AO 10
Rev. 1/2013

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2012

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Barkett, Rosemary	U.S. Court of Appeals, 11 Cir.	04/22/2013

4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	5a. Report Type (check appropriate type)	6. Reporting Period
U.S. Circuit Judge - Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final 5b. ☐ Amended Report	01/01/2012 to 12/31/2012

7. Chambers or Office Address

99 NE 4th Street
Suite 1223
Miami, FL 33132

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Trustee	Trust #1
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1. 9/1/79	State of Florida, Div. of Retirement; Sect. 401(a), IRC
2. 10/1/82	Aetna Life Ins.; Deferred Comp., Sect. 457, IRC
3. 3/3/87	Nationwide Life Ins.; Deferred Comp., Sect. 457, IRC

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2012	State of Florida Retirement (Aetna & Nationwide)	$14,762.80
2.	2012	State of Florida Judicial Retirement	$53,671.56
3.	July 2012	New York University - Teaching Appellate Judges Seminar	$1,500.00
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	American Bar Association - ROLI Meeting	1/18/2012 - 1/20/2012	Washington, DC	Meeting	airfare, meals, lodging
2.	American Bar Association - MENA Council Chair Meeting in Tunis	2/19/2012 - 2/24/2012	Tunis	Meeting / Conference	airfare, meals, lodging
3.	American Bar Association - "Where Are The Lawyers" Panel	4/19//2012 - 4/21/2012	Washington DC	Meeting	airfare, mealis, lodging
4.	American Bar Association - ROLI Meeting	4/29/2012 - 4/30/2012	Washington DC	Meeting	airfare, meal, lodging

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5.	American Bar Association - Death Penalty Representation Project	8/2/2012 - 8/3/2012	Chicago, IL	Panel-Lecture	airfare, meals, lodging
6.	American Bar Association - MENA Council Chair Meeting in Tunis	07/06/2012 - 07/12/2012	Morrocco, Egypt, Tunisia	Meeting / Conference	airfare, meal, lodging
7.	New Appellate Judge Seminar - New York University	07/15/2012 - 07/20/2012	New York, New York	Attend Seminar	airfare, meals, lodging
8.	American Bar Association - ROLI Meeting	10/10/2012 - 10/11/2012	Washington, DC	Meeting	airfare, meals, lodging
9.	University of Tennessee Moot Court Competition	10/18/2012 - 10/19/2012	Knoxville, TN	Panel	airfare, meals, lodging
10.	Yale University Moot Court	12/2/2012 - 12/4/2012	Hartford,CT	Panel	airfare, meals, lodging
11.	American Bar Association - ROLI a& ASIL Meeting	12/12/2012 - 12/12/2012	Washington, DC	Meeting	airfare, meals

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Wachovia Bank (re-mortgage)	Real Estate Mortgage (1/2 owner)	L
2.			
3.			
4.			
5.			

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Barkett, Rosemary	04/22/2013

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	AT & T, Inc (formerlySBC & before that Pacific Telesis)	A	Dividend	J	T					
2.	Altera	A	Dividend	L	T					
3.	Amgen, Inc (Amgn)	A	Dividend	K	T	Sold (part)	12/30/12	K	E	
4.	Apple		None	M	T					
5.	Applied Materials	A	Dividend	K	T					
6.	Attl (formerly Firstcom FCLX)		None	J	T					
7.	Bank of America	A	Dividend	J	T	Sold	12/30/12	K		
8.	Cisco	A	Dividend	K	T					
9.	Citicorp (c) formerly Travelers Group		None	J	T	Sold	12/30/12	J		
10.	Coca Cola (KO)	A	Dividend	K	T					
11.	Corning, Inc. (GLW)	A	Dividend	K	T					
12.	Dell		None	J	T	Sold	12/30/12	J		
13.	Walt Disney (DIS)	A	Dividend	J	T	Sold	12/30/12	J	A	
14.	EMC Corp.		None	K	T					
15.	Federal National Mortgage Association (Fannie MAE)		None	J	T	Sold	12/30/12	J		
16.	Fld.Contra Fd FCNTX		None	K	T					
17.	Hewlett-Packard (HPQ)	A	Dividend	K	T	Sold	12/30/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
Barkett, Rosemary	04/22/2013

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18. Intel Corporation	A	Dividend	L	T	Sold	12/30/12	L	E	
19. IBM	A	Dividend	J	T					
20. JP Morgan Chase (JPM)	A	Dividend	K	T					
21. Microsoft Corporation (MSFT)	A	Dividend	K	T					
22. Nokia Corp. (NOK)	A	Dividend	J	T	Sold	12/30/12	J		
23. North. Trust NTRS	A	Dividend	K	T					
24. Qualcomm, Inc. (QCOM)	A	Dividend	J	T					
25. Texas Instruments	A	Dividend	K	T					
26. Wells Fargo	A	Dividend	J	T					
27. Live Ops		None	K	T					
28. Aetna Deferred Comp Plan (now ING Life)	D	Dividend	L	T					
29. Nationwide	D	Dividend	L	T					
30. Citibank Money Market	A	Int./Div.	M	T					
31. TRUST # 1 (Global Managed Portfolio Level 5 (Citibank)	D	Dividend	P1	T					
32. Citigroup SMANS Energy	A	Int./Div.		T	Redeemed	12/30/12	M	D	
33. IRA #1 (ClearBridge Multi Cap Growth Portfolios (Citibank)	A	Dividend	M	T					
34.									

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35. Re-Parcel 1-Lake Park, FL (1/2 ownership)		None	N	W					
36. Re-Parcel 2-Key Largo, FL (1/4 ownership)		None	K	W	Sold	11/1/12	L	E	
37. Re-Parcel 4-Homestead, FL (1/3 ownership)		None	L	W					
38. Re-Parcel 5-Homestead, FL (1/2 ownership)		None	L	W					
39.									

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Rosemary Barkett

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544