

FINANCIAL DISCLOSURE REPORT AO 10 in Government Act of 1978 Rev. 112008 FOR CALENDAR YEAR 2007 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first middle initial) Arcara, Richard J 2. Court or Organization U.S. District Court

W.D.N.Y. 3. Date of Report 0510612008

4. Title (Article III judges indicate active or senior status; magistrate judges indicate full-or part-time) Chief U.S. District Judge Sa. Report Type (check appropriate type) Nomination, Date Initial Annual Final 6. Reporting Period 01/10/2007 to 12/31/2007 Sb. Amended Report

7. Chambers or Office Address 68 Court Street, Room 609 Buffalo, New York 14202 8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer Date

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign 011 last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

[Z] NONE (No reportable positions.)

POSITION NAME OF ORGANIZATION/ENTITY

1.

2.

3.

1.

2.

3.

D NONE (No reportable reimbursements.) SOURCE DATES PURPOSE ITEMS PAID OR PROVIDED

1. 3/23/2008 and 3/24/2008 New York Intellectual Property Law Association New York, New York Attendance at Dinner Reimbursement for lodging, meals, transportation for attendance at Annual Dinner in Honor of Federal Judiciary

2.

3.

4.

5.

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III.

NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A.

Filer's Non-Investment Income

NONE (No reportable non-investment income.)

SOURCE AND TYPE INCOME (yours, not spouse's)

I.

2.

3.

4.

B. Spouse's Non-Investment Income -If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

NONE (No reportable non-investment income.)

SOURCE AND TYPE

I. 2007 AAA Western and Central New York Board Member -Stipend Executive Dimensions -Salary

3.

4.

IV.

REIMBURSEMENTS -transportation, lodging, food, entertainment (Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.) Arcara, Richard J Page 3 of 11

V.

GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

NONE (No reportable gifts.) SOURCE DESCRIPTION

I. VALUE

2.

3.

4.

5.

VJ. LJABJLTJES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

D NONE (No reportable liabilities.)

CREDITOR DESCRIPTION VALUE CODE

I. Capital One Visa Credit Card K

2.

3.

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VII.

INVESTMENTS and TRUSTS -income, value, transactions (Includes those of spouse and dependent children; see PP&#middot; 34-60 of filing instructions.)

D NONE (No reportable income, assets, or transactions.)

B.

C.

D.

Description of Assets Income during Transactions during reporting period Gross value at end of (including trust assets) reporting period reporting period I I I

(I)

(2)

(I)

(3)(2) (1) Value Method I

Amount Type (e.g., Place "(X)" after each asset Value Gain Identity of Value Type (e.g., Date Code 1 exempt from prior disclosure div., rent, Code 2 buy, sell, Code 2 buyer/seller Code 1 Month (A-H) or int.) (if private I Code 3 redemption) Day (J-P) (J-P) (A-H) transaction) (Q-W) &#middot;&#middot;-----

1. Income Gain Codes: A \$1,000 or less B =\$1,001 -\$2,500 C =\$2,501 -\$5,000 D =\$5,001 -\$15,000 (See Columns B1 and D4) F =\$50,00 I -\$!00,000 G IOO,OOI -\$1,000,000 HI \$1,000,001 -\$5,000,000 H2 =More than \$5,000,000

2.

Value Codes J =\$15,000 or less K \$ t5,00 I -\$50,000 L =\$50,001 -\$!00,000 M 4!00,001 -\$250,000 (See Columns C1 and D3) N \$250,001 -\$500,000 O \$500,001 -\$1,000,000 P1 1,000,001 -\$5,000,000 P2 =\$5,000,00 I -\$25,000,000 P3 =\$25,000,001 -\$50,000,000 P4 More than \$50,000,000

3. Value Method Codes Q =Appraisal R =Cost (Real Estate Only) S "'-'Assessment T =Cash Market (See Column C2)

U =Book Value V""()ther W =Estimated

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VII.

INVESTMENTS and TRUSTS -income, value, transactions rIncludes those of spouse and dependent children; see PP· 34-60 of filing instructions.)

D NONE (No reportable income, assets, or transactions.)

A.

Description of Assets
(including trust assets)

Place "(X)" after each asset exempt from prior disclosure Incom:uring ----,I
(1)

Amount
Code 1 (A-H)
Gross val at end of reporting period reporting period

(2)

(I)
(2) Type (e.g Value Value
div., ren Code 2 Method or int.) -_
(J-P) Code 3 (Q-W)

(I)

Type (e.g., buy, sell, redemption)

D.

I Transactions during reporting period

(2)
Date MonthDay
(3)

Value Code 2 (J-P)

(4)

Gain Code 1 (A-H)

(5)

Identity of buyer/seller (if private transaction)

1.

