

Report Required by the Ethics  
FINANCIAL DISCLOSURE REPORT

AO JO

in Government Act of 1978

Rev. 112007

FOR CALENDAR YEAR 2006 (5 U.S.C. app. &sect;&sect; 101-111)

3. Date of Report

I. Person Reporting (last name, first, middle initial) 2. Court or Organization

05/03/2007

BARKER, SARAH E US District Court, SC, IND

6. Reporting Period

4. Title (Article III judges indicate active or senior status; Sa. Report Type (check appropriate type)  
magistrate judges indicate full-or part-time)

01/01/2006

Nomination, Date

0

to

ACTIVE ARTICLE III

Initial Annual Final

0 [81 0

12/31/2006

Sb. Amended Report

0

7. Chambers or Office Address 8. On the basis of the information contained in this Report and any  
modifications pertaining thereto, it is, in my opinion, in compliance

46 EAST OHIO STREET, ROOM 210 with applicable laws and regulations.

INDIANAPOLIS, IN 46204

Reviewing Officer Date

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the  
NONE box for each part where you have no reportable information. Sign on last page.

I. POS ITI 0 NS. (Reporting individual only; see pp. 9-13 of instructions.)

D NONE (No reportable positions.)

POSITION NAME OF ORGANIZATION/ENTITY

I. Vice President and President-Elect Federal Judges Association

2.

Director Clarian Health Partners, Inc. (non-profit)

3.

Director Indiana Historical Society

4.

Director AST Aboard (formerly EINSHAC)

Director/Member of the Corporation Methodist Health Group Inc. (non-profit)

6.

Director Conner Prairie Living Museum Foundation

7.

Member, Board of Directors Hanover College, Hanover, Indiana

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N 0

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)  
[KJ NONE (No reportable agreements.)

**PARTIES AND TERMS**

I.  
2.  
3.

III. N 0 N-INVESTMENT INCOME. (Reporting individual and spouse; see pp. I 7-14 of instructions.)

A. Filer's Non-Investment Income I:KJ NONE (No reportable non-investment income.)

SOURCE AND TYPE INCOME (yours, not spouse's)

I.  
2.  
4.  
5.

B. Spouse's Non-Investment Income -I/you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

I:KJ NONE (No reportable non-investment income.)

I.  
4.

IV. REIMBURSEMENTS -transportation, lodging,food, entertainment  
(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

NONE (No reportable reimbursements.)

D

SOURCE DESCRIPTION

May 7-10, Arlington, VA, board meeting and quadrennial conference ( meals and lodging)

2.

Federal Judges Association August 30-31, Washington, DC, interviews of Washington Counsel (transportation, meals

and lodging)

3.

FINANCIAL DISCLOSURE REPORT Page 4of8 Name of Person Reporting BARKER, SARAH E Date of Report 05/03/2007

V. GIFTS. (Inc/11des those to spouse and dependent children. See pp. 28-31 of instructions.)

0 NONE (No reportable gifts.) SOURCE DESCRIPTION VALUE

I. Indiana Historical Society "Living Legends" annual reception and dinner to honor outstanding Hoosiers

2.

3.

4.

VI. LIABILITIES. (Inc/11des those of spouse and dependent children. See pp. 32-33 of instructions.)

0 NONE (No reportable liabilities.)

CREDITOR DESCRIPTION VALUE CODE

I. NONE.

2. SEE "ADDITIONAL fNFORMA TION FOR EXPLANATIONS"

3.

4.

5.

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BARKER, SARAH E

VII. INVESTMENTS and TRUSTS income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

-

D NONE (No reportable income, assets, or transactions.)

A.

Description of Assets  
(including trust assets)

Place "(X)" after each asset  
exempt from prior disclosure

B. c. D.

Income during Gross value at end of Transactions during reporting period  
reporting period reporting period

(!) (2) (I) (2) (I) (2) (3) (4) (5)

Amount Type (e.g. Value Value Type (e.g. Date Value Gain Identity of  
Code I div., rent, Code 2 Method buy, sell, Month Code 2 Code I buyer/seller  
(A-H) or int.) (J-P) Code3 redemption) Day (J-P) (A-H) (if private  
(Q-W) transaction)

1. I. Bose McKinney & Evans Retirement E Dividend M T See explanatory Plan, Common Trust Fund note

2. I.a. Kirt Marbach Equity Fund c Dividend K T

3. I.b. Private Capital Management Equity c Fund  
I.e. Vanguard Wellington Balanced Fund c (VWENX)

5.  
J.d. Vanguard Intermediate Term Bond A Index Fund (VBILX)

6.  
I.e. Vanguard Reit Index Fund (VGSLX) D

7.  
J.f. Vanguard International Value Fund E (VTRIX)

8.  
2. Indianapolis Post Office Credit Union, A Account

9.  
3. JP Morgan Chase Bank A

JO. 4. EuroPacific Growth Fund, Los Angeles, A CA,IRA

11. (Mutual Fund)

Dividend K

Dividend K

Dividend J

Dividend K

Dividend L

Interest K

Interest K

Dividend K

T

T

T

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T

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12.

