

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2011**

*Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)*

<b>1. Person Reporting (last name, first, middle initial)</b> Bencivengo, Cathy A.	<b>2. Court or Organization</b> US District Court, California	<b>3. Date of Report</b> 05/15/2012
<b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b> US District Judge full-time	<b>5a. Report Type (check appropriate type)</b> <input type="checkbox"/> Nomination <input type="checkbox"/> Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final	<b>6. Reporting Period</b> 01/01/2011 to 12/31/2011
	<b>5b.</b> <input checked="" type="checkbox"/> Amended Report	
<b>7. Chambers or Office Address</b> U.S. Courthouse 940 Front Street San Diego, California 92101		
<b>IMPORTANT NOTES:</b> <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.</i>		

**I. POSITIONS.** *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member of Advisory Board	San Diego Chapter of Federal Bar Association
2. Member of Board of Governors	San Diego Chapter of the Association of Business Trial Lawyers
3. Director	Federal Magistrate Judges Association
4.	
5.	

**II. AGREEMENTS.** *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

**FINANCIAL DISCLOSURE REPORT**  
Page 2 of 8

<b>Name of Person Reporting</b> Bencivengo, Cathy A.	<b>Date of Report</b> 05/15/2012
---	-------------------------------------

**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

**A. Filer's Non-Investment Income**

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1.		
2.		
3.		
4.		

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.*  
*(Dollar amount not required except for honoraria.)*

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	Thermo Fisher Scientific - Salary & Commission
2.	
3.	
4.	

**IV. REIMBURSEMENTS** – *transportation, lodging, food, entertainment.*  
*(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*

NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Federal Magistrate Judges Association	02/27/11 to 03/02/11	Washington DC	Attend Annual Directors Meeting	Transportation, lodging and food
2.	Association of Business Trial Lawyers	10/13/11 to 10/15/11	Santa Barbara, California	Participate as Panelist at Annual Meeting	Transportation, lodging, food and seminar fees
3.					
4.					
5.					

**FINANCIAL DISCLOSURE REPORT**  
Page 3 of 8

Name of Person Reporting  
Bencivengo, Cathy A.

Date of Report  
05/15/2012

**V. GIFTS.** (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

**VI. LIABILITIES.** (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

**FINANCIAL DISCLOSURE REPORT**

Page 4 of 8

Name of Person Reporting <b>Bencivengo, Cathy A.</b>	Date of Report 05/15/2012
---	------------------------------

**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period			D. Transactions during reporting period				
		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)	
		Amount Code 1 (A-I)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)	
1.	IRA #2 (Edward Jones)	E	Dividend	N	T						
2.	-- Edward Jones Cash Reserves										
3.	-- Capital World Grw & Inc Fund										
4.	-- Columbia Marsico Growth Fund										
5.	-- Columbia Small Cap Value II										
6.	--Dodge & Cox Income Fund										
7.	-- Dodge & Cox Stock Fund										
8.	-- Federated Kaufmann Small Cap										
9.	-- Fundamental Investors Fund										
10.	-- Hartford Cap Appreciation Fund										
11.	-- Hotchkis & Wiley Mid Cap Val I										
12.	-- JPMorgan Core Bond Fund Select										
13.	-- Lomis Sayles Invt Grade Bd										
14.	-- MFS Value Fund										
15.	-- Munder Mid Cap Core Growth										
16.	-- Mutual Golbal Discovery Fund										
17.	-- Oppenheimer Intl Growth Fund										

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H11 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H12 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

**FINANCIAL DISCLOSURE REPORT**

Page 5 of 8

Name of Person Reporting <b>Bencivengo, Cathy A.</b>	Date of Report 05/15/2012
---	------------------------------

**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-I)	(5) Identity of buyer/seller (if private transaction)
18. -- Van Kempen Comstock Fund									
19. --JPM Fed Money Market Inst Cl									
20. 401K #2 (Thermo Fisher)	E	Dividend	N	T					
21. -- Dodge & Cox Stock					Sold (part)	03/20/11	K		
22. -- T. Rowe Price Retirement 2040 Fund									
23. -- Thermo Fsher Scientific Inc.									
24. --Western Asset CR PL Bond					Buy	03/20/11	L		
25. Brokerage Acct. #1									
26. -- New World Growth Fund of America					Closed	12/07/11	K		
27. -- U.S. Govt. Securities Fund					Distributed (part)	11/30/11	J		
28.					Closed	12/07/11	K		
29. Brokerage Acct. #2									
30. -- Fundamental Investors	B	Dividend	K	T	Distributed (part)	12/07/11	J		
31. -- New World Growth Fund of America	B	Dividend	L	T	Buy (add'l)	12/07/11	K		
32. -- U.S. Govt. Securities Fund	B	Dividend	K	T	Open	12/07/11	K		
33. Palomar Pomerado Health Muni Bonds		None	K	T	Buy	02/10/11	J		
34. Money Market Charles Schwab	A	Interest	L	T					

