

Report Required by the Ethics

A0-10 FINANCIAL DISCLOSURE REPORT

in Government Act of 1978

Rev.1/2004

FOR CALENDAR YEAR 2004 (5 U.S.C. app. §§ 101-111)

3. Date of Report 2. Court or Organization

I. Person Reporting (Last name, First name, Middle initial) BARKER, SARAH E U.S. District Court, SD, IND
5/5/2005

6. Reporting Period

4. Title (Article III Judges indicate active or senior status; 5. ReportType (check appropriate type) magistrate judges
indicate full-or part-time)

1/1/20040 Nomination, Date

U.S. District Judge, Active to

Q Initial (!) Annual 0 Final 12131/2004

8. On the basis of the information contained in this Report and any 7. Chambers or Office Address modifications
pertaining thereto, it is, in my opinion, in compliance

210 U.S. COURTHOUSE with applicable laws and regulatio.

46 BAST OHIO STREET Reviewing Officer

INDIANAPOLIS, INDIANA 46204 IMPORTANT NOTES: The instructions accompanying this form must be
followed. Complete all parts, checking the NONE box for each part

I. POSffIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

rosmoN NAME OF ORGANIZATION/ENTITY

I. Member Indiana Academy

2.

Member Spirit and Place Advisory Board

3.

Member, Board of Advisors Indiana University-Purdue University at Indianapolis

4.

Emeritus Member, Board of Visitors Indiana University, School of Law, Bloomington

Member Distinguished Alumni Service Awd Club, Indiana University

6. Director and Editor Federal Judges Association

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7.

Member Indianapolis, Federal and Indiana State Bar Association{; :!!·

8.

Member The Lawyers Club, Indianapolis

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Director Clarian Health Partners (non-profit) C:>I>

10.

Member Downtown Kiwanis Club of Indianapolis

11.

Director Indiana Historical Society

12.
Co-Chair Women in Law Conference, Indianapolis

Director Einstein Institute for Science, Health and the Courts

14.
Member, Executive Committee The Gathering

15.
Board of Advisors Center for International & Comparative Law, Indiana University School of Law Indianapolis

16.
Member Women Judges Association

17.
Director/Member Methodist Health Group Inc. (non-profit)

18.
Director Advanced Science and Technology Adjudication Resource

19.
Advisor American Law Institute, Aggregate Litigation project

20.
Director Hanover College, Hanover, Indiana

IT.
1.

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BARKER, SARAH E
51512005

iii. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 11-24 offiling instructions)

A. Filer's Non-Investment Income
NONE (No reportable non-investment income.)

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SOURCE AND TYPE GROSS INCOME
(yours, not spouse's)
1.

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BARKER, SARAH E

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.) D NONE -(No such reportable gifts.)

SOURCE DESCRIPTION

1.

President Adam Herbert, Indiana Two tickets to Indiana University basketball game University

2.

Margot Eccles Two tickets to United Way's "United on Broadway" dinner and "Uon King" \$316 fundraiser

3.

43 present/former staff, family and For 20th Anniversary as US District Judge, 4 dinners, gift and hotel overnight \$919 personal friend of reporting individual

Indiana Historical Society/Lumina 2 dinners at Society annual fundraiser for which Judge served on planning \$150 Foundation Table committee

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.) D NONE (No reportable liabilities.)

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CREDITOR DESCRIPTION VALUE CODE

1.

NONE

2.

SEE "ADDmONAL INFORMATION FOR EXPLANATIONS"

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--incom.: value, transcatons (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

VII. INVESTMENTS and TRUSTS

A = \$1,000 or less B = \$1,001-\$2,500 c = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001 \$50,000

F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 HI = \$1,000,001-\$5,000,000 H2 =More than \$5,000,000

= \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000

N = \$250,000-\$500,000 0 = \$500,001-\$1,000,000 PI = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000-

P3 = \$25,000,001-\$50,000,000 P4 = \$More than \$50,000,000

Q =Appraisal R =Cost (Real Estate Only) S =Assessment T = CashfMarket

U = Book Value V =Other W =Estimated

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BARKER, SARAH E

51512005

-income, value, transcatons (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

VII. INVESTHffINTS and TRUSTS

A. B. c. D.

Description of Assets (including trust assets) Income during reporting period (1) (2) Gross value at end of reporting

period (I) (2) Transactions during reporting period (I) not exempt (2) (3) (4) (5)

Place "(X)" after each asset exempt from prior disclosure Amount Type (e.g. Code 1 div. rent or (A-H) int} Value
Value Code 2 Method (J-P} Code 3 (Q-W) Type (e.g. Date: Value buy, sell, Month-Code 2 merger, Day (J-P}
redemption) Gain Code 1 (AH\ Identity of buyer/seller (if private transaction)
: 11. JP Morgan Chase Bank, formerly Bank One, Indianapolis ; B Interest L T
12. Hartford Whole Ufe None J T

I. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E =
\$15,001-\$50,000

(See Columns BI and 04) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 HI = \$1,000,001-\$5,000,000 H2
=More than \$5,000,000

2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000
(See Columns CI and D3) N = \$250,000-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 =
\$5,000,001-\$25,000,000-

P3 = \$25,000,001-\$50,000,000 P4 = \$More than \$50,000,000

3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market
(See Column C2) U = Book Value V = Other W = Estimated

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(Indicate part of Report.)

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

Section VI: graduate educational loans which may have been occasionally listed, wrongfully, credit report. is on such
loans, and neither the reporting person nor involved in such loans in any legal capacity.

Section VII (1): The interest in & Evans Retirement Plan" is an interest of Spouse in a tax-deferred common trust fund
for retirement purposes, which is maintained overall Retirement Plan is itself governed by trustees who are elected
from within the partnership of the Bose McKinney & Evans law firm. not eligible to vote for such trustees and has no
voice in nominating or otherwise selecting them. As a result of changes by such trustees, the Fidelity vestment Grade
Bond Fund redeemed in 2004(see line le); this released monies which could be reallocated among as many as ten
collective accounts approved by said trustee. nterest was therefore rebalanced, and the portion previously in l(c) is now
in the three commingled funds named at l(d), l(e), and l(f). All funds listed in this report for the Retirement Plan,
including but not limited to the three here which are newly designated, are managed by independent professional
managers who engage in various andfor numerous transactions within their respective funds. In the course of
overseeing assets in the various commingled funds listed in this Financial Disclosure Report, the Plan trustees have
delegated to the professional fund managers all authority with regard to purchases, sales, exchanges, or other
dispositions of assets

within each fund. accordingly has no more control over individual assets than would be as investor in any publically-
traded mutual fund.

in the Plan as a whole represents less than one percent of the Plan's assets, making no contribution to the Plan outside
the

sources generated from within the above-listed funds themselves.

Section VII (6): "Merrill Lynch Retirement Reserves" is an aggregated and occasionally reportable, temporary
accumulation of IRA cash held as reserves andfor swept into deposit accounts in Merrill Lynch banks. "This is in
essence a cash flow phenomenon which occurs to varying degrees within IRA accounts prior to dividends, etc., being
automatically reinvested in the underlying holdings, which themselves produced the dividends. Such underlying
holdings are disclosed fully elsewhere in Section VII.

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure Administrative Office of the United States Courts Suite 2-301 One Columbus Circle, N.E. Washington, D.C. 20544