

Required by the F.R.I.C.
FINANCIAL DISCLOSURE & .
A0/0

Government Acronym/978

Rev. 11/2010 FOR CALENDAR YEAR 2009 (5 & .C. app. & ;& ;IOI-I I I)

I. Person Reporting (last name, first, middle initial) BALDOCK, Bobby R. 2, Court or Organization U.S. Court of Appeals-10th Cir J. Date of Report 4/15/2010

4. Title (All judges indicate active or senior status; magistrals: judges indicate full-or part-time) U.S. Circuit Judge -Senior Sa. Report Type (check appropriate type) Nomination, Dole Final Amended Report 6. Reporting Period 01/01/2009 to 12/31/2009

7. Chambers or Office Address P.O. Box 2388 Roswell, New Mexico 88202-2388 8. On the basis of the information contained in this report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer Date----

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting Individual only: supp. 9-13 of filing instructions.)

NONE (No reportable positions.)

I. Trustee Trustee

2.

3.

4.

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s.

II, AGREEMENTS & ; (Reporting Individual only; see PJI. 14-16 of filing instructions.)

NONE (No reportable agreements.)

I.

2.

3.

--& .

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BALDOCK, Bobby R.

III, NON-INVESTMENT INCOME. (Reporting Individual and Spouse; see pp. 17-U of filing instructions.)

A. Filer's Non-Investment Income

[ZJ NONE (No reportable non-investment income.)

.lli..CQME

(yours, not spouse's)

I.
2.

3.

4.

B. Spouse's Non-Investment Income -I/you were "arrled during any port/011 oft11ercportlmg year, compl.re this scct/011.

(Dollaramount not required except for honoraria.)

[{}] NONE (No reportable non-investment income.)

I.

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IV, REIMBURSEMENTS -transportation, /odging,food, tntcrtalmnenl. (Includes those to spouse and dependent children; see pp. 25-27 of filing l11St1uctions.)

NONE (No reportable reimbursements.)

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VI, LIABILITIES. (Inclul/es thfJseofspouse and deperrl/em c/1ildre11; see pp. 32-31 fJ/jiling Instruclnns.)

D NONE (No reportable liabilities.)

I. Pioneer Savings Rental Property #2, Chaves County, NM L

2.

3.

4.

5.

....

{including trust assets)

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VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of spouse and dependent children; see Part VIII of filing instructions.) NONE (No reportable income, assets, or transactions.)

D

1. Merrill Lynch IRA

TD Dividend M

2. -XCEL

3.

-Aim Weingarten Mutual Fund

4.

-Lord Abbett (Mutual R Fund)

5.

-ING Small Cap (Mutual Fund)

6. -Franklin Inves. Sec. TR Equity Income (Mutual Fund)

7.

-Merrill Lynch Retirement Reserves

8.

-Merrill Lynch Cash Equivalent Accounts

9.

-First Bank of Puerto Rico

Buy 619109

J

10.

Matured

09/14109

K

11. Hunker Commune (El Paso, Navajo,

B

Royalty J

w

*See VIII Western, Adventure•)

12. Lot in South Spring Acres, Roswell, NM

None J

w

13. Stock in South Spring Acres, Roswell, NM Dividend K

wD

14. Minerals, Washita County, OK (BBX Oil

Rent J

w Corp.)

c

15. Silver and silver coins

None J T

16. Gold coins None J

T

17. Navajo, Hockley County, TX B

wRoyalty J

c. Gros.s value at end

Transactions duri reporting

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J[

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Value

Gain

Dole2

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reporting period

of reporting period

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(I) (2) (I) (2)

Identity of

Type(c.g

Code I

Codc2

buyer/seller

buy, sell,

mm/dd/yy

i

{A-H}redemption)

(if private

Lrsns:iction)

..J

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 \$2,500
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 C -\$2,50 I
 -\$5,000 D ..-\$5,001. \$15,000 HI •\$1,000,001 -\$5,000,000 III ore than \$5,000,000 L=\$50,00I -S 100,000 M
 •\$100,00I \$250,000
 •\$5,000,000 P2 =\$5,000,001 · •
 E =\$15,001
 •
 \$50,
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 A =S 1,000 or le" SI00,000J •\$ 1 5,000 or less
 • ·
 -
 B =\$1,001
 ·
 (See Column• BI and 04) f =\$50,001
 2. Vnluc Cotet (Sec Cohums CI and OJ)
 K •\$15,001
 SJ0,000
 N =\$250,00! 0 -S500,00I ·SI ,000,000
 \$500,000 P1 •\$1,000,001
 \$25,000,000
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 P1 =\$25,000,00I -\$50,000,000 I'4 •More than \$50,000,000
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 ·
 T =Cash Market
 V =Other
 0"" Appraisal
 S•Amssment
 W mEstiniated
 (See Column C2)
 U •Book Vilue
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VII. INVESTMENTS and TRUSTS --income, value, transactions (Includes those of spouse and dependent, child, partner, s., PP· u-60 nffiling instructions.)

