AO 10
Rev. 1/2012

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2011

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
BENNETT, MARK W.	USDC/Northern District of Iowa	5/14/2012

4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	5a. Report Type (check appropriate type)	6. Reporting Period
U.S. District Court Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final 5b. ☐ Amended Report	01/01/2011 to 12/31/2011

7. Chambers or Office Address

313 United States Courthouse
320 Sixth Street
Sioux City, IA 51101

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
BENNETT, MARK W.	5/14/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2011	Aspen Publishing	$4,200.00
2. 2011	Drake University Law School	$3,750.00
3. 2011	University of Nebraska Law School	$3,230.14
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria)

☑ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Texas Bar Association	1.13-1.15	Austin,TX	State Bar of Texas Annual Advanced Labor and Employment Course	airfare, lodging, meals
2.	ACI	1.24-1 26	New York, NY	16th Annual Employment Practices Liability Insurance Conference	aifare, lodging, meals
3.	Minnesota State Bar Association	2.3-2.4	Minneapolis, MN	2011 Annual Civil Litigator Shourt Course	airfare, lodging, meals
4.	Drake University School of Law	2.20-2.22	Des Moines, IA	Co-teaching law class	mileage, lodging

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5. Minnesota State Bar Association	2.23	Minneapolis, MN	Antitrust Section Luncheon CLE	airfare
6. University of Nebraska Law School	2.27-3.1	Lincoln, NE	Teaching law class	mileage, lodging
7. UCLA School of Law	3.2-3.5	Los Angeles, CA	PULSE Symposium	aifare, lodging, meals
8. Drake University School of Law	3.31-4.1	Des Moines, IA	Co-teaching law class	mileage, lodging
9. ABA	4.8-4.10	New Orleans, LA	ABA Labor and Employment National EEO Conference	airfare, lodging, meals
10. Drake University School of Law	4.25-4.26	Des Moines, IA	Co-teaching class	mileage, lodging
11. Pennsylvannia Bar Institute	4.27-4.29	Philadephia, PA	Pennsylvannia Bar's Employment Law Institute	airfare, lodging, meals
12. DRI	5.18-5.20	Scottsdale, AZ	DRI 34th Annual Employment Law Seminar	airfare, lodging, meals
13. ABOTA	6.22-6.24	Chicago, IL	ABOTA National Jury Summit	airfare, lodging, meals
14. NARTC	7.23-7.26	Quebec City, Canada	NARTC Annual Meeting	airfare. lodging, meals
15. ACI	9.20-9.21	San Francisco, CA	ACI's 13th National Wage and Hour Claims and Class Actions Conference	airfare, lodging, meals
16. Federal Defender's Service	9.21-9.25	Boise, ID	Annual Western All Star Conference and Confabulation	airfare, lodging, meals
17. ABOTA	10.13-10.14	Des Moines, IA	ABOTA Trial College	mileage, lodging
18. ALFA	10.20-10.21	Chicago, IL	ALFA International Annual Meeting	airfare, lodging, meals
19. ABA	11.2-11.6	Seattle, WA	ABA 5th Annual Section of Labor and Employment Law Conference	airfare, lodging, meals
20.				
21.				
22.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
BENNETT, MARK W.	5/14/2012

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Non-IRA (Fidelity)									
2. ANTX		None	J	T					
3. Berkshire Hathaway		None	J	T					
4. FBR Focus Fund		None	J	T					
5. First Eagle Global Class C	A	Dividend	J	T					
6. Harding Loevner Emerging Mkts	A	Dividend			Sold	12/27/11	J		
7. Invesco Intl. Small Co.	A	Dividend	J	T					
8. Permanent Portfolio	A	Dividend	J	T					
9. SPDR SER TR S&P Oil & Gas	A	Dividend			Buy	01/10/11	J		
10.					Sold	05/09/11	J		
11. Surebeam Corp.		None	J	T					
12. Vanguard Index FDS	A	Dividend	J	T					
13. Vanguard Specialized Portfolios		None	J	T					
14. WasatchUltra Growth		None	J	T					
15.									
16. Rollover IRA (Fidelity)									
17. Direxion Daily Emerging Markts Bull		None			Sold (part)	01/05/11	J	B	

