

Report Required by the Ethics
AO JO FINANCIAL DISCLOSURE REPORT
in Government/ Act of 1978
Rev. 1/2012

FOR CALENDAR YEAR 2011 (5 U.S.C. app. § 1011)

I. Person Reporting (last name, first, middle initial) Arcara, Richard J. 2. Court or Organization U.S. District Court
W.D.N.Y. 3. Date of Report 04/30/2012

4. Title (Article III judges indicate active or senior status; magistrate judges indicate full-or part-time) U.S. District
Judge Sa. Report Type (check appropriate type) D Nomination Date D Initial [X] Annual [] Sb. D Amended Report D
Final 6. Reporting Period 01/01/2011 to 12/31/2011

7. Chambers or Office Address

Two Niagara Square
Buffalo, New York 14202

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts,
check the NONE box for each part where you have no reportable information. Insert signature on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

[X] NONE (No reportable positions.)

POSITION NAME OF ORGANIZATION/ENTITY

2.

5.

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

[X] NONE (No reportable agreements.)

PARTIES AND TERMS

I.

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-U of filing instructions.)

A. Filer's Non-Investment Income [X] NONE (No reportable non-investment income.)

SOURCE AND TYPE INCOME (yours, not spouse's)

I.

2.

3.

B. Spouse's Non-Investment Income -If you were married during any portion of the reporting year, complete this
section.

(Dollar amount not required except for honoraria.)

[X] NONE (No reportable non-investment income.) SOURCE AND TYPE

I. 2011 AAA Western and Central New York Board Member -Stipend

2.

2011 Executive Dimensions -Salary

3.

2011 Health Now Board Member -Stipend

4.

IV. REIMBURSEMENTS --transportation, lodging, food, entertainment.

(Includes those of spouse and dependent children; see pp. 25-27 of filing instructions.)

NONE (No reportable reimbursements.) SOURCE DATES LOCATION PURPOSE ITEMS PAID OR PROVIDED

I.

4.

5.

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

NONE (No reportable gifts.)

SOURCE DESCRIPTION VALUE

2.

3.

4.

5.

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

NONE (No reportable liabilities.)

CREDITOR DESCRIPTION VALUE CODE

I.

2.

3.

VII. INVESTMENTS and TRUSTS --income, and value, transactions of spouse and dependent children: see PP&J-60 of filing instructions.

NONE (No reportable income, assets, or transactions.)

A. B. c. D.

Description of Assets Income during reporting period Gross value at end of reporting period Transactions during reporting period of reporting period

(I)

(2) (I) (I)

(3) (4) (5)

Place "(X)" after each asset

g., Value, Date Value Gain Identity of exempt from prior disclosure

Amount Value Type(e.g.

Type(e.

Code I

div., rent, Code2 Method buy, sell, mm/dd/yy Code2 Code I buyer/seller (A-H) or int.) (J-P) Code3 redemption) (J-P) (A-II) (if private (Q-W) transaction)

I. Income Gain Codes: A =\$1.000 or less ll=\$1.00 I -\$2.500 c =\$2.501 -\$5,000 D=\$5,00 I -\$15,000 E=\$15,001 - \$50,000

(Sec Columns B1 and 04) F=\$50.001 -\$100,000 G =\$ 100.00 I -\$1,000,000 ll l =\$1,000,001 -\$5,000,000 112 =More than \$5,000,000

2. Value Codes J=\$15,000 or less K =\$15,001 -\$50,000 L=\$50,00 I -\$ 100,000 M =\$ 100,00 I -\$250,000

(Sec Columns C1 and 03) N =\$250,001 -\$500,000 O=\$500,00 I -\$1,000,000 Pl =\$1,000,001 -\$5,000,000 P2 =\$5,000,001 -\$25,000,000

P3 =\$25,000,001 -\$50,000,000 P4 =More than \$50,000,000

3. Value Method Codes Q =Appraisal R =Cost (Real Estate Only) S =Assessment T =Cash Market

(Sec Column C2) U =Book Value V =Other W=Estimated

VII. INVESTMENTS and TRUSTS --income, &middledot;&middledot;a111e, transactions r111c111des those of spouse and depe11de11t children: see pp. H-601>ffili11g imtr11ctiom.J

D NONE (No reportable income, assets, or transactions.)

