

Report Required by the Ethics in Government Act of 1978

I. Persco Reporting (Last name, First name, Middle initial) BARKER, SARAH B

4. Title (Article in Judges indicate active or senior status; magistrate judges indicate full-or :pm-rime)

U.S. District Court Judge

7. Chamber or Office Address 210 U.S. COURTHOUSE 46 EAST OHIO STREET INDIANAPOLIS, INDIANA
<IQOI

Calendar Year 2003

2. Court or Organization

U.S. District Court, SD, IN

5. Report Type (check appropriate type) Q Nomination, IDate

0 Initial QHMI

(5 u.s.c. app. §§ 101-111)

3. Date of Report 5/12/2003

6. Reporting Period 11/1/2003

to 12/31/2003

8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.

Reviewing

IMPORTANT NOTES: Instructions accompanying this form must be followed. Complete all parts, checking the NONB box for each part

where you have no reportable information. Sign on last page. &middledot;

POSITIONS. (Reporting individual only. see PP&middledot; 9-13 of filing instructions)

0 NONE - (No reportable positions.)

1.

Member, Board of Advisors

2.

Emeritus Member, Board of Visitors

3.

Member

Indiana University-Purdue University at Indianapolis Indiana University, School of Law, Bloomington

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Distinguished Alumni Service Award Club, Indiana University

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4. Director and Vice President Federal Judges Association

5. Member Indianapolis, Federal and Indiana State Bar Associations

6.

Member The Lawyers Club, Indianapolis

7.

Director Clarian Health Partners (non-profit)

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8. Member Indiana University, Pmidc:nt Search. Dean Search, and Chancellor Search C.ommittiees

9. Member Conner Prairie Awards Dinner Planning Committee

10. Member Downtown Kiwanis Club of Indianapolis

ti. Director Indiana Historical Society
12. Co-Chair Women in Law Conference. Indianapolis

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13. Einstein Institute for Science, Health and the Courts

14. Member, EXecutive Ccmmittee The Gathering and Indiana Lcaclership Ccelebratioo

15. Board of Advisors Center for Jntemational &. ComparativeLaw, Indiana University School of Law Indianapolis

16. Member Women Judges Association

17. Director/Member Methodist Health Group Inc. (non-profit)

II. AGREEMENTS. (1tcportingindividuat only; see pp. t4-16offilinginstructions)
NONJB (No reportable 1:greei:nents.)

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I.

B. Spow;e's Non-Investmen.lt IiilCOme -(Ifyou were married during any portion of the tep<Xting ye8r, please complete this sc:ction. (dollar amount

FiN.ANCIAL DISCLOSURE REPORT BARKER. SARAH E Date of Report S/1212004

V. GIIFTS. liIJ NONE (Includes thosetospouscanddc:pcndeutchildren. Sepp. 28-31 of instructions.) -(No such nepol'able l-)

VI. I.J:A:BILITIES. (Inclilllllcs llhose of spouse and dependent chil1drn. See pp. 32-34 of instructions.)
N01'IE (Norcponable :liabilities.)

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FINANCIAL DISCLOSURE REPORT Name of Person Reporting Date of Report .Pagelof2 BARKER, SARAH B
5112/2004 -income. value, tnmscatioas (iucldes those oftbespouse andl depcodcntchildren.. Sec pp. 34-57
offilingiosuu:tims.)

VII. INVESTMENTS and TRUSTS

B. . c. D.

lofAsscsts liricludingtrust assets)(i Income during icportiDg period . Gross value at end olf reputing period
Transactions duriag reporting period

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(2) (I)

(J) (4) F'lacc "(X)" after each met t Amount . (e.g. Vaa Value Type (e.g. Dale: Value Identity of
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(J-P) ·' c.ode3 merger,

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DNONE (No ieportable income. ;mets. or traasadiom)

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Dote.

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3. -lb. -P riwtc Capital Manage111ent F..quity Pmul None L T

4. .ldelity Invctmmt Grade Bond Fund lc.-Fi B Dividend K T

s. -ianapolis Post Office Q'Cdit lUnion, Account 2. Ind . _ ... - B Jntetest K T

6. 3. Bal 1k. One, Indianapolis, IN, Account A Interest K T

7. -"OPacific Growth Fwid, Los Angeles, CA, 4.Em (IEtA)

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9. S.Em (IRA) uPacific Growth Fund, Los Angeles. CA, -

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6.Fwldamental Investors, Sa11 IT.mc:isco. CA, (IRA)

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12. MUI:ual Fund A Dividcnd Sold 12101 J D

13. 7.Mcnill Lynch Global Alloc:atlioo Fund, 1Jic.. {IRA)

14. Mu.tualFund B Dividend K 1'

15. 8. Mcnill Lynch Retin:mcnt Reserves (IRA Money A Interest J T See explanatory
Ac:coimts) note.

16. 9. Ba nit One, Indianapolis, D'l, Ac:coult None J T

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17. 10. Elank One, Indianapolis, IN, Account A llten:st J T

18. 11. c:tsCO Systems, me:. CollDlIDOI Stock None J T

1. Inc:ome.'Oain CO!lc.i; A = \$1,000 or less = \$1,001-\$2,SOO c = \$2,SO1-\$5,000 D = \$5,001-\$10,000 E
= \$10,001-\$20,000

(See Cc:ilumns Bl and 04) F = \$20,001-\$50,000 G = \$50,001·\$100,000 HI = \$100,001-\$250,000
H2 = Men than \$250,000

2. Value Oodcs: 1 =sl'i,000 illl" li:ss K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000

(See Cc:olumas Cl and D3) = \$250,001-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001·\$2,500,000

P2 = \$5,000,000-\$25,000,000

P3 = \$25,000,000-\$50,000,000 P4 = More than \$50,000,000

3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessed T = Cash/Market
(See Codebook C2) U = Book Value V = Other W = Estimated

Name of Person Reporting

Date of Report

FINANCIAL DISCLOSURE REPORT

BARKER, SARAH E

5/12/2004

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

Section VII (1): The interest in the "Bose McKinney & Evans Retirement Plan" is an interest in a tax-deferred common trust fund for retirement

purposes which is maintained in three named but commingled funds, each of which is managed by independent professional managers who engage in various transactions within their respective funds. The names of such managed funds

are listed at 1(a), 1(b), and 1(c). None of such funds traded. The overall Retirement Plan itself is governed by trustees who are elected from

within the partnership of the Bose McKinney & Evans not eligible to vote for such trustees and has no voice in nominating or

otherwise selecting any of such trustees. The Plan trustees have delegated to the professional fund managers all authority with regard to purchases, sales,

exchanges, and other dispositions of its within each listed fund. Accordingly has no direct control over individual assets than would an

investor in a publicly-traded mutual fund. In the Plan as a whole represents less than one percent of the Plan's assets,

making contributions to the Plan out of the reinvestment sources generated from within the above-listed funds themselves.

Section VU (8) "Merrill Lynch Retirement Reserves" is an aggregated and occasionally reportable, temporary accumulation of IRA cash held as reserves and/or invested into deposit accounts in Merrill Lynch banks. This is in essence a cash flow phenomenon which occurs to varying degrees within IRA accounts prior to dividends, etc., being reinvested in the underlying holding, which themselves produced the dividends. Such underlying / holding are disclosed fully elsewhere in Section VII

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.