1. Income Gain Codes (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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18.					Sold	02/02/11	J	B	
19. Fidelity Cash Reserves	A	Dividend	J	T					
20. Fidelity Real Estate Income	A	Dividend	J	T	Buy (add'l)	02/25/11	J		
21.	B				Buy (add'l)	12/23/11	J		
22. First Eagle Global Class C		None	K	T	Sold (part)	05/25/11	J	B	
23. Goldman Sachs Satellite Port		None			Sold	02/22/11	J	D	
24. Ishares TR S&P 500/Growth Index Fund	A	Dividend			Buy (add'l)	01/05/11	J		
25.					Sold	04/14/11	J	B	
26. Iva Worldwide Fund		None	K	T	Buy (add'l)	01/03/11	J		
27. Leuthold Global fund Retail Class	A	Dividend	J	T	Sold (part)	11/28/11	J	A	
28. Metzler Payden Euro Emerging Markets		None			Sold	09/20/11	J	C	
29. New Century Alter Strategies		None			Sold (part)	06/24/11	J	B	
30.					Sold (part)	08/18/11	J	B	
31.					Sold	10/21/11	J	C	
32. Oakmark International Small Cap	A	Dividend	J	T					
33. Permanent Portfolio	A	Dividend	J	T	Buy (add'l)	02/25/11	J		
34. SPDR SER TR S&P Oil & Gas	A	Dividend			Buy	01/05/11	J		

1 Income Gain Codes (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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BENNETT, MARK W.	5/14/2012

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
35.					Sold	04/14/11	J	B	
36. T. Rowe Price PERSNL Strategy Blanced	A	Dividend	J	T	Sold (part)	12/21/11	J	C	
37. Vanguard Sector Index FDS		None			Buy	02/25/11	J		
38.					Sold	03/28/11	J	B	
39. Wasatch Long/Short Fund		None			Buy (add'l)	02/25/11	J		
40.					Sold	07/20/11	J	C	
41. Wasatch Ultra Growth		None	J	T					
42.									
43. Miscellaneous Holdings									
44. Iowa College Savings Account self-- no control		None	J	T			J		
45. Iowa College Savings Account . . [redacted] no control		None	J	T			J		
46. CKG ACCT; Fidelity, Boston MA	A	Interest	K	T					
47. Wells Fargo Checking Account	A	Interest	J	T					
48. Iowa/Nebraska State Bank Checking Account	A	Interest	J	T			J		
49. REINSURANCE AGREEMT; 1st Colony Life Ins. Co.		None	N	W					
50. BelcourPension Invest VI		None	J	T					
51. LMTD PTRSHIP; Riverfront Ass'n; Des Moines,		None	J	W					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
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52.	LMTD PTRSHIP-Shearson Senior Income Fund		None	J	W					
53.	LMTD PTRSHIP-Shearson Coast Savings		None	J	W					
54.	LMTD PTRSHIP-Caryle Real Estate		None	J	W					
55.	RESIDENTIAL LOT; Sioux City, IA		None	K	W					
56.										
57.	IRA Fidelity [redacted]									
58.	American Century Mid Cap	A	Dividend	J	T					
59.	BMO Small Cap		None	J	T	Buy	04/29/11	J		
60.						Buy (add'l)	09/08/11	J		
61.	Berkshire Hathaway Inc DEL CL B		None	L	T					
62.	Direxion Long/Short Global		None	J	T	Buy	02/03/11	J		
63.	Fidelity Global Commodity Stock Fund		None			Sold	01/10/11	J	D	
64.	Fidelity Cash Reserves	A	Dividend	J	T					
65.	Fidelity Real Estate Income	A	Dividend	J	T	Buy (add'l)	12/23/11	J		
66.	First Eagle Global Class C	A	Dividend	K	T	Sold (part)	03/02/11	J	C	
67.						Buy (add'l)	09/08/11	J		
68.	Harding Loevner Emerging MRKTS	A	Dividend	J	T					

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69. Invesco International Small Co. CL	A	Dividend	J	T	Sold (part)	03/28/11	J	C	
70. IShares S&P GSCI Commodity		None	J	T	Buy	01/31/11	J		
71.					Sold (part)	03/14/11	J	B	
72.					Sold (part)	03/30/11	J	B	
73.					Buy (add'l)	12/05/11	J		
74. IVA Worldwide Fund		None	K	T	Buy (add'l)	01/03/11	J		
75.					Sold (part)	03/02/11	J	D	
76.					Buy (add'l)	09/01/11	J		
77.					Buy (add'l)	09/02/11	J		
78. Market Vectors Gulf State Index ETF		None	J	T	Sold (part)	01/07/11	J	A	
79.					Sold (part)	01/07/11	J	B	
80.					Sold (part)	01/10/11	J	B	
81.					Buy (add'l)	12/30/11	J		
82. Matthews China Fund	A	Dividend	J	T	Sold (part)	07/14/11	J	C	
83. Metzler Payden Euro Emerging Markets		None	J	T	Buy (add'l)	12/02/11	J		
84. New Century Alter Strategies		None			Sold	07/13/11	J	C	
85. Oakmark Equity & Income FD	A	Dividend	J	T	Sold (part)	01/03/11	J	D	