0 NONE (No reportable income, assets, or transactions.) ---·---n. ·---·-----1

III = \$1,000,000 - \$1,000,000 12. Value Codes J•\$5,000 or less K=\$15,001•\$50,000 L•\$50,001-\$100,000 M=\$100,001-\$250,000

(See Columns Code 03) rt •\$1,000,001 •\$1,000,000

N •\$10,001 •\$500,000 0 •\$500,001 •\$1,000,000 P2 = \$5,000,001 •\$25,000,000

I

r3 •\$25,000,001 •\$10,000,000 P4 •1\l.crc till>n \$5-0,000,000I 3. Value Method Codes Q •Apprai

.t R =Con (Rut fatale Only) S :::Assc!sment T o:Cash Market (Sec Cotumn C2) U =Book Value V •Other W

<=Estimated

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D•t• of RepoIt

N•me of Person Rel orllng

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VII. INVESTMENTS and TRUSTS -income, value, transactions (Includes those •I spouse and dependent child; see Part 14-IJO of filing instructions.)

D NONE (No reportable income, assets, or transactions.)

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c.

I -. B.

Gross value•luc al end

----· Transactions during

Description of Assets Income during of reporting period

(including trust assets)

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Value (2)

Value

Code2

Method

Typ2lc.g.,

or int.)

-1

Type (e.g., Date Value Gain Identity of buy, sell, b11ycrisciler (if private

---Clio.

___ (5)

Place "(X)" after each asset

!

(A-II)

Code 21 Code

mmldd/yy

exempt from prior disclosure

redcmplior.) (J-P)

Codc3

(J-P)

(Q-W)

--(i) -__1

J__

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1: .\iS,Ooliiio.ciO-O \-

! (See Cnhunn• B1 and 04) F =S10.001&middledot;S100,000 G =St00,001 -S1,000,000 HI •\$1 ,000,00t •
\$50000,000 112 •Morcth30 \$5,00.000

12.Vah><:Codes J•St5,000orbs K=S11,001&middledot;S10,000 L•S50,001-S100,000 M=\$t00.001-S250,000

(See Cohnnn• C1 •n 03) N •S210,00I • \$500,000 0 =S50Q,00t • S1.000,000 rt =S1,000,001 -
S1,000,000 P2 =S1,000,00t • \$21,000,000

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P3 •121,000,00 I • \$50,000.000 r4 -More 1han \$50.000.000

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3. V•h Method Code• Q""ApprnisI R ooCos1 (Re•I fata1c Only) S=AS'iment T =On:h Msrkct
(Sec Cokm., C1) U -=Hook Value V-Other W=E:rtimatct!

,,, _____ --&middledot;----

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VII. INVESTMENTS and TRUSTS _;,,,o,,, vatu•, 1ransactio11s <1nc111t1e.•

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D

NONE (No reportable income, assets, or transactions.)

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,-&middledot; ---ofA:--&middledot;--&middledot;

sse

Transactions duri reporli-'_

(lr)eorting pe(r2io)

L-(includ.ing trust assets)d of reporting period _4 Gngd_ --_1__.' --cl) -c2> CJ> (4) <> Place "(X)" after each asset

Amount J Type (e.g., Value Type (e.g., Date Value ain Jden;1ty of j'from prior disclosure div., rent, Code 2 buy, sell,
inm/dd/yy Code 2 Code I buyer/seller

Code 1 J1

(A-H) or int.) {J-P}

Code 3

redemption) {J-P} (A-11) {if pnvatc

__J_w

52. -Arizona St Univ Revs Cpn FGIC OID @98.50 BE/R/5

53. -Reserve Management Account Money Market

(See Column B1 and D)

2. Value: Code

B = \$1,001 • \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000

G = \$50,001 - \$100,000 H = \$100,001 - \$500,000 I = \$500,001 - \$1,000,000 J = More than \$1,000,000 K = \$1,000,001 - \$5,000,000 L = More than \$5,000,000 M = \$5,000,001 - \$25,000,000 N = More than \$25,000,000

F = \$50,001 - \$100,000

(See Columns C1 and I3)

N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P = \$1,000,001 - \$5,000,000 Q = \$5,000,001 - \$25,000,000 R = More than \$25,000,000

I.

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. 3

rJ = \$25,000,001 • \$50,000,000 N = More than \$50,000,000

Q • Appraisal

-----&middledot;-----&middledot;

. Vn1uc Method Codes

(See Column C2)

-.

R = Real Estate Only

S • Assessment Type: C, H, M, R

U = Book Value

V = Other

--&middledot;--

W = Weighted Average

&middledot;----&middledot;

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. (Indicate part or whole)

Item 1 - Adventure Exploration purchased Rex Energy

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure. I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure Administrative Office of the United States Courts Suite 2-301 One Columbus Circle, N.E. Washington, D.C. 20544