A. B. c. D.

Description of Assets Income during Gross value at end Transactions during reporting period (including trust assets) reporting period of reporting period

(I) (2) (I) (2) (I) (2) (3)

(5)

Place "(X)" after each asset

Amount Type (e.g., Value Value Type (e.g., Date Value Gain Identity of exempt from prior disclosure

Code I div., rent, Code2 Method buy, sell, mm/dd/yy Code 2 Code I buyer/seller

(A-II)

or int.) (J-P) Code 3 redemption) (J-P) (A-II) (if private (Q-W) transaction)

I. Income Gain Codes: A :o\$J .000 or less ll =\$1.00t -\$2.500 c =\$2.50t -\$5,000 D =\$5,001 -\$15,000 E =\$15,001 - \$50,000

(Sec Columns III and 04) F =\$50.001 -\$t00,000 G =\$!00.001 • \$1,000,000 III =\$1,000,001. \$5,000,000 112 =More than \$5,000,000

2. Value Codes J =:o\$ I 5,000 or less K =\$I 5,00 I • \$50,000 L =\$50,001 • \$100,000 M =\$!00,00 I • \$250,000

(Sec Columns CI and 03) N =\$250,00 t -\$500,000 O =\$500,00 I • \$1,000,000 Pl \$t,000,00I • \$5,000,000 1'2 =\$5,000.00 I • \$25,000,000

P3 \$25,000,001 • \$50,000,000 P4 =More than \$50,000,000

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VII. INVESTMENTS and TRUSTS -i11come, ,,a111e, 1ra11sac1io11s 011c111de ... 111ose of.,pm1se and dq1ende111 e1iuJre11: .\&middledot;ee pp. 1-1-60 offili11.r: ;,, 1ruc1io11.i.J

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Description of Assets Income during Gross value at end Transactions during n.:porting period
(including tmst assets) reporting period of reporting period

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(I)
(3) (4) (5)

Place "(X)" after each asser

Amount Type (e.g., Value Value Type (e.g., Date Value Gain Identity of exempt from prior disclosure
Code I

div., rent, Code 2 Method buy, sell, mm/dd/yy Code 2 Code I buyer/seller (A-H) or int.) (J-P) Code 3 redemption) (J-
P) (A-II) (if private (Q-W) transaction)

35. 36. 37. 38. 39. CWALT Inc Class 1-A-10 CHL Mortgage Pass Through Bank of America Fund Corp CL TAIB JP
Morgan Trust Government National MTG Assn A B A A A Interest Interest Interest Interest Interest J J J J J T T T
T T

40. 41. 42. 43. 44. 45. 46. Ford Motor Credit Corp Co. Bank of America Mrtg Ser 2006-3 Wynn Las Vegas, LLC CHL
Mortgage Pass Through 2006-15 Citi corp Mrtg Securities 207-5 Federal National Mtg. Assn 2011-37 Tamarack Invt.
Fds Prime A A A A None Interest None Interest Interest Interest None J J J J J T T T T T Sold Buy Sold Buy Buy
Buy 01/01/11 03/28/11 01/01/11 01/26/11 09/19/11 04/28/11 J J K J J J A A

47. 48. 49. 50. 51. Aim Charter -A "(Y)" Fidelity Adv New Insight A Royce Fund -Total Return Con Royce Fund -PA
New Perspective Cl.A A A A A Dividend Dividend Dividend Dividend J J J J T T T T

I. Income Gain Codes: A =\$1,000 or less II =\$1,00 t -\$2.500 c =\$2.501 -\$5.000 D =\$5.00 t -\$15.000 E =\$15.001 -
\$50.000

(Sec Columns III and 04) F =\$50.001 -\$100,000 G =\$100.001 -\$1.000.000 III =\$1.000.001 -\$5.000.000 112 =More
than \$5.000.000

