

Report Required by the Ethics
AO 10 FINANCIAL DISCLOSURE REPORT
in Government Act of 1978
Rev. 112012

FOR CALENDAR YEAR 2011 (5 U.S.C. app . .H&middledot; JOI-I I I)

1. Person Reporting (last name, first, middle initial) BALDOCK, Bobby R. 2. Court or OrganiLation U.S. Court of Appeals-10th Cir 3. Date of Report 4/25/2012

4. Title (Article III judges indicate active or senior status; magistrate judges indicate full-or part-time) U.S. Circuit Judge -Senior Sa. Report Type (check appropriate type) D Nomination Date D Initial w Annual Sb. D Amended Report D Final 6. Reporting Period 01/01/2011 to 12/31/2011

7. Chambers or Office Address

P.O. Box 2388 Roswell, New Mexico 88202-2388

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box/or each part where you have 110 reportable information. Insert signature on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.) POSITION NAME OF ORGANIZATION/ENTITY

1. Trustee Trust #I

2.

3.

4.

II. AGREEMENTS. (Reporting indfridual 1mly; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

PARTIES AND TERMS

1.

2.

3.

Date of lkport

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BALDOCK, Bobby n.

III. NON-INVESTMENT IN COME. (Reporting indfridual and spouse; see pp. I 7-2./ of filing instructions.)

A. Filer's Non-Investment Income NONE (No reportable non-investment income.)

SOURCE AND TYPE INCOME (yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income -I/you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for lwnoraria.)

[{}] NONE (No reportable non-investment income.)

SOURCE AND TYPE

I.

2.

3.

4.

IV. REIMBURSEMENTS -transportation, lodging.food, entertainment. (Includes those to spouse and dependent children; see pp. 25-27 o(filing instructions.)

D NONE (No reportable reimbursements.) SOURCE DATES LOCATION PURPOSE ITEMS PAID OR PROVIDED

I. Alliance Defense Fund -The August 2-4, 2011 Phoenix, Arizona Legal Fellowship -Speaker Transportation, meals, lodging

Blackstone Legal Fellowship

Washington & Lee University October 20-22, 2011 Lexington, Virginia Assist with Moot Court Transportation, meals, lodging

School of Law Competition

4.

5.

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instrctions.)

[2] NONE (No reportable gifts.)

SOURCE DESCRIPTION VALUE

I.

2.

3.

4.

VI. LIABILITIES. (Indudes those of.1pouse and dependent children; see pp. 32-33 of filing instructions.) D NONE (No reportable liabilities.)

I. CREDITOR Pioneer Savings DESCRIPTION Rental Property #2, Chaves County, NM VALUE CODE L

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -income, ratue, 1ra11saclio11s (Indudes 11tm•e of spouse and dependent children: see PP&middle; 3.J-6o of filing i11!tlruc:1ionJ

D NONE (No reportable income, assets, or transactions.)

Description of Assets

B. c. D. Income during Gross value at end

Transactions <luring reporting period

(including trust assets) reporting period of reporting period

(I) (2) (1) (2)

(3)

(4)

(I)

(5)

Place "(X)" after each asset

Amount Type (e.g., Value Value Type (e.g., Date Value Gain Identity of

Code I

exempt from prior disclosure

div., rent, Code 2 Method buy, sell, mm/dd/yy Code 2 Code I buyer/seller

(A-H)

or int.)

Code 3 redemption) (J-P) (A-H) (if private (Q-W) transaction)

I. Merrill Lynch IRA c

T

Dividend M

2. -XCEL

-Invesco Global Core Equity Fund (X)

4.

-Invesco Yan Kampen Comstock Fund (X)

5.

-Lord Abbett (Mutual R Fund)

6.

-ING Small Cap (Mutual Fund) (Y)

7.

-Franklin Inves. Sec. TR Equity Income (Mutual Fund)

-Merrill Lynch Retirement Reserves

9.

-Merrill Lynch Cash Equivalent Accounts

10.

Hunker Commune (El Paso, Navajo, B Western, Adventure, Holly)*

11.

Lot in South Spring Acres, Roswell, NM

12.

Stock in South Spring Acres, Roswell, NM

13.

Minerals, Washita County, OK (BBX Oil A Corp.)

14.

Silver and silver coins

15.

Gold coins

16.

Navajo, Hockley County, TX B

17.

