

Report Required by the Ethics
AO JO FINANCIAL DISCLOSURE REPORT
in Governmrent Act of" 1978
Rev. 112012

FOR CALENDAR YEAR 2011 (5 U.S.C. app. §§JOI-JI I)

1. Person Reporting (last name, first, middle initial) BABCOCK, LEWIS T. 2. Court or Organization U.S. DISTRICT COURT -COLORADO 3. Date of lfrport 04/24/2012

4. Title (Article III judges indicate active or senior status; magistrate judges indicate full-or part-time) JUDGE- SENIOR STATUS Sa. lport Type (check appropriate type) D Nomination Date D Initial [{} Annual D Sb. D Amended Report Final 6. Reporting Period OI/OI/2011 to I2/3 I/2011

7. Chambers or Office Address

1929 STOUT STREET, C450 DENYER, CO 80294

IMPORTANT NOTES: The instructions accompanying thisfor111 11111st be followed. Complete all parts, checking the NONE box for each part where you ha••e 110 reportable i11for111ation. Insert sig11at11re 011 last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 instructions.)

[{} NONE (No reportable positions.)

POSITION NAME OF ORGANIZATION/ENTITY

1.

2.

3.

4.

5.

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

[{} NONE (No reportable agreements.)

PARTIES AND TERMS

1.

2.

3.

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BABCOCK, LEWIS T.

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III. NON-INVESTMENT IN COME. (Reporting indfrid11al and spmi>·e; see pp. 17-U of filing instrm<·tions.)

A. Filer's Non-Investment Income [2J NONE (No reportable non-investment income.)

SOURCE AND TYPE INCOME (yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income -If/you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for imputed income.)

D NONE (No reportable non-investment income.)

SOURCE AND TYPE

I. 2011 Boat Anchors, Inc.

2.

3.

4.

IV. REIMBURSEMENTS -transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.) [2] NONE (No reportable reimbursements.) SOURCE DATES LOCATION PURPOSE ITEMS PAID OR PROVIDED

I.

2.

4.

5.

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

[2] NONE (No reportable gifts.)

SOURCE DESCRIPTION VALUE

I.

3.

4.

5.

VI. LIABILITIES. (Includes those of spouse and dependent child; see pp. 32-33 of filing instructions.)

[2] NONE (No reportable liabilities.)

CREDITOR DESCRIPTION VALUE CODE

I.

2.

4.

5.

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VII. INVESTMENTS and TRUSTS -income, ,allie, transactio11s (1nc111Jes 11iose of spouse and Jelep11Je111 children: see rr-14-60 of filing instructlons.)

D NONE (No reportable income, assets, or transactions.)

A. B. c. D.

Description of Assets Income during Gross value at end Transactions during reporting period (including tmst assets) reporting period of reporting period

(I) (2) (I) (2)

Place "(X)" after each asset Amount Type (e.g., Value Value Type (e.g., Date Value Gain Identity of exempt from prior disclosure Code I div., rent, Code2 Method buy, sell, mm/dd/yy Code 2 Code I buyer/seller (A-H) or int.) (J-P) Code 3 redemption) (J-P) (A-H) (if private (Q-W) transaction)

I. Income Gain Codes: A 0\$1.000 or less u =\$ t .oot . \$2.500 c \$2.501 -\$5,000 D \$5,001 -\$15,000 E \$15,001 - \$50,000

(Sec Columns B1 and 04) F =\$50,001 -\$100,000 G =\$100,001 -\$1,000,000 III \$1,000,001 -\$5,000,000 112 =More than \$5,000,000

2. Value Codes J =\$ t 5,000 or less K =\$15,001 -\$50,000 L =\$50,001 -\$!00,000 M =\$ too.oo t -\$250,000

(Sec Columns C1 and 03) N \$250,001 -\$500,000 0 \$500,00 t -\$1,000,000 Pt \$1,000,001 -\$5,000,000 P2 \$5,000,00 t -\$25,000,000

1'3 =\$25,000.00 I -\$50,000,000 P4 More than \$50,000,000

3. Value Method Codes Q =Appraisal R =Cost (Real Estate Only) S =Assessment T =Cash Market

(Sec Column C2) U :=Book Value V =Other W =Estimated

Dale of Report

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v II. INVESTMENTS and TRUSTS -income, 110/ue, transactions (/nc/ucles those of.\pOuse and Jelep11de111 (0hildre11; Sl't! pp. 3.J-60 of filing instructions.)

