

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2010**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

| | | |
|--|---|--|
| 1. Person Reporting (last name, first, middle initial) BARKER, SARAH E. | 2. Court or Organization US District Court, SC, IND | 3. Date of Report 4/26/2011 |
| 4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) ACTIVE ARTICLE III | 5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination, Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report | 6. Reporting Period 01/01/2010 to 12/31/2010 |
| 7. Chambers or Office Address 46 EAST OHIO STREET, ROOM 210 INDIANAPOLIS, IN 46204 | 8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____ | |
| IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page. | | |

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

| <u>POSITION</u> | <u>NAME OF ORGANIZATION/ENTITY</u> |
|---|--|
| 1. Director 2001-2010, Immediate-Past President, as of 5/2010 | Federal Judges Association |
| 2. Board of Advisors, 1989-2010 | Indiana University--Purdue University at Indianapolis |
| 3. Director 1996-2010 | Clarian Health Partners, Inc. (non-profit). Name changed to IU Health 2010 |
| 4. Director 2003-2010 | Indiana Historical Society |
| 5. Director/Member of the Corporation, 2003-2010 | Methodist Health Group Inc. (non-profit) |
| 6. Board of Advisors 2005-2010 | Spirit and Place, Community Festival |

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

| <u>DATE</u> | <u>PARTIES AND TERMS</u> |
|-------------|--------------------------|
| 1. _____ | _____ |
| 2. _____ | _____ |
| 3. _____ | _____ |

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> | <u>INCOME</u> (yours, not spouse's) |
|-------------|------------------------|--|
| 1. | | |
| 2. | | |
| 3. | | |
| 4. | | |

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> |
|-------------|------------------------|
| 1. | |
| 2. | |
| 3. | |
| 4. | |

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

NONE *(No reportable reimbursements.)*

| | <u>SOURCE</u> | <u>DATES</u> | <u>LOCATION</u> | <u>PURPOSE</u> | <u>ITEMS PAID OR PROVIDED</u> |
|----|----------------------------|---------------|-----------------|--|-----------------------------------|
| 1. | Federal Judges Association | 05/22-23/2010 | Washington, DC | Executive and Board meeting before the Quadrennial Meeting | Lodging and meals |
| 2. | Federal Judges Association | 09/15-16/2010 | Washington, DC | Congressional reception | Transportation, lodging and meals |
| 3. | | | | | |
| 4. | | | | | |
| 5. | | | | | |

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

| | <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|----|--|---|--------------|
| 1. | Becky and Charles Kendall | Personal hospitality and lodging, Florida | \$1,400.00 |
| 2. | Indiana Historical Society, Living Legends Award | Dinner and program | \$3,500.00 |
| 3. | | | |
| 4. | | | |
| 5. | | | |

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

| | <u>CREDITOR</u> | <u>DESCRIPTION</u> | <u>VALUE CODE</u> |
|----|---|--------------------|-------------------|
| 1. | NONE. | | |
| 2. | SEE "ADDITIONAL INFORMATION FOR EXPLANATIONS" | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

| | A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period | | C. Gross value at end of reporting period | | D. Transactions during reporting period | | | | |
|-----|---|---|--|---|------------------------------------|--|------------------|--------------------------|-------------------------|--|
| | | (1) | (2) | (1) | (2) | (1) | (2) | (3) | (4) | (5) |
| | | Amount Code 1 (A-H) | Type (e.g., div., rent, or int.) | Value Code 2 (J-P) | Value Method Code 3 (Q-W) | Type (e.g., buy, sell, redemption) | Date mm/dd/yy | Value Code 2 (J-P) | Gain Code 1 (A-H) | Identity of buyer/seller (if private transaction) |
| 1. | Indianapolis Post Office Credit Union, Account | B | Interest | L | T | | | | | |
| 2. | JP Morgan Chase Bank | A | Interest | K | T | | | | | |
| 3. | EuroPacific Growth Fund, American Funds (MF) (IRA) | A | Dividend | K | T | | | | | |
| 4. | BlackRock Global Allocation Fund, Inc., IRA (Mutual Fund) | A | Dividend | L | T | | | | | |
| 5. | Merrill Lynch Retirement Reserves (IRA Cash & Money Accts) | A | Interest | J | T | | | | | See explanatory note |
| 6. | CISCO Systems, Inc., Common Stock | | None | J | T | | | | | |
| 7. | JP Morgan Chase Bank | A | Interest | K | T | | | | | |
| 8. | Hartford Whole Life | | None | J | T | | | | | |
| 9. | Franklin Templeton Founding Funds (FFACX) (MF) | B | Dividend | K | T | | | | | |
| 10. | General Electric Common Stock | A | Dividend | J | T | | | | | |
| 11. | Ivy Global Natural Resources A (MF) (IRA) | | None | J | T | | | | | |
| 12. | Ivy International Core Equity A (MF) (IRA) | A | Dividend | K | T | | | | | |
| 13. | Waddell & Reed Advisors Core Investment A (MF) (IRA) | A | Dividend | K | T | | | | | |
| 14. | Waddell & Reed Advisors Science/ Technology A (MF) (IRA) | A | Dividend | J | T | | | | | |
| 15. | Waddell & Reed Advisors High Income A (MF) (IRA) | B | Dividend | K | T | | | | | |
| 16. | Ivy Real Estate Securities A (MF) (IRA) | A | Dividend | J | T | | | | | |
| 17. | Waddell & Reed Advisors Small Cap A (MF) (IRA) | | None | K | T | | | | | |