1. Income Gain Codes: A=\$1,000 or less B=\$1,001 - \$2,500 C=\$2,501 - \$5,000 D=\$5,001 - \$15,000 E=\$15,001 - \$50,000  
 (See Columns B1 and D4) F=\$50,001 - \$100,000 G=\$100,001 - \$1,000,000 H=\$1,000,001 - \$5,000,000 I1=\$1,000,001 - \$5,000,000 I2=More than \$5,000,000  
 2. Value Codes J=\$15,000 or less K=\$15,001 - \$50,000 L=\$50,001 - \$100,000 M=\$100,001 - \$250,000  
 (See Columns C1 and D3) N=\$250,001 - \$500,000 O=\$500,001 - \$1,000,000 P1=\$1,000,001 - \$5,000,000 P2=\$5,000,001 - \$25,000,000  
 P3=\$25,000,001 - \$50,000,000 P4=More than \$50,000,000  
 3. Value Method Codes Q=Appraisal R=Cost (Real Estate Only) S=Assessment T=Cash Market  
 (See Column C2) U=Book Value V=Other W=Estimated

**FINANCIAL DISCLOSURE REPORT**

Page 6 of 8

Name of Person Reporting

Bencivengo, Cathy A.

Date of Report

05/15/2012

**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. Brokerage Acct. #4 (Schwab)									
36. --Columbia Seligman Comm & Info Fund	A	Dividend	J	T					
37. -- Matthews Asian Growth & Inc Fund	A	Dividend	J	T					
38. -- Munder Index 500 Fund	C	Dividend	K	T					
39. --Oakmark Equity Income Fund	A	Dividend	J	T					
40. -- Parnassus Equity Income	A	Dividend	J	T					
41. -- Permanent Portfolio	A	Dividend	J	T					
42. -- Reynolds Blue Chip	A	Dividend	K	T					
43. -- Yacktman Focused Fund	A	Dividend	K	T					
44. IRA #1	A	Dividend	J	T					
45. -- JDS Uniphase Corp. Common Stock									
46. -- Fidelity Intl Discovery Mutual Fund									
47. IRA #3 (Schwab)	A	Dividend	K	T					
48. -- Deposit Account/Cash Reserves									
49. -- Parnassus Equity Income									
50.									
51.									

1. Income Gain Codes: A=\$1,000 or less B=\$1,001 - \$2,500 C=\$2,501 - \$5,000 D=\$5,001 - \$15,000 E=\$15,001 - \$50,000  
 (See Columns B1 and D4) F=\$50,001 - \$100,000 G=\$100,001 - \$1,000,000 H1=\$1,000,001 - \$5,000,000 112=More than \$5,000,000  
 2. Value Codes J=\$15,000 or less K=\$15,001 - \$50,000 L=\$50,001 - \$100,000 M=\$100,001 - \$250,000  
 (See Columns C1 and D3) N=\$250,001 - \$500,000 O=\$500,001 - \$1,000,000 P1=\$1,000,001 - \$5,000,000 P2=\$5,000,001 - \$25,000,000  
 P3=\$25,000,001 - \$50,000,000 P4=More than \$50,000,000  
 3. Value Method Codes Q=Appraisal R=Cost (Real Estate Only) S=Assessment T=Cash Market  
 (See Column C2) U=Book Value V=Other W=Estimated

**FINANCIAL DISCLOSURE REPORT**  
Page 7 of 8

<b>Name of Person Reporting</b> Bencivengo, Cathy A.	<b>Date of Report</b> 05/15/2012
---	-------------------------------------

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

1) Part VII, Lines 25-32 -- Closed Brokerage Account #1 on 12/07/11 and transferred all assets to Brokerage Account # on 12/07/11.

**FINANCIAL DISCLOSURE REPORT**

Page 8 of 8

Name of Person Reporting	Date of Report
Bencivengo, Cathy A.	05/15/2012

**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Cathy A. Bencivengo**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544