

Report Required by the Ethics

A. -0-ID.

Rev. 1/2/04

! . P.:son Repon:HG {Last name, First name, middle initial} ( Baldock, Bobby R

4. Title (Article; HI Judges for: duty; or ;renu status; magistrate judges indicate :dl or ;mrttime)

U.S. Circuit Judge-Senior

1. Chambers Office Address

P.O. Box 2355

ROs--.vell, NC\ Mexico 882C2-2388

in Government Act of 1978

(5 IL.S.C. app. &sect; 101-11

2. Court or Organization 13. Date of Report

U.S. Court of Appeals--10th Cir 3/27/2004

5. Report Type (check appropriate type) 6. Reporting Period

11112003

0 Initial @ Annual Q Fb to 1213112003

8. On the basis of the information contained in this Report and the modifications pertaining thereto, this, in my opinion, in compliance with applicable laws and regulations.

U.S. PORT A.N.T NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information, Sign on last page,

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions) NONE (No reportable positions.)

POSITION

1.

2.

-

-

(.t).

\\" s:

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions) NONE (No reportable agreements.)

!

!

IV. REIMBURSEMENTS -transportation, lodging, room, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

D NONE (No such reportable reimbursement.)

&middot;

Seminar (Transportation, Meals & Lodging .. August 5-7, 2003)

Graduation Speaker-Transportation, Meals & Lodging (April 11-13, 2003)

V. GIFTS (Includes those to spouse and dependent children. See pp. 28-31 of instructions.) NONE (No reportable gifts.)

SOURCE

1.

,

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instruction&bull;)

liZl NONE (No reportable liabilities.)

&bull;

CREDITOR VALUE

1.

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Page 1 of 2

Baldock, Betsy R 312712004 vrr. IN\ESTI\&middledot;ffINTS a:J.d TRl:STS -lo.come, value, transaction (include: report of spouse and dependent children. See: pp. 3, 57 of filing instructions.)

1. a. Income during Description of Asset Reporting Period

(including trust assets) (<) (2)

Plan; e.g. "after tax exempt

Amount TTP" (e.3.

front prior disclosure

Company; { div, r'l:rrt. or

(A&middledot;H)

.int)

(Q-W)

transfer (Silction)

c.

Gross value at end of Transaction: s dmlng re&micro;o.\'" .ng period reporting, period

is: nc;; exempt from: dls.:!m:ure

(2) (1){:)

::>c::e:

Type IC.g.

Value Value

Value Gain Idoticy of

buy, sell,

f>.frmlh

Code&cent;2 t.fothod

Code 2 &deg;"" buyw'scll::r

redemptiou) Ccde3 Duy

(ifprivz:e

OP)

1 (A&middledot;

""

DNONE (No reportable income: !l:, assets, or rtrJ.nSHctions) .

.. Moaill Lynh IRA.(Items (a) through (g)

2. (a) XCEL

(h) Aim Weingartaii-(Mutual Fund)

(c) Lord Abbett (Mutual Fund) US Government Securities

(d) ING Small Cap (Mutual Fund)

6. (e)Franklin Inves. Sec. TR Equity Income (Mutual

Fund)

7. (f) Merrill Lynch Retirement Reserves !. (g) (Withdrawal)

Hunker Corn.mun (El Paso, Nalajo, Western Oil. Mewbourne Oil)

Lot in South Spring Acres. Roswell, NM

11. Stock in South Spring Acres. Roswell, NM

12. inernls, Washita County, OK (BBX

o;:eorp.J

13.

Silver and Silver Coins

.

14.  
Gold Coins

i.5. Navajo, Hockley County TX

16. Pioneer Savings Bank

T

Dividend

Dividend

K

B

Dividend

K

T

Dividend

-

T

Dividend

Interest

T

Distribt.tion

T

Royalty

w

Norn:

w

:-ione

A

Rent

w

T

None

T

None

J

A

Royalty

w

J

T

A

Interest

Interest

Pioneer Savings Bank

i&. Worldng interest in Hodges Hockley County, TI{

A

Royalty

(X)

””

- ,(<

&middot; 1. mcc11te1Ga;n Cod.ts; A = \$.1,000 c:r.ess B -;S1,tf.Jl-i1'':\::1uo C \_=S2,50:1-S5,GOO\_\_

! {S&cent;4 Ccli.:nm\_s BJ .&deg;lif&middot; F ""\$50,COJ-SIOC,CQO G ...,SIOD,00"-SJ ,OOO:CCU&middot;

(<ft \_\_, SJ.000,0015:1)00,'iCO .<: H1 \_\_, tvbrethmi:SS;000,000

!I

(See Ctilllr.l>iil Cl -,md-Dl)