2. Value Codes J =\$15.000 or less K =\$15.001 -\$50.000 L =\$50.001 -\$100.000 M =\$100.00 I -\$250.000

(Sec Columns C1 and 03) N =\$250.001 -\$500.000 O =\$500,00 I -\$1,000.000 P1 =\$1.000.001 -\$5,000,000 1'2
=\$5,000.00 I -\$25.000.000

P3 =\$25,000.00 I -\$50,000.000 P4 =More than \$50.000.000

3. Value Method Codes Q =Appraisal R =Cost (Real Estate Only) S =Assessment T =Cash Market

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VI I. INVESTMENTS and TRUSTS --income, ,alue, 1ra11sac1io11s 011d11Je.-. rtwse of _,1uwse and Jepe11Je111
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A. B. c. D.

Description of Assets Income during Gross value at end Transactions during reporting period
(including tmst assets) reporting period of reporting period

(I) (2) (I) (I)
(3) (4) (5)

Place "(X)" after each asset

Amount Type (e.g., Value Value Type (e.g., Date Value Gain Identity of
Code I

exempt from prior disclosure

div., rent, Code 2 Method buy, sell, mm/dd!yy Code 2 Code I buyer/seller
(A-II) or int.) Code 3 redemption)

(A-H)

(if private

(Q-W) transaction)

I. Income Gain Codes: A=\$1,000 or less B=\$1,001-\$2,500 C=\$2,501-\$5,000 D=\$5,001-\$15,000 E=\$15,001-\$50,000
(Sec Columns B1 and 04) F=\$50,001-\$100,000 G=\$100,001-\$1,000,000 H=\$1,000,001-\$5,000,000 I12=More than \$5,000,000
2. Value Codes J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001-\$100,000 M=\$100,001-\$250,000
(Sec Columns C1 and 03) N=\$250,001-\$500,000 O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000
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VII. INVESTMENTS and TRUSTS --income, value, transactions include those of spouse and dependent children: see pp. 1-1-60 offing information.
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(including trust assets) reporting period of reporting period

(1)
(2)
(1)
(5)
Place "(X)" after each asset
Amount Type (e.g., Value Value Type (e.g., Date Value Gain Identity of exempt from prior disclosure
Code I
div., rent, Code 2 Method buy, sell, mm/dd/yy Code 2 Code I buyer/seller (A-HJ or int.) (J-P) Code 3 redemption) (J-P) (A-II) (if private (Q-W) transaction)

I. Income Gain Codes: A=\$1,000 or less B=\$1,001-\$2,500 C=\$2,501-\$5,000 D=\$5,001-\$15,000 E=\$15,001-\$50,000
(Sec Columns B1 and 04) F=\$50,001-\$100,000 G=\$100,001-\$1,000,000 H=\$1,000,001-\$5,000,000 I12=More than \$5,000,000
2. Value Codes J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001-\$100,000 M=\$100,001-\$250,000
(Sec Columns C1 and D3) N=\$250,001-\$500,000 O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000
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(2) (1) (I)

(3) (4) (5)

Place "(X)" after each asset Amount Type (e.g., Value Value Type (e.g., Date Value Gain Identity of
exempt from prior disclosure Code I div., rent, Code 2 Method buy, sell, mm/dd/yy Code 2 Code I buyer/seller
(A-H) or int.) (J-P) Code 3 redemption) (J-P) (A-11) (if private
(Q-W) transaction)

86. Residential Asst Sec 20 RAAC A Interest Sold 1/25/11 J A

87. Chase Mortgage FIN Tr 20 A Interest J T

88. CSMC Mtg-Bkd Pass Thru A Interest J T

89. Banc of America FOG Corp A Interest J T

90. Countrywide Capital V B Interest J T

91. Keycorp Capital IX B Interest Sold 12/15/11 J A

92. Cl3TCS for JC Penney Co B Interest J T

93. Ivy Asset Strategy C A Dividend K T

94. Wells Fargo Mortgage Backed A Interest J T

95. JP Morgan MTG Trust A Interest Sold 12/27/11 J A

96. United States National Gas Fund None J T

97. Countrywide Alt. Ln. Trust None J T

98. Legg Mason Capital Management A Dividend K T

99. Euro Pac Growth Fund A Dividend J T

100. General Motors Accep Corp B Interest K T

101. San Bernardino Cnty CA None K T

102. SLM Corp A Interest J T

I. Income Gain Codes: A =\$1,000 or less ll =\$ t ,00 I -\$2,500 c =\$2,501 -\$5,000 D =\$5,001 -\$15,000 E =\$15,001 -
\$50,000

