

# FINANCIAL DISCLOSURE REPORT

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

Calendar Year 2003

1. Person Reporting (Last name, First name, Middle initial) Bataillon, Joseph F	2. Court or Organization Nebraska	3. Date of Report 5/10/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) Art. III District Judge Active	5. ReportType (check appropriate type) <input type="radio"/> Nomination,      Date <input type="radio"/> Initial <input checked="" type="radio"/> Annual <input type="radio"/> Final	6. Reporting Period 1/1/2003 to 12/31/2003
7. Chambers or Office Address 3259 Roman Hruska Courthouse 111 South 18th Plaza Omaha, NE 68102	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.  Reviewing Officer _____ Date _____	

**IMPORTANT NOTES:** The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

NONE - (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. House of Delegates Member	Nebraska State Bar Association
2. Advisory Committee	Creighton University School of Law

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DISCLOSURE OFFICE

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

NONE - (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	

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**III. NON-INVESTMENT INCOME.** (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income** **NONE** - (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>GROSS INCOME</u> (yours, not spouse's)
1.		

**B. Spouse's Non-Investment Income** - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)) **NONE** - (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2003	Omaha Visiting Nurse Association

**IV. REIMBURSEMENTS** -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

 **NONE** - (No such reportable reimbursements.)

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. National Center for State Courts	Transportation, meals & lodging

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## V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

NONE - (No such reportable gifts.)

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		

## VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

NONE - (No reportable liabilities.)

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Nelnet Loan	College Plus Loan	J

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## VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
<input type="checkbox"/> NONE (No reportable income, assets, or transactions)									
1. USBank Accounts	A	Interest	J	T					
2. Commercial Federal Bank Accounts	A	Interest	K	T					
3. Berkshire Hathaway Class B			K	T					
4. Diageo PLC Sponsored ADR New	A	Dividend	J	T					
5. Eastman Kodak Co. stock	A	Dividend	J	T					
6. Firstengery Corp. stock	A	Dividend	J	T					
7. Newmont Mining Corp. stock	A	Dividend	J	T					
8. US Bankcorp Del. stock	A	Dividend	J	T					
9. Worldcom Inc stock			J	T	partial sale	11-03	J		
10. Merrill Lynch Trust V 7.28 Cum pref stock	A	Dividend	J	T					
11. Smith Barney Appreciation Fund	A	Dividend	J	T					
12. UTS EIF Blue Chip Stock 01C-Q Premier American Portfolio			J	T	sell	08-11	J	A	
13. UTS EFT Blue Chip Stock 03-B			J	T	buy	08-11	J		
14. USAA Ins. Account			J	T					
15. Intel Corp stock	A	Dividend	J	T					
16. Level 3 Communications Inc. stock			J	T					
17. Valmont Industries Inc	A	Dividend	J	T	buy	07-29	J		
18. Smith Barney Money Fund Government Portfolio Class A	A	Dividend	J	T					

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
19. Abbott Laboratories stock	A	Dividend	J	T					
20. Agilent Technologies Inc. stock			J	T					
21. Walt Disney Co. stock	A	Dividend	J	T					
22. Hewlett Packard Co. stock	A	Dividend	J	T					
23. UTS EFT 2002-D.Q. Strategic Ser. 10-A Pluss Qtl Pmt	A	Distribution	J	T	sell	11-28	J		
24. UTS EFT 2003 - DQ Strategic Ser 10-A Pluss Portfolio	A	Distribution	J	T	buy	11-28	J		
25. Worldcom Inc. GA New MCI Group stock			J	T	partial sale	11-03	J		
26. AIM Value Fund B	A	Dividend	J	T					
27. Alliance Growth Fund Class B	A	Dividend	J	T					
28. Smith Barney Growth & Income Fund Class A	A	Dividend	J	T					
29. Alliance Bernstein Blended U.S. Large Cap.	A	Distribution	J	T	sell	11-03	J	A	

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## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

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## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature \_\_\_\_\_



Date \_\_\_\_\_

5/10/04

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

### FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544

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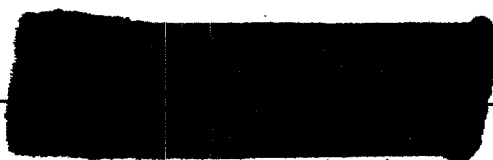
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Date \_\_\_\_\_

5 / 10 / 04

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