1. Income Gain Codes:

A=\$1,000 or less

B=\$1,001 - \$2,500

C=\$2,501 - \$5,000

D=\$5,001 - \$15,000

E=\$15,001 - \$50,000

(See Columns B1 and D4)

F=\$50,001 - \$100,000

G=\$100,001 - \$1,000,000

H1=\$1,000,001 - \$5,000,000

H2=More than \$5,000,000

2. Value Codes

J=\$15,000 or less

K=\$15,001 - \$50,000

L=\$50,001 - \$100,000

M=\$100,001 - \$250,000

(See Columns C1 and D3)

N=\$250,001 - \$500,000

O=\$500,001 - \$1,000,000

P1=\$1,000,001 - \$5,000,000

P2=\$5,000,001 - \$25,000,000

P3=\$25,000,001 - \$50,000,000

P4=More than \$50,000,000

3. Value Method Codes

Q=Appraisal

R=Cost (Real Estate Only)

S=Assessment

T=Cash Market

(See Column C2)

U=Book Value

V=Other

W=Estimated

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| Name of Person Reporting BARKER, SARAH E. | Date of Report 4/26/2011 |
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period | | C. Gross value at end of reporting period | | | D. Transactions during reporting period | | | | |
|---|---|--|---|------------------------------------|--|--|--------------------------|-------------------------|--|--|
| | (1) | (2) | (1) | (2) | (1) | (2) | (3) | (4) | (5) | |
| | Amount Code 1 (A-H) | Type (e.g., div., rent, or int.) | Value Code 2 (J-P) | Value Method Code 3 (Q-W) | Type (e.g., buy, sell, redemption) | Date mm/dd/yy | Value Code 2 (J-P) | Gain Code 1 (A-H) | Identity of buyer/seller (if private transaction) | |
| 18. Waddell & Reed Advisors Asset Strategy A (MF) (IRA) | A | Dividend | K | T | | | | | | |
| 19. Waddell & Reed Advisors Government Securities A (MF) (IRA) | A | Dividend | K | T | | | | | | |

- | | | | | | |
|--|---|--|--|--|-------------------------|
| 1. Income Gain Codes: (See Columns B1 and D4) | A = \$1,000 or less F = \$50,001 - \$100,000 | B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 | C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 | D = \$5,001 - \$15,000 H2 = More than \$5,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes (See Columns C1 and D3) | J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000 | M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 | |
| 3. Value Method Codes (See Column C2) | Q = Appraisal U = Book Value | R = Cost (Real Estate Only) V = Other | S = Assessment W = Estimated | T = Cash Market | |

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Section VI [REDACTED]. However, our [REDACTED] neither the reporting person [REDACTED] is involved in such loans in any legal capacity.

Section VII (5): "Merrill Lynch Retirement Reserves" is an aggregated and occasionally reportable, temporary accumulation of IRA cash held as reserves and/or swept into deposit accounts in Merrill Lynch-allied institutions. This is in essence a cash flow phenomenon which occurs to varying degrees within IRA accounts prior to dividends, etc., being automatically reinvested in the underlying holdings, which themselves produced the dividends. Such underlying holdings are disclosed fully elsewhere in Section VII.

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|---|-----------------------------|

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ SARAH E. BARKER**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

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| Committee on Financial Disclosure Administrative Office of the United States Courts Suite 2-301 One Columbus Circle, N.E. Washington, D.C. 20544 |
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