AO 10
Rev. 1/2012

FINANCIAL DISCLOSURE REPORT

FOR THE CALENDAR YEAR 2011

Report Required by the Ethics

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
BARKER, SARAH E.	US District Court Indiana Southern District	05/11/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	5a. Report Type (check appropriate type)	6. Reporting Period
ACTIVE ARTICLE III	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final 5b. ☐ Amended Report	01/01/2011 TO 12/31/2011

7. Chambers or Office Address

46 EAST OHIO STREET, ROOM 210, INDIANAPOLIS, IN 46204

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

POSITION** (Please see note at Part VIII)	NAME OF ORGANIZATION/ENTITY
1. Director 2001-2012, Immediate-Past President, as of 5/2010	Federal Judges Association
2. Board of Advisors, 1989-2012	Indiana University--Purdue University at Indianapolis
3. Director 1996-2012	IU Health (nonprofit). Formerly Methodist Health Group; formerly Clarian Health Partners.
4. Director 2010-2012 ** (Please see note at Part VIII)	Spencer Williams Foundation
5. Director 2003-2012	Indiana Historical Society
6. Board of Advisors 2005-2011	Spirit and Place, Community Festival
7. Indiana Bi-Centennial Commission, 2011-2012	State of Indiana, Office of the Lt. Governor

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1.	
2.	
3.	

Name of Person Reporting	Date of Report
BARKER, SARAH E.	05/11/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐

	DATE	SOURCE AND TYPE
1.	2011	IU Health Morgan Hospital -- Nonemployee compensation
2.		
3.		
4.		

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Federal Judges Association	04/12-14/2011	Washington, DC	Executive Comm/Board mtg	Air, lodging, and meals
2.	Federal Judges Association	09/14-15/2011	Washington, DC	Congressional reception	Air, lodging, and meals
3.	George Mason Univ. & Law Ctr	11/13-15/2011	Arlington, VA	Civil Justice Symposium	Air, lodging, and meals
4.					
5.					

FINANCIAL DISCLOSURE REPORT Page 3 of 7	Name of Person Reporting BARKER, SARAH E.	Date of Report 05/11/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
BARKER, SARAH E.	05/11/2012

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. Indianapolis Post Office Credit Union, Account	B	Interest	L	T					
2. JP Morgan Chase Bank	A	Interest	K	T					
3. EuroPacific Growth Fund, American Funds (Mutual Fund) (IRA #1)	A	Dividend	K	T					
4. BlackRock Global Allocation Fund, Inc., (Mutual Fund) (IRA #1)	B	Dividend	L	T					
5. FIA Card Services NA RASP (IRA #1, cash eq) (formerly Merrill Lynch Retirement Reserves	A	Interest	J	T					** (Please see note at Part VIII)
6. CISCO Systems, Inc., Common Stock	A	Dividend	J	T					
7. JP Morgan Chase Bank	A	Interest	K	T					
8. Hartford Whole Life		None	J	T					
9. Franklin Templeton Founding Funds (FFACX) (Mutual Fund)	B	Dividend	K	T					
10. General Electric Common Stock	A	Dividend	J	T					
11. Ivy Global Natural Resources A (Mutual Fund) (IRA #2)		None	J	T					
12. Ivy International Core Equity A (Mutual Fund) (IRA #2)	B	Dividend	K	T					
13. Waddell & Reed Advisors Core Investment A (Mutual Fund) (IRA #2)	A	Dividend	K	T					
14. Waddell & Reed Advisors Science/ Technology A (Mutual Fund) (IRA #2)	B	Dividend	J	T					
15. Waddell & Reed Advisors High Income A (Mutual Fund) (IRA #2)	B	Dividend	K	T					
16. Ivy Real Estate Securities A (Mutual Fund) (IRA #2)	A	Dividend	J	T					
17. Waddell & Reed Advisors Small Cap A (Mutual Fund) (IRA #2)	B	Dividend	K	T	Sold (Part)	02/17/11	J	D	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT Page 5 of 7	Name of Person Reporting BARKER, SARAH E.	Date of Report 05/11/2012

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Waddell & Reed Advisors Asset Strategy A (Mutual Fund) (IRA #2)	A	Dividend	K	T					
19. Waddell & Reed Advisors Government Securities A (Mutual Fund) (IRA #2)	A	Dividend			Sold	02/17/11	K		
20. Waddell & Reed Advisors New Concepts A (Mutual Fund) (IRA #2)	B	Dividend	J	T	Buy	02/17/11	J		
21. Ivy Limited-Term Bond A (Mutual Fund) (IRA #2)	A	Dividend	K	T	Buy	02/17/11	K		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
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BARKER, SARAH E.	05/11/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I Positions(1-5, 7): *The positions listed include the positions held for calendar year 2011 plus those held through May 2012. In Financial Disclosures submitted in previous years, the positions were listed for the full calendar year (twelve months total); however, in those past reports, including the 2010 Financial Disclosure, the reporting year was excluded. Henceforth, the report will document positions for the full seventeen months.*

Part I Positions(4): *The Spencer Williams Foundation Directorship, an* ex-officio *position related to responsibilities as President of the Federal Judges Association, was inadvertently omitted in the past and will be added to the Financial Discl. from now on.*

Part VII Investments and Trusts (5): *"FIA Card Services NA RASP" involves an aggregated and occasionally reportable, temporary accumulation of IRA cash held as reserves and/or swept into deposit accounts in Merrill Lynch-allied institutions. This is in essence a cash flow phenomenon which occurs to varying degrees within IRA account #1 prior to dividends, etc., being automatically reinvested in the underlying holdings, which themselves produced the dividends. Such underlying holdings are disclosed fully elsewhere in Section VII.*

Name of Person Reporting	Date of Report
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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature s/ SARAH E. BARKER

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544