

Guidant Corp. A Dividend J T

35.

-Home Depot A Dividend J T

36.

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]}{VESTMENTS muf TR.USTS -income, value, 1nWCaUons (includes !hose of!00 spouse Biid dependent children.  
Seapp. 34-57 oftiliJll instructions.)

A. I>escriptionofAssets(including trust asselS) B.Income duringreporting period c.Gross valueat end  
ofreportingperiod D.Transactions during reportingperiod Ifnot exempt ftorn disclosure (2)1  
(1)

(I)  
(2)  
-Place"(X)"after each asset eit ftom priordisclosure Type (e.g. Daie: Value Value buy, seti Monlh-Method Code2  
Value Iyof Code2 buyerfseller

T)'pe (e.g.div. rent. or Amount Codel  
(A-H)  
Code  
(A-H)  
1  
Day (J-P) (ifprivate  
transaction)  
merger,  
Cooe3  
(J-P)  
int.)  
redemption)  
(Q-W)

### 37. KeyCapNew Llll:ent Technologies

38.

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39. Nollida Corp. A Dividend None A Dividend

J T. J T J T

40.

-T A Dividend J -T

41.

Liberty Media Corp. J None

42.

Si.mt Microsystems T J None --. -Eastman Kodak T buy 111'28 J None J -T

44.

RMK High Income A Dividend 6121 J J buy

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45. 'JV. Keybank (less than \$200)

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An:ara., Richard J

VOL .4DDUIONAL INJFORMA TION OR EXPLANATIONS (IooicatepanofReport.)

' F&middot;INANCIAL DISCLOSURE REPORT Name or Person Reporting Date ofReport

Arcata, Richard] 05/26/2004

#### IX. CBR.TIFICATION.

I certitjr that all infonnation given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statllltory provisions permitting non-disclosure.