

SELF INITIATED AMENDMENT  
RfCE\VE"tl  
UNITED STATES DISTRICT COURT  
DISTRICT OF CONNECTICUT 141 CH URCH STREET

Aul 1 kUS?Al  
NEW HAVEN, CONNECTICUT 06510  
CH

JAf,.fiSiej,

UNITED STATES DISTRICT JUDGE TEL. NO. 773-2456 !AREA CODE 2031

August 1, 2006

Hon. Ortrie D. Smith, Chair ;,i->-:&middot;{

,  
Judicial Conference of the United States  
Committee on Financial Disclosure  
One Columbus Circle, N.E.  
Washington, D.C. 20544

Re: Amended 2005 Financial Disclosure

Dear Judge Smith:

My Amended Financial Disclosure Report for Calendar Year  
2005 requires the following supplementation to Part VII, page 4,  
line 16:

; . , , . . .  
A. B. D. Description of Income during Gross value at end of Transactions during Assets reporting period reporting  
period reporting period

(1) (2) \_(1) (2) (1) (2) (3) (4) (5)

&bull; .

: , ; ; . . "

16. Agere Systems A Dividend Sell 9/11 J T

l \_; ' ' .&middot;' .

Kindly let me know if you wish a second Amended Report to be filed to reflect this supplementation.

&middot;&middot;'

.l&middot; &middot; ; --&middot; ; ; .. &middot;&middot;&middot;&middot;

&middot; ;

> .&bull;

UNITED STATES DISTRICT COURT  
DISTRICT OF CONNECTICUT  
141 CHURCH STREET  
NEW HAVEN, CONNECTICUT 06510

CHAMBERS OF  
JANET BOND ARTERTON  
UNITED STATES DISTRICT JUDGE

TEL. NO. 773-2456 !AREA CODE 203)

July 25, 2006

Hon. Ortrrie D. Smith, Chair Committee on Financial Disclosure Administrative Office of the United States Courts  
Suite 2-301 One Columbus Circle, N.E. Washington, D.C. 20544

Dear Judge Smith: Enclosed is my Amended Financial Disclosure Report for Calendar Year 2005, with three copies.  
Thank you.

net Bond Arterton

(  
Enclosures

Report Required by the Ethics  
AO JO FINANCIAL DISCLOSURE REPORT  
in Government Act of 1978  
Rev. //2006

(5 U.S.C. app. &sect;&sect;JO/-//)

I. Person Reporting Qast name, first, middle initial) ARTERTON, JANET B 2. Court or Organization U.S. DISTRICT  
COURT J. D1ttlf"fl&deg;8ed 7/25/2006

4. Title (Article III judges Indicate active or senior status; magistrate judges indicate full-or part-time) ARTICLE III  
JUDGE/ACTIVE Sa. Report Type (check appropriate type) D Nomination. Date D Initial D Annual D Final 6.  
Reporting Period 0110112005 to 12/31/2005

Sb. Amended Report

7. Chambers or Office Address U.S. DISTRICT COURT 141 CHURCH STREET NEW HAVEN, CT 06510 8. On the  
basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in  
compliance with applicable laws and regulations. Reviewing Officer Date

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the  
NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp, 9-13 of Instructions.)

[EJ NONE (No reportable positions.)

POSITION NAME OF ORGANIZATION/ENTITY

I.

2.

3.

5. II, AGREEMENTS. (Reporting Individual only; see pp. 14-16 of instructions.) D NONE (No reportable  
agreements.) PARTIES AND TERMS (1 r--, c:::i::;; (f)A'c:::,C)Z;&middledot;&middledot;10 o---;j;ir.....: c:-,  
;&middledot;l ,.\_\_, = <-c:.= r-N J> c..n (J m (') m < m 0

- I. 05113/95 Arterton/Garrison, Phelan, Levin-Epstein & Penzel, P.C., life insurance premiums through 05/05.
- 2. 04/01/92 GASWICK Properties; real estate partnership, New Haven, CT terminated 12/31/05.
- 3. 05/13/95 Garrison, Levin-Epstein, Chimes & Richardson, P.C. : Profit Sharing Plan/401 k Plan. (No control.)

FINANCIAL DISCLOSURE REPORT

Page 2 of 7

ARTERTON, JANET B

III. NON-INVESTMENT IN COME. (Reporting Individual and spouse; see pp. I 7-24 of instructions.)

A. Filer's Non-Investment Income

D NONE (No reportable non-investment income.)

I. 2005

2. 2005 GASWICK Properties

3.

5.

B. Spouse's Non-Investment Income

-

(Dollar amount not required except for honoraria.)

D NONE (No reportable non-investment income.)

I. 2005

2. 2005

3.

4.

5.

IV. REIMBURSEMENTS -transportation, lodging, food, entertainment

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

D NONE (No reportable reimbursements.)

I. National Employment Lawyers Association Speaker at Annual Meeting, Philadelphia, PA, 6124-6125105 (transportation & accommodations).

2. U.S. Embassy, Phnom Penh Judicial Workshops, Cambodia, 3/14-3/18/05 (transportation & per diem for food and lodging).

3.

4.

5.

3.

4.

5.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

D NONE (No reportable liabilities.)

CREDITOR DESCRIPTION VALUE CODE

I. Webster Bank Mortgage discharged April 15, 2005 on commercial property; New Haven, CT. M

2.

3.

4.

5.

