Report Required by the Elhics
AO JO FINANCIAL DISCLOSURE REPORT
in Government Act of 1978
Rev. 112012
FOR CALENDAR YEAR 2011 (5 U.S.C. app. §§ /0/-/ I I)
I. Person Reporting (last name, first, middle initial) ARTERTON, JANET B. 2. Court or OrganiLation U.S. DISTRICT COURT 3. Date of Report 511512012
4. Title (Article Ill judges indicate active or senior status; magistrate judges indicate full-or part-time) ARTICLE lII JUDGE/ACTIVE Sa. Report Type (check appropriate type) D Nomination Date D Initial [{] Annual Sb. D Amended Report D Final 6. Reporting Period 01/01/2011 to 12/31/2011

7. Chambers or Office Address
U.S. DISTRICT COURT
141 CHURCH STREET
NEW HAVEN, CT 06510

IMPORT ANT NOTES: The i11strnctio11s accompa11yi11g this form must be followed. Complete all parts, checking the NONE box for each part where you have 110 reportable i11formatio11. lnsert signature 011 last page.
J. P 0 SJ T J 0 NS, (Reporting i11di»id11al 011/y; see pp. 9-13 of filing instmctions.)
0 NONE (No reportable positions.)
POSITION NAME OF ORGANIZATION/ENTITY
I. Member Committee of the Proprietors of the Common and Undivided Lands in the Town of New Haven
2.

3.

4.

IJ. AGREEMENTS. (Reporting i11dfrid11a/ only; see pp. 14-16 of filing instnfftiom.)
0 NONE (No reportable agreements.)

PARTIES AND TERMS
I. 05/13/95 Garrison, Levin-Epstein, Chimes, Richardson & Fitzgerald, P.C.: Profit Sharing Plan/40lk Plan. (No control.)
2.

3.

Date of Report
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ARTEIHON, JANET B.
5/15/2012
III. NON-INVESTMENT IN co ME. (R<•porting indfridua/ and spouse; see pp. 17-1./ of filing imlrlll"lions.)
A. Filer's Non-Investment Income [{] NONE (No reportable non-investment income.)
SOURCE AND TYPE INCOME (yours, not spouse's)
I.

2.

3.

4.

B. Spouse's Non-Investment Income -I/you were married during any portion of the reporting year, complete this sCL"tion. (Dollar amount not required except for honoraria.)
D NONE (No reportable non-investment income.) SOURCE AND TYPE

I. 2011 Salary-George Washington University
2. 2011 Royalties-Rowman and Littlefield Publishers
4.
IV. REIMBURSEMENTS --transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25·27 '?(filing instructions.)
D NONE (No reportable reimbursements.) SOURCE DATES LOCATION
PURPOSE
I. Federal Bar Council 2119/ 11 -212611 1 Los Cabos, Mexico Winter Bench & Bar Conference
2. The Daily Beast/Newsweek 3/10/11-3/12/11 New York, NY Women in the World Conference Panel
3. Virtue Foundation 3/31/11-4/1/11 Washington, D.C. Senior International Judges Roundtable

ITEMS PAID OR PROVIDED
Transportation, lodging, food
Transportation, lodging, food
Transportation, food

FINANCIAL DISCLOSURE REPORT Page 3of7 Name of Pl·rson H.rporting ARTERTON, JANET U.
Date or Ill-port 511512012
V. GIFTS. (Includes those lo spouse and dependent c/1i/dren; see pp. 18-31 of filing illstructio11s.) [{] NONE (No reportable gifts.)
I. SOURCE DESCRIPTION VALUE

3.

4.

VI. LIABILITIES. (Includes those of.1pouse and dependent children; see pp. 31-33 of filing instructions.)
[{] NONE (No reportable liabilities.)
CREDITOR DESCRIPTION VALUE CODE
I.
2.
3.
4.
5.

Date of Rq1ort
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ARTERTON, JANET B.
5/15/2012
VII. INVESTMENTS and TRUSTS -·income, l1(lflle, transal'limu (/11c/11des those ofspou.\·e and dependent d1ildreu; see PP· 3./-60 of fl/in. i11str11ctio11s.)