5. BlackRock Global Allocation Fund, Inc., B

Dividend L IRA (Mutual Fund)

13.  
(formerly known as Merrill Lynch Global

None Allocation

14.  
Fund Inc.)

15.  
6. Merrill Lynch Retirement Reserves (IRA A Interest J Money Accounts)

16.  
7. JP Morgan Chase Bank None J

17.  
8. JP Morgan Chase Bank A Interest J

T  
T See Explanatory Note  
T  
T

I, Income Gain Codes: A =S 1,000 or less B =SI ,00t -\$2,500 c=\$2,501 . \$5,000 D=\$5,001 -\$15,000 E =\$15,001 - \$50,000

(See Columns 8I and D4) F =\$50,00 I -\$I 00,000 G =SI 00,001 -SI,000,000 HI =\$1,000,00 I -\$5,000,000 H2 =More than \$5,000,000

2. Value Codes J =S 15,000 or less K=S 15,00 I -\$50,000 L=\$50,001 -\$100,000 M =SI 00,00 I -\$250,000  
(See Columns C1 and 03) N=\$250,001 -\$500,000 O=\$500,001 -SI,000,000 PI =S 1,000,00 I &bull; \$5,000,000 P2 =S5,000,00I -\$25,000,000

3. Value Method Codes PI =S25,000,00I -\$50,000,000 R =Cost (Real Estate Only) P4 =More than \$50,000,000  
T=Cash Market  
(See Column C2) Q=Appraisal V =Other S =Assessment  
U =Book Value W =Estimated

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BARKER, SARAH E

VII. INVESTMENTS and TRUSTS -income, value, transactions (Includes those of the spouse and dependent children.  
See pp. 34-60 of filing instructions.)

D NONE (No reportable income, assets, or transactions.)

A.

Description of Assets  
(including trust assets)

Place "(X)" after each asset  
exempt from prior disclosure

B. c. D.

Income during Gross value at end of Transactions during reporting period  
reporting period reporting period

(1) (2) (1) (2) (1) (2) (3) (4) (5)

Amount Type (e.g. Value Value Type (e.g. Date Value Gain Identity of  
Code 1 div., rent, Code 2 Method buy, sell, Month- Code 2 Code I buyer/seller  
(A-H) or int.) (J-P) Code 3 redemption) Day (J-P) (A-H) (if private  
(Q-W) transaction)

18. 9. CISCO Systems, Inc. Common Stock None J T

19. JO. Align Technology, Inc. Common Stock None Sold 5/2 J A

20. 11. JP Morgan Chase Bank c Interest L T

21. 12. Hartford Whole Life None J T

I. Income Gain Codes: A =\$1,000 or less B =\$1,001 - \$2,500 C =\$2,501 - \$5,000 D =\$5,001 - \$15,000 E =\$15,001 - \$50,000

(See Columns BI and D4) F =\$50,001 - \$100,000 G =\$100,001 - \$1,000,000 HI =\$1,000,001 - \$5,000,000 H2 =More than \$5,000,000

2. Value Codes J =\$ 15, 000 or less K =\$15,001 - \$50,000 L =\$50,001 - \$100,000 M =\$100,001 - \$250,000 (See Columns CI and DJ) N =\$250,001 - \$500,000 O =\$500,001 - \$1,000,000 P1 =\$1,000,001 - \$5,000,000 P2 =\$5,000,001 - \$25,000,000

3. Value Method Codes P3 =\$25,000,001 - \$50,000,000 R =Cost (Real Estate Only) P4 =More than \$50,000,000 T =Cash Market

(See Column C2) Q =Appraisal V =Other S =Assessment

U =Book Value W =Estimated

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BARKER, SARAH E

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. (Indicate paragraph/Report.)

Section VI:

as graduate educational loans which are occasionally listed, wrongfully, report. However, our only interest is solely on such loans, and neither the reporting person nor spouse is involved in such loans in any legal Section VII (I): The interest in the "Bose McKinney & Evans Retirement Plan" is an interest in a tax-deferred common trust fund for retirement purposes, which is maintained by \_\_\_, former employer. The overall Retirement Plan is by trustees who are elected from within the partnership of the Bose McKinney & Evans firm. .... is not eligible to vote for such trustees and has no voice in nominating or otherwise selecting them. The plan trustees have approved as many as ten collective and commingled investment options, and at appointed times resources may be reallocated within and among such options. All Funds listed under such retirement Plan in this Financial Disclosure Report consist of such designated collective investment options. Furthermore, all Funds so listed are managed by independent professional managers who engage in various and/or numerous transactions within their respective funds. In the course of overseeing assets in such various commingled funds, the Plan trustees have delegated to the professional fund managers all authority with regard to purchases, sales, exchanges, or other dispositions of assets within each fund. -accordingly has no more control over individual assets than would be had as an investor in any publically-traded mutual fund. -interest in the Plan as a whole represents less than one percent of the Plan's assets, and Spouse is making no contribution to the Plan outside the reinvestment sources generated from within the above-listed funds themselves.

Section VII (6): "Merrill Lynch Retirement Reserves" is an aggregated and occasionally reportable, temporary accumulation of IRA cash held as reserves and/or swept into deposit accounts in Merrill Lynch banks. This is in essence a cash flow phenomenon which occurs to varying degrees within IRA accounts prior to dividends, etc., being automatically reinvested in the underlying holdings, which themselves produced the dividends. Such underlying holdings are disclosed fully elsewhere in Section VII.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS

REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. &sect; 104)