

RECEIVED Aµ 31
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UNITED STATES DISTRICT COURT
UNITED STATES COURTHOUSE
500 PEARL STREET
NEW YORK, NY 10007

CHAMBERS OF . TEL (212) 805-OU!1•4 HARC>L.O BAER, JR. FAX (212.) 805-7901 DIS'rRICT .JUDGE
UNOFFICIAL

August 23, 2003

Hon. Mary M. Lisi, Chair Judicial Conference of the US Committee on Financial Disclosure One Columbus Circle
NE Washington, DC 20544

Re: Calendar Year 2003 Filing for Harold Baer, Jr.

Dear Judge Lisi

Thank you for your letter of July 26. I attach the detail for Part IV, lines 1, 4 and 5 that you request and I note the information regarding reporting contained in the second, third and fourth paragraphs of your letter. Thank you. In any event, if you want additional information, I am available to provide it.

RECEIVED

HON. HAROLD BAER, JR.

FINANCIAL DISCLOSURE 2003 . .4Yg 3.1 .ll gs r &middle;'Qy

PART IV REIMBURSEMENTS

Line 1 American Law Institute/American Bar Association

May 8 to May 10, 2003

Transportation, lodging and food

Line 4 Mason School of Law &middle;

June 26 to June 29, 2003

Transportation, lodging and food

Line 5 Pace University School of Law

October 17 to October 18, 2003

Transportation, lodging and food &middle;

George

Q

FINANCIAL DISCLOSURE REPORT

: 1/2004

Calendar Year 2003

1. Person Reporting (Last name, First name, Middle initial) BAER, JR, HAROLD

4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full or part-time)

7. Chambers or Office Address ROOM 2230 U.S. COURTHOUSE 500 PEARL STREET

2. Court or Organization

US DISTRICT

5. Report Type (check appropriate type) 6. Reporting Period

Q Nomination, Date 1/1103

0 Initial @ Ammal 0 FJnal to 12131/03

8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.

Reviewing Officer

NEW YORK, NY 10007

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the

NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions) D NONE -(No reportable positions.)

OF
1. TRUSTEE DANIEL FREY TRUST

C-J ,-,....., 71,;.n..

c· ;:

-:.....-:~?, -rt >

0 NONE -(No reportable agreements.)

PARTIES AND

1. NEW YORK CITY MANAGEMENT WELFARE FUND

III. NON-INVESTMENT INCOME. (Reporting individual and spouse see pp. 17-24 of: filing instructions)

A. Fileir's Non-Investment In•come

D NONE {No reportable non-investment income.)

-

IV. REINIB URSE:NIENTS -transportation, todging, food. entertainment.

(In.eludes those to spouse and dependent children. See pp. 25-27 of instructions.) D NONE (No such reportable reimbursements.)

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6. METIING OF US IUDICIAL. CONFERENCE OR

FINANCIAL DISCLOSURE REPORT

NameofPersonReporting

Date of Report

BAER, JR, HAROLD

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

D NONE -(No such reportable gifts.)

L ROSEN WEDDING GIFrOF CAVIAR. \$250

VI. LLA.BILITIES. (Includes: those of spouse and dependent children. See pp.•32-34 of instructions.) 0

NONE (No reportable liabilities.)

-

DESCRIPTION

1.

VALUE CODE

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

VI. LIABILITIES

.

FINANCIAL DISCLOSInlIE REPORT

Name of Person Reporting Date of Report _J'

BAER, JR., lli\R.OLD 514104 -income, value, transcations (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

Page 1 of3

VII. INVESTNIENTS and TRUSTS

-

A.

Description of Assets
(including trust assets)
Place "(X)" after each asset exempt from prior disclosure

(No reportable income, interests, or transactions)

DNONE

GILLETTE CO.

1.

ROCHESTER FUND

2. WALT DISNEY & CO.

3.

-

EXXONMOBIL CORP.

4.

-

HOME DEPOT INC.

5.

-

PROCTOR & GAMBLE CO.

6.

7.

OAKMARK INTERNATIONAL FUND (IRA)

-

8. VANGUARD FUND (IRA) SHORT TERM CORP FUND

SCUDDER GLOBAL FUND

9.

VERIZON COMM

10.

-

BELL SOUTH CORP

11.

INTEL CORP.

12.

-

EXXON MOBIL CORP.

13.

-

ROCHESTER MUNICIPAL FUND, INC.

14.

-

15. SBC COMMUNICATIONS, INC.

-

16. FIRST ENERGY CORP.

PFIZER, INC.