Pioneer Savings Bank A

I. Incom.: Gain Codes: A =\$1.000 or less

(Sec Columns 8 I and D4) F =\$50.001. \$100,000

2.

Value Codes J =\$15.000 or less

(Sec Columns C1 and 03) N =\$250.001 -\$500.000 P3 =\$25.000,001 -\$50.000.000

3.

Value Method Codes Q =Appraisal

(Sec Column C2) U ""Book Value

Royalty

None

None

Rent

None

None

Royalty

Interest J

J

K

J

J

J

J

L

13 =\$ 1,00 t -\$2.500 G =\$ 100.00 I -\$1.000.000 K=\$15.001-\$50.000 O =\$500,00 I -\$ 1.000.000

R =Cost (Real Estate Only) Vc=Other

w w w T T w T

c =\$2.50t -\$5,000 Ill =\$1,000,001 -\$5,000,000 L =\$50.00t -\$100.000 Pl =\$1,000,001 -\$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated D=\$5,00t -\$15,000 112 =More than \$5,000.000 M =\$100.001 -\$250.000 1'2 =\$5,000.00t -\$25,000,000 T =Ca.sh Market E =\$15.001 -\$50,000

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VII. INVESTMENTS and TRUSTS -income, value, transactions r1nd1l1des those ofspome and d,·pendent children: see pp. 34-60 of filing i11.1tructions.J

D NONE (No reportable income, assets, or transactions.)

A. B. c. D.

Description of Assets Income during Gross value at end Transactions during reporting period (including trust assets) reporting period of reporting period

(I) (2) (I) (3)

(4) (5)

Place "(X)" after each asset Amount Type (e.g., Value Value Type (e.g., Date Value Gain Identity of exempt from prior disclosure Code I div., rent, Code 2 Method buy, sell, mm/dd/yy Code 2 Code I buyer/seller (A-H) or int.) (J-P) Code 3 redemption) (J-P) (A-H) (if private (Q-W) transaction)

I. Income Gain Codes: A =\$1,000 or less ll =\$1,001 -\$2,500 c =\$2,501 -\$5,000 0=\$5,001 • \$15,000 E =\$15,00 I • \$50,000

(Sec Columns HI and 04) F =\$50,001 · \$100,000 G =\$!00,001 -\$1,000,000 Ill =\$1,000,001 -\$5,000,000 112 =More than \$5,000,000

2. Value Codes J =\$15,000 or less K =\$15,001 -\$50,000 L =\$50,001 • \$100,000 M =\$100,001 -\$250,000 (Sec Columns CI and 03) N =\$250,00 I -\$500,000 0 =\$500,00 I -\$1,000,000 Pl =\$1,000,001 -\$5,000,000 1'2 \$5,000,001 -\$25,000,000

1'3 =\$25,000,00 I -\$50,000,000 P4 =More than \$50,000,000

3. Value Method Codes Q =Appraisal R =Cost (Real Estate Only) S =Assessment T =Cash Market (Sec Column C2) U =Book Value V::oOther W =Estimated

D NONE (No reportable income, assets, or transactions.)

Description of Assets

B. c. D.

Income during Gross value at end Transactions during reporting period

(including trust assets) reporting period of reporting period

(I) (2) (2) (I) (2) (4) (5)

Place "(X)" after each asset

Amount Type (e.g., Value Value Type (e.g., Date Value Gain Identity of exempt from prior disclosure Code I

div., rent, Code 2 Method buy, sell, mm/dd/yy Code 2 Code I buyer/seller (A-H) or int.) (J-P) Code 3 redemption) (J-P) (A-II) (ifprivate

I. Income Gain Codes: A =\$1,000or less ll =\$1,001 -\$2,500 c =\$2,501 -\$5,000 D 45,001 -\$15,000 E =\$15,001 - \$50,000

(Sec Columns ill and 04) F 450,001 -\$100,000 G =\$100,001 -\$1,000,000 111 \$1,000,001 -\$5,000,000 112 =More

than \$5,000,000

2. Value Codes J \$15,000 or less K=\$15,001 -\$50,000 L \$50,001 -\$100,000 M =\$100,001 -\$250,000
(Sec Columns C1 and 03) N \$250,001 -\$500,000 O=\$500,001 -\$1,000,000 P1 =\$1,000,001 -\$5,000,000 P2
\$5,000,001 -\$25,000,000
P3 =\$25,000,001 -\$50,000,000 P4 =More than \$50,000,000