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86. Permanent Portfolio		None	K	T	Buy (add'l)	03/21/11	J		
87.					Buy (add'l)	03/31/11	J		
88.					Buy (add'l)	09/08/11	J		
89. PowersharesDB		None			Buy	01/31/11	J		
90.					Sold (part)	03/14/11	J	B	
91.					Sold	03/30/11	J	B	
92. Proshares		None	J	T	Buy	05/12/11	J		
93.					Buy (add'l)	05/17/11	J		
94.					Buy (add'l)	06/06/11	J		
95.					Buy (add'l)	06/06/11	J		
96.					Buy (add'l)	07/28/11	J		
97.					Sold (part)	08/04/11	J	C	
98.					Sold (part)	08/08/11	J	B	
99.					Sold (part)	08/09/11	J	C	
100.					Sold (part)	08/09/11	J	B	
101.					Buy (add'l)	08/10/11	J		
102.					Sold (part)	08/10/11	J	B	

1. Income Gain Codes (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
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103. Robeco Boston Prtners		None	K	T	Sold (part)	03/28/11	J	C	
104. T. Rowe Price	A	Dividend			Buy (add'l)	03/31/11	J		
105.					Sold	09/06/11	K	E	
106. Vanguard Inflation	A	Dividend	J	T					
107. Vanguard Sector Index		None			Buy	02/07/11	J		
108.					Sold (part)	03/09/11	J	C	
109.					Sold (part)	03/14/11	J	C	
110.					Sold	05/09/11	J	C	
111. Wasatch Microcap		None	J	T	Buy	04/29/11	J		
112.					Buy (add'l)	09/08/11	J		
113. Wasatch Ultra Growth		None	J	T	Sold (part)	05/09/11	J	C	
114.					Buy (add'l)	09/08/11	J		
115. IRA Smith Barney [redacted]									
116. Blackrock Energy & Resources	A	Dividend	J	T					
117. Blackrock Global Allocation Fund	A	Dividend	J	T					
118. Dreyfus Liquid Assets, Inc.		None	J	T					
119. First Eagle Global Fund	A	Dividend	K	T					

1. Income Gain Codes (See Columns B1 and D4): A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3): J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2): Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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BENNETT, MARK W.	5/14/2012

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. First IND Realty Trust	A	Dividend			Sold (part)	10/06/11	J	B	
121.					Sold	10/06/11	J	B	
122. Franklin Income Advisor Class	A	Dividend			Sold	10/06/11	J	D	
123. Hartford Capital Appreciation Fund		None			Sold	02/03/11	J	A	
124. ING Russia Fund Class A		None	J	T	Buy	10/06/11	J		
125. Ishares S&P U.S. Preferred Stock	A	Dividend	J	T					
126. Ishares S&P 100 Index Fund		None	J	T	Buy (add'l)	08/08/11	J		
127. Ivy Asset Strategy Fund	A	Dividend	K	T	Sold (part)	10/06/11	J	D	
128. Ivy Global Natural Resources		None	J	T					
129. Lexington Realty Trust	A	Dividend			Sold	10/06/11	J		
130. Market Vectors	A	Dividend	J	T	Buy	02/03/11	J		
131. MFS Emerging Markets Deb Fund	A	Dividend	J	T					
132. Permanent Portfolio		None	J	T					
133. Templeton Global Bond Fund	A	Dividend	J	T					
134. Thornburg Investment Income Builder	A	Dividend	K	T	Buy	10/06/11	K		
135. Vanguard Emerging Markets ETF		None			Sold	02/03/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
BENNETT, MARK W.	5/14/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Vanguard Specialized Portfolios (line 13) was purchased on 12.20.10 but inadvertantlyl left off the 2010 report

Name of Person Reporting	Date of Report
BENNETT, MARK W.	5/14/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ MARK W. BENNETT

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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