&middle; J =S'i5.QOO:c:le?>S . Jc.' 1soOr\$&middle;d,ooo: t- \_\_, :SS(t;ooi ..\$roo;ooo &middle;\_&middle;M-  
\_. ""s100;001 S2so,ooo 0 ".S500;'.:-)l-S1,{r.)(l,000-

-N ""S25fr,OGC.,\$50Q,CCO ?-3 :"' S25,00C\0l S30,CIID.GOO. &middle;

Q """"""

U ""-Book VL:::

Pl ""-\$i,C00,00JS5,000,0C-\_c -&#65533;&middle;-:...ss,OOQ,{ 10t-S25,0CO,COO

:Fl'iAi'<CL.;..L fISCLOSURE RE:?ORT

D::te of Re:or::

Page 2 of 2

Balrloc,, 3obby R

3127!2004

B.

c.

D.

A.

L<.Ci)ffie dW.ng

G:r:ss YaiJ.te E: end of

Transaction.<:: >iurdig :eorting perlolr

9escriptoo oi Assc:s

re&micro;ort&#65533;<g ;ieriod

reporting period

\inducing l!U\$t <:.s.oe:s)

?lace '(X)" a."ler

eDclt nsset exempt Type liq&#65533;.

Date:ValueVaineType

h;JOur.t

{4.g.

buy, sel

from prior disclosure

Month w

.M..etb.:d Cade 2

Code l

div. :'eflL or merger, DayCcde3(J-P)

(A-h")

in!.)

redemption}

(Q-1)

(9, Working Ir.terest in Jeffers -Hockley County, TX Royalty

(X)

Wart:ing Ir.rCl>"t in Pair -Hockley County, TX {X}

J T

A

Royalty

21. Working Interest in R-0ed -Hockley County, TX {X}

A

Royalty

T

J  
Working Interest in Whitfield Hockley County, TX Royalty  
(X)

A  
Working Interest K  
T23. Cash. Value Life Insurance, Charlotte, NC (X)

Gain Codes: &middle\_dot; "A = Si.ODO orles\ : &middle\_dot;B:: .=\$1,001-\$2,500 (See Column) B1  
&middle\_dot;D4) F -\$50,001-\$100,000:C \_\_ (f. &bull;&bull;&bull;'\$100-\$1,000-Sl.<XJ'.i,ODO &middle\_dot;K\_&middle\_dot;=-  
:\$1's-;of 1.4soOOe, &middle\_dot;-

Vake  
Cccie 2 (J&middle\_dot;PJ  
(SteColU.'1\t 2l JJtd.D1} } ,;=-S2:l0,G10-\$500:8Ct. 9\_ ""S:iOO,OOl-S,,:cc,ooo Pl ,\_.\$1,'0.00,0Cl;SS,OCC,CGC . P2 -  
=-\$5,CJ)CJ-\$25,0G,000 . = s2J,eoo'.001-&pound;s&middle\_dot;o.xi\_6'0--?<: . ""SJ\kf.ire:tt-,a,;,:;:50,000,000 .

&middle\_dot;  
-Se;;-C-c:!!1mc2: U :s::ot::Vaieu V =Other '\ ,\_,;ln:<:1tit0

Gain Identity of  
Inlyerisefler  
{if private  
H\ Iransaotion)

FINANCIAL DISCLOSURE REPORT :Jatc of Report 3aldock, Bobby R

. 2127/2004

vm. ADDITIONAL INFORMATION OREXPLAINED (1.00icate part of Report)

fTierrill Lynch ill.A, The assets held in this rRA. are identified as items (a) through g).

Item 9; This is the same Eddy County property as shown in previous reports, however. Mewbrmrne Oil no&bull;v  
has an interest covering a portion of the  
property, There was not enough space in which to put the location of the property as Eddy County after laded  
in fewbourne Oil

Item 15: Item 15 from last year's report, Bank of America, has been deleted. No interest is paid so there is no income.  
Item 22 & Item 23 were acquired in 2003 and are being added.

Item 23: This report reflects Extra Ordinary Life insurance policies issued. I neglected to report this earlier  
because I did not realize that life insurance policies were reportable investments. Upon re-reading the instructions for  
last year's form, there was reflected an amendment regarding the reporting of cash value policies. I examined the  
matter further, realized that the policies have a cash value component and am therefore reporting them. The gross  
values reported in Column C is the total value of the policies, which have both whole life and term components,  
The cash value of the policies is in the \$1.5,000-50,000 range.

## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent  
children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not  
reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have  
been reported are in compliance with the provisions of 5 U.S.C. &sect; 501 et seq., 5 U.S.C. &sect; 7353, and Judicial  
Conference regulations.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS

REPORT 1v1A Y . BE SUBJECT TO CIVIL AND CRDJINAL SANCTIONS (5 U.S.C. app. &sect; 104)