3. Value Method Codes Q =Appraisal R Cost (Ren) Estate Only) S =Assessment T=Cash Market
(Sec Column C2) U=Book Value V=Other W=Estimated

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VII. INVESTMENTS and TRUSTS --income, ,atue, 1ra11.wc1io11 ... 011c111ue.\&middledot; 111ose of.,pmHe and
Jepem1e111 , -11uJre11: see PP&middledot; 1-1-60 offili111: i111stn1c1ions.J

D NONE (No reportable income, assets, or transactions.)

Description of Assets

B. c. D.

Income during Gross value at cn<l Transactions during n:orting period

(including trust assets) reporting period of reporting pcioJ

(1) (2) (1) (2) (1)

(3) (4) (5)

Place "(X)" after each asset Amount Type (e.g., Value Value Type (e.g., Date Value Gain Identity of
exempt from prior disclosure Code I div., rent, Code 2 Method buy, sell, mm/dd/yy Code 2 Code I buyer/seller
(A-H) or int.) (J-P) Code 3 redemption) (J-P) (A-II) (if private
(Q-W) transaction)

I. Income Gain Codes: A =\$1,000 or less B =\$1,001 -\$2,500 C =\$2,501 -\$5,000 D =\$5,001 -\$15,000 E =\$15,001 -
\$50,000

(Sec Columns B1 and 04) F =\$50,001 -\$100,000 G =\$100,001 -\$1,000,000 H =\$1,000,001 -\$5,000,000 I2
=More than \$5,000,000

2. Value Codes J =\$15,000 or less K =\$15,001 -\$50,000 L =\$50,001 -\$100,000 M =\$100,001 -\$250,000
(See Columns CJ and 03) N =\$250,001 -\$500,000 O =\$500,001 -\$1,000,000 P1 =\$1,000,001 -\$5,000,000 P2
=\$5,000,001 -\$25,000,000

P3 =\$25,000,001 -\$50,000,000 P4 =More than \$50,000,000

3. Value Method Codes Q =Appraisal R =Cost (Real Estate Only) S =Assessment T =Cash Market
(Sec Column C2) U =Book Value V =Other W =Estimated

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. (Indicate part of report.)

Part VII, page 4, lines 3 and 4 - Aim Weingarten (Aims Constellation) Mutual Fund through corporate merger was
acquired by Invesco and Invesco split into two separate funds, i.e. Invesco Global Core Equity Fund and Invesco Van
Kampeen Comstock Fund. This was a corporate action, not a transaction caused by by filer.

Part VII, page 4, line 6 - ING Small Cap (Mutual Fund) was sold in 2004; however, the sale was overlooked and has
been erroneously carried on the Financial Disclosure Report from 2005 through 2010 and will be deleted next year.

Part VII, page 4, line 10 - Holly added (full name is Holly Refining & Marketing Co LLC)

Part VII, page 6, line 35 -This asset was formerly Citigroup
Part VII, page 6, line 36 -This asset was formerly Computer Sciences
Part VII, page 6, line 38 -This asset was formerly Innsuites Hospitality Trust SB!
Part VII, page 6, line 40 -This asset was formerly Kimberly Clark Corp
Part VII, page 6, line 43 -This asset was formerly Walgreen
Part VII, page 6, line 44 -This asset was formerly Wells Fargo & Co.
Part VII, Page 6, line 45 -This asset was formerly Columbia Tax Exempt Fund A
Part VII, page 6, line 46 -This asset was formerly FT Franklin AZ T/F Inc. A
Part VII, page 6, line 48 -This asset was formerly Invesco Yan Kampen Muni Income Fd CL A
Part VII, page 6, line 49 -This asset was formerly Glendale Ariz Uni High
Part VII, page 6, line 50 -This asset was formerly Pima Cnty Ariz Str & Hwy Rev
Part VII, page 6, line 51 -This asset was formerly Arizona St Univ Revs Cpn
Part VI, page 7, line 52 -This asset was formerly Merrill Lynch Bank Deposit Program

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Bobby R. BALDOCK

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure Administrative Office of the United States Courts Suite 2-301 One Columbus Circle, N.E. Washington, D.C. 20544