Income Gain Codes: A =\$1,000 or less 8=\$1,001-\$2,500 c =\$2,501 -\$5,000 D =\$5,001 -\$15,000 E =\$15,001 -\$50,000
l (See Columns B1 and D4) F =\$50,001 -\$100,000 G =\$100,001 -\$1,000,000 HI =\$1,000,001 -\$5,000,000 H2 =More
than \$5,000,000

2.

Value Codes J =\$15,000 or less K =\$15,001 -\$50,000 L =\$50,001 -\$100,000 M =\$100,001 -\$250,000 I (See Columns
C1 and D3) N =\$250,001 -\$500,000 O =\$500,001 -\$1,000,000 P1 =\$1,000,001 -\$5,000,000 P2 =\$5,000,001 -
\$25,000,000 P3 =\$25,000,001-\$50,000,000 P4 =More than \$50,000,000

3.

Value Method Codes Q =Appraisal R =Cost (Real Estate Only) S =Assessment T =Cash Market (See Column
C2) U =Book Value V =Other W =Estimated

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VII. INVESTMENTS and TRUSTS -income, value, transactions rlncludes tlthose of spouse and dependent children;
see PP· 34-60 of filing
instructions.)

D NONE (No reportable income, assets, or transactions.)

B.

A.

c.

D.

Description of Assets Income during (including trust assets) reporting period

(1)

(2)

Gross value at end of reporting period Transactions during reporting period

(2)

(3)

(2)

Amount Gain Type (e.g., Value Value Type (e.g., Date Value Identity of Place "(X)" after each asset

Code 1

div., rent,

Code 1

exempt from prior disclosure

Code 2

Method

Code 2

buyer/seller

buy, sell, Month

(A-HJ
or int.)
(J-P)
Code 3

redemption) Day
(Q-W)
(A-HJ (if private
transaction)

1. Income Gain Codes: A 1,000 or less B \$1,001 -\$2,500 c \$2,501 -\$5,000 D \$5,001 -\$15,000 , ... oo. "" "" (See
Columns B1 and D4) F \$50,001 -\$100,000 G \$100,001 -\$1,000,000 HI \$!,000,001 -\$5,000,000 H2 More than
\$5,000,000

2.

Value Codes J \$15,000 or less K \$15,001 -\$50,000 L \$50,001 -\$100,000 M \$100,001 -\$250,000 (See Columns C1 and
03) N \$250,001 -\$500,000 O \$500,001 . \$1,000,000 P1 \$!,000,001 & middot; \$5,000,000 P2 \$5,000,001
\$25,000,000 P3 \$25,000,001 -\$50,000,000 P4 More than \$50,000,000

3.

Value Method Codes Q =Appraisal R ost (Real Estate Only) S =Assessment T =Cash Market (See Column C2) U
=: =Book Value V-Oher W =Estimated -----_J Arcara, Richard J Page 7of11

VII.
INVESTMENTS and TRUSTS instructions.) D NONE (No reportable income, assets, or transactions.)

A.

B.

c.

D.

income, value, transactions rlncludes those of spouse and dependent children; see PP& middot; 34-60 of filing

Income during Gross value at end of Transactions during reporting period
reporting period reporting period

(1)

Amount Code I (A-H)

(2)

Type (e.g., div., rent, or int.)

(1)

Value Code 2 (J-P)

(2)

Value Method Code 3 (Q-W)

(!) Type (e.g., buy, sell, redemption)

(2)

Date Month-Day

(3)

Value Code 2 (J-P)

(4)

Garn Code I (A-H)

I
Description of Assets (including trust assets)

1 Place "(X)" after each asset exempt from prior disclosure

b I (if private transaction)

1. Income Gain Codes: A =\$1,000 or less B =\$1,001 -\$2,500 C =\$2,501 & middot;\$5,000 D =\$5,001 & bull; \$50,000
1 (See Columns BI and 04) F =\$50,001 -\$100,000 G =\$100,001 & middot;\$1,000,000 HI =\$1,000,001 -\$5,000,000
H2 =More than \$5,000,000

2.

Value Codes J =\$15,000 or less K =\$15,001 & bull;\$50,000 t.=\$50,001 & bull;\$100,000 M =\$100,001
& bull;\$250,000 (See Columns CI and 03) N =\$250,001 & bull;\$500,000 O =\$500,001. \$1,000,000 P1 =\$1,000,001
\$5,000,000 P2 =\$5,000,001 & middot;\$25,000,000 P3 =\$25,000,001 -\$50,000,000 P4 =More than \$50,000,000

3.