D NONE (No reportable income, assets, or transactions.)

A. B. c. D.

Description of Assets Income during Gross value at end Transactions during reporting period (including trust assets) reporting period of reporting period

(I) (2) (I)

(2) (2)

(4) (5)

Place "(X)" after each asset

Amount Type (e.g., Value Value Type (e.g., Date Value Gain Identity of exempt from prior disclosure Code I

div., rent, Code 2 Method buy, sell, mm/dd/yy Code 2 Code I buyer/seller (A-H) or int.) (J-P) Code 3 redemption) (J-P) (A-H) (if private (Q-W) transaction)

I. Income Gain Codes: A =\$1.000 or less B =\$1.00 I -\$2.500 c =\$2.501 -\$5,000 D =\$5.001 • \$15.000 E =\$15.001 · \$50.000 (Sec Columns UI and 04) F =\$50.001 -\$100,000 G =\$ 100.00 I -\$1.000.000 III =\$1.000,001 -\$5.000.000 112 =More than \$5.000.000

2.

Value Codes J =\$15.000 or less K =\$15,001 -\$50.000 L =\$50.00t -\$t00.000 M =\$!00.001 • \$250.000

(Sec Columns Cl and 03) N =\$250.00 I -\$500.000 0 =\$500.00 I -\$1,000.000 Pl =\$1.000.001 \$5.000.000 1'2 =\$5.000.00t \$25,000.000

P3 =\$25.000.001 -\$50.000.000 P4 =More than \$50,000.000

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VII. INVESTMENTS and TRUSTS -income, l'Olue, tra11sac·tio11s (/11d11Jes those of!>pouse and di•pendenl diildren; see PP· 34-60 of filing instructions.)

D NONE (No reportable income, assets, or transactions.)

A. B. c. D.

Description of Assets Income during Gross value at end Transactions during reporting period
(including trust assets) reporting period of reporting period

(2) (I) (2)

(I)

(3) (5)

Place "(X)" after each asset Amount Type (e.g., Value Value Type (e.g., Date Value Gain Identity of
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(A-II) or int.) (J-P) Code) redemption) (J-P) (A-H) (if private
(Q-W) transaction)

35. Brokeridge Account #1 (IRA)

36. a) Capital World Growth Income A fka B A Dividend K T

37. b) Capital Income Building Fund B A Dividend K T

38. American Funds IICA A Dividend K T

39. Brokcridge Account #3 r) Legg Mason Value Trust, Inc. A Dividend J T

40. Brokcridge Account #3 CBS A Dividend J T

I. Income Gain Codes: A =\$1.000 or less ll =\$1.00 I -\$2.500 c =\$2.501 -\$5.000 0 =\$5.001 -\$15.000 E =\$15.001 - \$50.000

(Sec Columns Bl and 04) F =\$50.001 -\$100.000 G =\$100.001 -\$1,000.000 111 =\$1.000.001 -\$5.000.000 112 =More than \$5.000.000

2. Value Codes J =\$15,000 or less K =\$15.001 -\$50.000 L =\$50.001 -\$100.000 M =\$100.00 I -\$250.000

(Sec Columns Cl and 03) N =\$250.00 I -\$500.000 0 =\$500,00 I -\$1,000.000 Pl =\$1.000.001 -\$5,000,000 1'2 \$5,000,001 -\$25.000.000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. (Indicate part of report.)

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ LEWIS T. BABCOCK

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure Administrative Office of the United States Courts Suite 2-301 One Columbus Circle, N.E. Washington, D.C. 20544