Date of

FINANCIAL DISCLOSURE REPORT Page 4 of 7

ARTERTON, JANET B

7/25/2006

VII. INVESTMENTS and TRUSTS -income, value, transactions (includes those of the spouse and dependent children. see PP&middle dot; 34-57 of filing instructions)

D NONE (No reportable income, assets, or transactions.)

A.

Description of Assets

(including trust assets)

Place "(X)" after each asset exempt from prior disclosure.

B. Income during reporting period c. Gross value at end of reporting period D. Transactions during reporting period

(I) (2) (I) (2) (I) If not exempt from disclosure

Amount Code I (A-H) Type(e.g. div., rent, or int.) Value Code 2 (J-P) Value Method Code 3 (Q-W) Type(e.g. buy, sell, merger, redemption) (2) Date Month-Day (3) Value Code 2 (J-P) (4) Gain Code I (A-H) (5) Identity of buyer/seller (if private transaction)

I. Janus Global and International

A Dividend L T

2.

Wells Fargo Adv. Opp. Fund (Formerly A Dividend L T 04/2I Fund name change. Strong Opp. Fund}

3.

Third Avenue Value Fund A Dividend M T

4.

Vanguard Muni Bond Fund (Money B Dividend N T Market Account)

5.

Real Property/New Haven, CT: (20% c Rent Sell 4/15 M G share)

6. Garrison, et al Profit Sharing Plan/401 k: A Dividend N T

American Funds

7. Vanguard Windsor Fund A Dividend L T Buy/mo. J

8.  
TIAA/CREF Growth Fund A Dividend L T Buy/mo. J

9.  
Excelsior Value & Restructuring A Dividend K T

10.  
Vanguard Morgan Growth Fund A Dividend L T Buy/mo. J

11.  
Schwab US Treas. Money Fund A Dividend K T Buy 02/07 K

12.  
Vanguard 500 Index Fund A Dividend K T Buy/mo. J

13.  
Firsthand Technology Value Fund A Dividend Sell 02/07 J A

14.  
Goldman Sachs Internet Tollkeeper A Dividend Sell 02/07 K A

15.  
AYALA A Dividend J T

16.  
Agere Systems A Dividend J T

17.  
People's Bank (CD) A Interest J T

I. Income Gain Codes: A =>\$1,000 or less B =\$ 1,00 I &bull; \$2,500 C =\$2,50 I -\$5,000 0=\$5,001-\$15,000 E =\$I 5,00 I -\$50,000

(See Columns BI and 04) F =\$50,001 &bull; \$100,000 G =\$I 00,00 I -\$ 1,000,000 HI =SI,000,001-\$5,000,000 H2 =More than \$5,000,000

2. Value Codes J =\$15,000 or less K =\$15,001 -\$50,000 L =\$50,001 &bull; SI00,000 M =\$100,001 -\$250,000 (Sec Columns CI and 03) N =\$250,001 -\$500,000 0 =\$500,001 -\$1,000,000 P1 =\$1,000,001 -\$5,000,000 P2 =\$5,000,001 -\$25,000,000

3. Value Method Codes P3 =\$25,000,001 -\$50,000,000 R =Cost (Real Estate Only) P4 =More than \$50,000,000 T =Cash Market

(See Column C2) Q =Appraisal V =Other S =Assessment  
U =Book Value W =Estimated

Name or Person Reporting  
FINANCIAL DISCLOSURE REPORT Page 5 of 7  
ARTERTON, JANET B  
7/25/2006

VII. INVESTMENTS and TRUSTS income, value, transactions (includes those of the spouse and dependent children.  
See PP&cdot; 34-57 of filing Instructions)

-  
D NONE (No reportable income, assets, or transactions.)

A.

Description of Assets  
(including trust assets)

Place (X) after each asset exempt from prior disclosure

B. Income during reporting period c. Gross value at end of reporting period D. Transactions during reporting period

(1) (2) (I) (2) (I) If not exempt from disclosure

Amount Code I (A-H). Type(e.g. div., rent, or int.) Value Code 2 (J-P) Value Method Code 3 (Q-W) Type(e.g. buy, sell, merger, redemption) (2) Date Month -Day (3) Value Code 2 (J-P) (4) Gain Code I (A-H) (5) Identity of buyer/seller (if private transaction)

1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000 (See Columns B1 and D4) F = \$50,001 - \$100,000 G = \$100,001 - \$250,000 H = \$250,001 - \$500,000 I = \$500,001 - \$1,000,000 J = \$1,000,001 - \$5,000,000 K = \$5,000,001 - \$25,000,000 L = More than \$25,000,000

2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 (See Columns C1 and DJ) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000 P3 = \$25,000,001 - \$50,000,000 P4 = More than \$50,000,000

3. Value Method Codes R = Cost (Real Estate Only) T = Cash Market

Q = Appraisal S = Assessment

U = Book Value W = Estimated

(See Column C2) V = Other

FINANCIAL DISCLOSURE REPORT

ARTERTON, JANET B

Page 6 of 7

Re: II, 2 and VII, 5: Partnership holdings sale dates April 15, June 30, 2005. Final distribution December 31, 2005

Date of Report

FINANCIAL DISCLOSURE REPORT

Amended

ARTERTON, JANET B

Page 7 of 7

7/25/2006

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL SANCTIONS (5 U.S.C. app. § 104)

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure Administrative Office of the United States Courts Suite 2-301 One Columbus Circle, N.E. Washington, D.C. 20544