Value Method Codes Q =Appraisal R =Cost (Real Estate Only) S =Assessment T =Cash Market (See Column C2)
U =Book Value V =Other W =Estimated

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VII.

INVESTMENTS and TRUSTS -income, value, transactions r1ncludes those of spouse and dependent children;
see PP& middot; 14-60 of filing instructions.)

D NONE (No reportable income, assets, or transactions.)

D.

B.

A.

c.
Transactions during reporting period Description of Assets Income during (including trust assets) reporting period
Gross value at end of reporting period

(1)

Type (e.g., Amount Value Value Type (e.g., Place "(X)" after each asset

(2)

(2)

(2)

(I)

Value Identity of Date I (4) Month-Code 2

le",
Day (J-P) (A-H) I Code I buyer/seller div., rent, Code 2
exempt from prior disclosure
Method
Code 3

buy, sell,
(A-H) (if private or int.) (J-P) redemption)

transaction)

--&middledot;--

I. Income Gain Codes: A =\$1,000 or less B =\$1,001 -\$2,500 c =\$2,501 -\$5,000 D =\$5,001 -\$15,000 E =\$15,001
\$50,000 (See Columns B1 and D4) F =\$50,001 -\$100,000 G=\$100,001 -\$1,000,000 H1 =\$1,000,001 -\$5,000,000 H2
=More than \$5,000,000

2.

Value Codes J =\$15,000 or less K =\$15,001 -\$50,000 L =\$50,001 -\$100,000 M =\$100,001 -\$250,000
(See Columns CJ and D3) N =\$250,001 -\$500,000 O =\$500,001 -\$1,000,000 P1 =\$1,000,001 -\$5,000,000 P3
=\$25,000,001 -\$50,000,000 P4 =More than \$50,000,000

3. Value Method Codes Q =Appraisal R =Cost (Real Estate Only) S =Assessment (See Column C2) U =Book Value
V =Other W =Estimated

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VII.

INVESTMENTS and TRUSTS -income, value, transactions (Includes those of spouse and dependent children;
see PP&middledot; 34-60 of filing instructions.)

D NONE (No reportable income, assets, or transactions.)

B.

c.

D.

Description of Assets

Income during Gross value at end of

Transactions during reporting period

(including trust assets)

reporting period reporting period

(2)

(1)

(2)

(1)

(3)

Gain Identity of Type (e.g., Value Value Value Place "(X)" after each asset Type (e.g., Date Code div., rent, Code 2 exempt from prior disclosure Code 2 Method buy, sell, Code 1 buyer/seller I Month-I (if private 'j' or int.) Code 3 redemption) Day (J-P) (A-H) transaction) FHLMC REMIC3067-CD None T buy 12/24 J Chase Mortgage FIN Tr 20 None K T buy 12/24 K CSMC Mtg-Bkd Pass Thru None K T buy 12/24 K Banc of America FDG Corp A Dividend K T buy 8/9 K Countrywide Alt. Ln. Trust B Dividend J T Countrywide Capital V B Dividend J T Keycorp Capital IX None K T buy 12/24 K CBTCS for JC Penney Co A Dividend J T buy 1125 J Ivy Asset Strategy C None K T buy 12/27 K

86.

87.

88.

89.

90.

92.

93.

1. Income Gain Codes: A =\$1,000 or less B =\$1,001 -\$2,500 c =\$2,501 . \$5,000 D =\$5,001 -\$15,000 E =\$15,001 \$50,000 (See Columns B1 and D4) F =\$50,001 -\$100,000 G =\$100,001 -\$1,000,000 HI =\$1,000,001-\$5,000,000 H2

=More than \$5,000,000

2.

Value Codes J =\$15,000 or less K =\$15,001 -\$50,000 L =\$50,001 -\$100,000 M =\$100,001-\$250,000 (See Columns C 1 and D3) ,1 =\$250,001 •\$500,000 0 =\$500,001 -\$1,000,000 P1 =\$1,000,001 -\$5,000,000 P2 =\$5,000,001 -\$25,000,000 P3 =\$25,000,001 -\$50,000,000 P4 =More than \$50,000,000

3.

Value Method Codes Q =Appraisal R ••Cost (Real Estate Only) S =Assessment T :=Cash Market (See Column C 2) U :=;Book Value V =Other W ""Estimated

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. (Indicate part of Report.) Arcara, Richard J Page 11 of 11

IX. CERTIFICATION. I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure. I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations. AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104) FILING INSTRUCTIONS Mail signed original and 3 additional copies to: Committee on Financial Disclosure Administrative Office of the United States Courts Suite 2-301 One Columbus Circle, N.E. Washington, D.C. 20544