(Sec Columns ill and D4) F =\$50,001 -\$100,000 G =\$ 100.00 I -\$ 1,000,000 111 =\$1,000,001 -\$5,000,000 t12
=More than \$5,000,000

2. Value Codes J =\$15,000 or less K =\$15,001 -\$50,000 L =\$50,001 -\$100,000 M =\$100,001 -\$250,000

(Sec Columns Cl and 03) N =\$250,001 -\$500,000 0 =\$500,001 -\$ 1,000,000 P1 =\$1,000,001 -\$5,000,000 1'2
=\$5,000,001 -\$25,000,000

P3 =\$25,000,001 t & middot; \$50,000,000 1'4 =More than \$50,000,000

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VII. INVESTMENTS and TRUSTS -income, l'lltue, trumactionom r1nc111des those ofspome and dependent d1itdren;
see PP· 34-60 offiting imtructionom.J

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A.

B.

D.

Description of Assets Income during Gross value at end Transactions during reporting period (including tmst assets)
reporting period of reporting period

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(4) (5)

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(A-II) or int.) (J-P) Code 3 redemption) (J-P) (A-II) (if private
(Q-W) transaction)

- 103. Lehman Mortgage Trust A Interest J T
- 104. Credit uisse First Boston Mtg A Interest J T
- 105. CitiMortgge Alt. Loan A Interest J T
- 106. BlackRock Global Allocation A Dividend K T
- 107. Ford Motor Credit Co. None K T
- 108. Tamarack Invt. Fds Prime A Dividend J T
- 109. Black Rock Global Dividend A Dividend J T Buy 8/24/11 J
- 110. Legg Mason Capital None J T
- 111. Bank of America Corp B Dividend J T
- 112. Dominion Res Inc. VA A Interest J T
- 113. Citiorp Mortgage Securities A Interest J T
- 114. JP Morgan Mtg. Trust A Interest Sold 12/27/11 J A
- 115. Wells Fargo Mtg. Backed Securities A Interest J T
- 116. BlackRock NY Muni Income B Dividend K T
- 117. Invesco Van Kampen Tr Invt 13 Dividend K T
- 118. 131ackRock Global Allocation A Dividend J T
- 119. IVY Asset Strategy CL C A Dividend J T

I. Income Gain Codes: A =\$1.000 or less II 0\$1.00 I -\$2.500 c =\$2.501 -\$5,000 D =\$5.001 • \$15.000 E
=\$15,001 -\$50,000
(Sec Coluinns BI and 04) F =\$50.001 • \$100.000 G =\$100.001 -\$1.000.000 111 =\$1 .000.00 I -\$5.000.000 112
=More than \$5.000,000
2. Value Codes J =\$15.000 or less K =\$15.001 -\$50.000 L 450.001 • \$100.000 M =\$I 00.00I -\$250,000
(Sec Columns CJ and 03) N =\$250.00I -\$500.000 0 =\$500.00I -\$1.000.000 P1 =\$1.000,001 -\$5.000,000 1'2
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(See Column C2) U =Hook Value V =Other W =Estimated

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. (Indicatepartofreport.)

Part VII. The assets listed in part VII, on lines omittedon the 2008 and 2009 Financial
119, 121, 122, 124, 125, 128, 130, 131and132 were inadvertently
Disclosure Reports.

Date of Ilcport

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent
children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not
reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have

been reported arc in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Richard J. Arcara

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT \IA Y BE SUBJECT TO CIVIL

AND CRil\IINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure Administrative Office of the United States Courts Suite 2-301 One Columbus Circle, N.E. Washington, D.C. 20544