PUBLIC SERVICE ENTERPRISE GROUP

18,

c. Income during reporting period
Gross value at end of reporting period

(1) (2) (1) (2)

Value Code 1 div. rent or Code2

Amount Type (e.g. Value

Method (A -H) int.) (J-P)

Code3 (Q-W)

T

A Dividend K

T

D Dividend M

A Dividend

A Dividend K

T

T

A Dividend K

A Dividend L

T

T

A Dividend J

T

A Dividend K

A Dividend J

T

A Dividend J T

A Dividend J

T

..

A Dividend K

T

A Dividend K

T -

B Dividend K

T

B Dividend

B Dividend

T

C- Dividend M T

c Dividend L

T (1)

Type (e.g. Date: buy, sell, Month-merger,

Day

redemption)

Part Sale 5/15

SALE 4/16

PART 12/15 SALE

PART 4/16 SALE

SALE 4/16

D.

Transactions during reporting period

not exempt disclosure

Value Gain Identity of

Code1 buyer/sellerCode2

(A-H) (if private transaction)

{J-P)

J D

J D

K D

J D
K E
E =:\$15,00M;S(1,000
FINANCIAL DISCLOSURE REPORT
Name of Person Reporting
Date of Report
Page of 3
BAER, JR., HAROLD
514104 VIT., INVESTMENTS and TRUSTS -income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.) -
B. c. D. Income during Gross value at end of
A.
Transactions during reporting period
Description of Assets
reporting period reporting period
(including trust assets)
not exempt disclosure
(1) (2)
Place "(X)" after each asset exempt
from prior disclosure
CYPRESS SEMICONDUCTOR CORP.
19.
-
Amount
Date:
(e.g. Value Value Type (e.g.
Value Gain Identity of
div. rent. or Code 2 Method buy, sell,
Code 1
Month-Code 2 Code 1 buyer/seller
in) (J-P) Code 3 merger,
Day (J-P) (A-H) (if private
(A-H)
redemption)
(Q-W)
transaction)
None K T
J A
Interest J T REDEEMED
A
NYS DORM AUTH 7.40%
20.

OPPENHEIMER CAPITAL APP'REC. FD -
21.

NASSAU C'NTY, NY 6.50% 2010
22.
-
QUEST FOR DUAL PURPOSES :FUND IRA -
23.
TXU CORP 24.
US SA VJNGS BONDS

25.

26.

NYC, NY G/O 5. 75% 2013

-
**OCC CASH RESERVES PRIMARY
PORTFOLIO FD

-

27.

MERK&CO.

28.

-
**EMERGING MARKETS INCOME FUND II, INC.

29.

30 .. **:MIFS CHARTER INCOME 1[RUST -SBI -
**ENTERTAINMENT PROPERTIES TRUST

31.

**ABOVE PROPERTY HELD BY ME AS TRUSTEE U/W DANIEL FREY -

32.

I HAVE NO BENEFICIAL INTEREST IN THE TRUST.

33.

3.67% INTEREST BUILDING BROOKLYN, NY

34.

OCC CASH RESERVED

35.

36.

OPPENHEIMER QUEST CAPITAL VALUE FUND

B
A
A
B
A
A
A
A
A
A
A
B
B
A

.
None Interest Dividend Dividend Interest Interest Dividend Dividend Dividend Dividend Dividend K K J J J J .K J K

T T T T w T T T T D REDEEMED SALE 7/1 213 J J A A --
Rent Dividend None J J J T T

., ' .• ,;,.·;·' ! i '·' ..
'f'.
(SeeColumnC2) ,

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting Date of Report

.Page 3 of3 BAER. JR., HAROLD 5/4/04

VIL and TRUSTS -income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57
offiling instructions.)

-

A. B. c. D.

Description of Assets (including trust assets) Income during reporting period (1) (2)- Gross value at endJ of reporting
period (I) (2) (1) Transactions during reporting period not exempt from

Place "(X)" after each asset eJ(eJnpt
from prior disclosure

Type (e.g.

Date:

Amount Type (e.g.

Code! div. rent. III"

Month

Day

merger,

(A-ff) int.)

(J-P) (A-H) (ifprate

redemption)

(Q-W)

transaction)

EMERGING MARKETS FD NOW SALMON A Dividend BROS EMERGING MARKETS II -
37.

PLAINS ALL AMERICAN PEPF..LINE LP A Dividend
38.

SMUCKER J.M CO A Dividend -
39.

40. MEDCO HEALTHII SOLUTIONS A Dividend

J T

J T

J T

J T SPIN-OFF 8/19 J

42.

J T

BUY

12/4

CHICOS FAS INC A Dividend

J

J T

BUY

11/20

GENERAL ELECTRIC CO A Dividend

J

-

J T

BUY

12/4

PARK PLACE ENTERTAINING CORP A Dividend

J

43.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief; and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.