D NONE (No reportable income, assets, or transactions.)
Transactions during reporting period
A. B. c.
Description of Assets Income during Gross value at end
(including trnst assets) reporting period of reporting period

(I) (2) (1) (2) (2) (3)
Place "(X)" after each asset Amount Type (e.g., Value Value Type (e.g., Date Value Gain Identity of
exempt from prior disclosure Code I div., rent, Code 2 Method buy, sell, mm/dd/yy Code 2 Code 1 buyer/seller
(A-II) or int.) (J-P) Code3 redemption) (J-P) (A-II) (if private
(Q-W) transaction)

I. Income Gain Codes: A =$1.000 or less u =$ t .001 -$2.500 c =$2.501 -$5.000 D =$5.001-$15,000 E =$15,001 -$50.000
(Sec Columns Bl and 04) F =$50.001 -$100.000 G =$100.001 -$ 1.000.000 Ill =$1.000,001 -$5.000.000 ll2 =More than $5.000.000
2. Value Codes J =$15.000 or less K =$15.001 -$50.000 L =$50.001 -$100.000 M =$100.001 -$250.000
(Sec Columns Cl and 03) N =$250.001 -$500.000 0 =$500.00 t -$1.000.000 Pl =$1,000.001 -$5.000,000 1'2 =$5.000.00 I -$25,000.000
P3 =$25.000.001 -$50.000.000 P4 =More than $50.000.000
3. Value Method Codes Q =Appraisal R =Cost (Real Estate Only) S =Assessment T =Cash Market
(Sec Column C2) U =Book Value V =Other W =Estimated

Date or Report
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ARTERTON, JANET B.
5/15/2012
VII. INVESTMENTS and TRUSTS -i11come, value, 1ra11sac1io11s <1nc11111es 11iose of.,pome a11u uepe11ue111 d1iluren; >ee ""· 1-1-60 offiting im1ruc1iom.J
D NONE (No reportable income, assets, or transactions.)
A. B. c. D.
Description of Assets Income during Gross value at end Transactions during reporting period
(including trust assets) reporting period of reporting period

(I)
(2) (2) (I) (2) (4) (5)
Place "(X)" after each asset Amount Type (e.g., Value Value Type (e.g., Date Value Gain Identity of
exempt from prior disclosure Code I div., rent, Code 2 Method buy, sell, mm/dd/yy Code 2 Code I buyer/seller
(A-II) or int.) (J-P) Code 3 redemption) (J-P) (A-II) (if private
(Q-W) trnnsaction)

18. Vanguard Small Cap Growth IDX Admiral A Dividend K T Merged (with line 19) 12/6/11 K
19. Vanguard Small Cap Growth (2 accounts) A Dividend K T Sold 12/6/11 K
20. Vanguard International A Dividend J T Sold (part) 7/12/11 M
21. Vanguard lnstitutional Index A Dividend J T Sold (part) 7/12/11 L
22. Vanguard Prime Money Market Fund A Dividend N T Buy 7/12/11 N
23. CREF Money Market A Dividend M T Buy 7/12/11 M
24. Columbia Value and Restructuring A Dividend J T Buy 7/12/11 J
25. Fidelity Retirement Money Market A Dividend 0 T Buy 7112/11 0

I. Income Gain Codes: A =-$1.000 or less u =$1,001 -$2.500 c $2.501 -$5.000 IJ =$5.00 I -$15,000 E $15.001 -$50.000

(Sec Columns HI and 04) F =$50.001 -$100.000 G =$100.001 -$1.000.000 Ill $1.000.001 -$5.000.000 112 =More than $5.000.000
2. Value Codes J $15.000 or less K =$15,001 -$50.000 L 450,001 -$100.000 M $100.00 I -$250.000
(Sec Columns Cl and 03) N =$250,00 I -$500.000 0 =$500.00 I -$1.000.000 Pl =$1.000.001 -$5.000.000 1'2 $5.000.001 -$25.000.000
P3 425.000.00 I -$50.000.000 P4 =More than $50.000.000
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Name of Person Reporting
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. (lndirntepartofreport.)
VII. Paragraph 5: Garrison, Levin-Epstein, Chimes, Richardson & Fitzgerald, P.C. and 401k American Funds/Morgan Stanley Smith Barney: The filer has no authority or control to select the funds within this plan, therefore the funds listed in the 2008 report arc being deleted from this and future reports.
VII Line 16: Bought monthly, less than $1,000 each month.

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5/15/2012
IX. CERTIFICATION.
I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.
I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported arc in compliance with the provisions of5 U.S.C. app. § 501 ct. seq., 5 U.S.C. § 7353 , and Judicial Conference regulations.
Signature: s/ JANET B. ARTERTON
NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE TlllS REPORT l\1AY BE SUBJECT TO CIVIL
AND CRll\llNAL SANCTIONS (5 U.S.C. app. § 10.t)
Committee on Financial Disclosure Administrative Office of the United States Courts Suite 2-301 One Columbus Circle, N.E. Washington, D.C. 20544