

AO 10
Rev. 1/2010

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Benton, William D.	2. Court or Organization U.S. Court of Appeals, 8th Cir	3. Date of Report 05/04/2010
4. Title (Article III judges indicate active or senior status, magistrate judges indicate full- or part-time) Circuit Judge (active)	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination, Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address U.S. Courthouse 400 E. 9th St Kansas City MO 64106	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1	Adjunct Professor	Westminster College
2	Adjunct Professor	Vanderbilt University Law School
3		
4		
5		

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EIGHTH CIRCUIT

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1	2004	Judicial retirement, State of Missouri. I am vested and will receive, beginning in 2010, one-half the compensation in effect in 2004.
2		
3		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1. 2009	Westminster College - teaching	\$3,277.00
2. 2009	Vanderbilt University Law School - teaching	\$4,200.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children - see pp. 25-27 of filing instructions.)

NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Vanderbilt University Law School	Jan. 23-25, Jan 30-Feb. 1, 2009	Nashville TN	teaching	transportation and hotel
2.	University of Missouri Law School	Feb 26-28, 2009	Columbia MO	academic forum (teach)	transportation, meals and hotel
3.	Brooklyn Law School	April 3-5, 2009	Brooklyn NY	moot court	transportation, meals and hotel
4.	American Bar Association	April 29-30, 2009	Atlanta Ga	moot court	transportation, meals and hotel
5.	Bar Association of Metropolitan St. Louis	May 28-30, 2009	French Lick IN	CLE (teach) at annual meeting	transportation, meals and hotel
6.	American Legion	Sep 25-27, 2009	Indianapolis IN	oratorical contest	transportation, meals and hotel

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7.	John Marshall Law School	Oct 2-4, 2009	Chicago IL	moot court	transportation, meals and hotel
8.	American Association of Attorney-CPAs	Nov 11-12, 2009	Nashville TN	CLE (teach) at seminar	transportation, meals and hotel

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Wachovia Securities, LLC	Margin account balance	J
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	1. Equifax Inc. common stock	A	Dividend	L	T				
2. GE common stock	A	Dividend	J	T					
3. Federated Clover Value Fund A (name change - see Part VIII)	A	Dividend	J	T					
4. Oppenheimer Main Street Fund A	A	Dividend	J	T					
5. Wells Fargo Small Cap Fund A (Montgomery formerly)	A	Dividend	K	T					
6. US Bankcorp common stock	A	Dividend	J	T					
7. MOSI 529 plan fund #1-Vanguard Aggressive Growth Portfolio		None	K	T					
8. MOST 529 plan fund #2-Vanguard Interest Accumulat'n Portfolh	A	Interest	J	T					
9. Missouri state 457 deferred compensation plan		None	J	T					
10. AIG American General universal life policy	B	Interest	K	T					
11. IRA #1	A	Int./Div	K	T					
12. - Hewlett Packard common stock									
13. - First Frost Missouri Equity Growth Ser 2 cash					Redeemed	05-07-09	K		
14. Wells Fargo (formerly Wachovia) Bank deposit program									
15. - Blackrock Energy & Resources Portfolio C (name change)									
16. - American Capital Income Builder Fund C					Buy	08-13-09	J		
17. - Lord Abbett Bd-Deb C					Buy	08-13-09	J		

1. Income/Gain Codes: A = \$1,000 or less; B = \$1,001 - \$2,500; C = \$2,501 - \$5,000; D = \$5,001 - \$15,000; E = \$15,001 - \$50,000; F = \$50,001 - \$100,000; G = \$100,001 - \$1,000,000; H = \$1,000,001 - \$5,000,000; I = \$5,000,001 - \$100,000,000; J = \$15,001 - \$50,000; K = \$50,001 - \$100,000; L = \$100,001 - \$250,000; M = \$250,001 - \$500,000; N = \$500,001 - \$1,000,000; O = \$1,000,001 - \$5,000,000; P = \$5,000,001 - \$25,000,000; Q = \$25,000,001 - \$50,000,000; R = More than \$50,000,000

2. Value Codes: F = \$15,000 or less; G = \$15,001 - \$50,000; H = \$50,001 - \$100,000; I = \$100,001 - \$250,000; J = \$250,001 - \$500,000; K = \$500,001 - \$1,000,000; L = \$1,000,001 - \$5,000,000; M = \$5,000,001 - \$25,000,000; N = \$25,000,001 - \$50,000,000; O = More than \$50,000,000

3. Value Method Codes: Q = Appraisal; R = Cost (Real Estate Only); S = Assessment; T = Cash Market; U = Book Value; V = Other; W = Estimated

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or net)	(1) Value Code 2 (I-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. IRA # 2	A	Int. Div	I	T					
19. - WalMart common stock									
20. - Hewlett Packard common stock									
21. - Federated Clover Value Fund A (name change - see part VIII)									
22. - First Trust Missouri Equity Growth Ser 2 cash					Redeemed	05/07/09	J		
23. - American International Group Inc.									
24. - Peabody Energy Corp. common stock									
25. - Titanium Metals Corp. New common stock									
26. - Wells Fargo Co. (formerly Wachovia Corp New) common stock									
27. - Blackrock Energy & Resources Portfolio C (name change)									
28. - Wachovia Bank deposit program									
29. - Federated Strategic Value Fund C					Buy	08/14/09	J		
30. - Federated Investors Bond Fund C					Buy	08/14/09	J		
31. Pfizer Inc. common stock	A	Dividend	J	T					
32. Sirius Satellite Radio Inc. common stock		None	J	T					
33. Blackrock (formerly Merrill Lynch) Global Allocation Funds B	A	Dividend	J	T					
34. Comtech Telecommunications Corp New common stock		None	K	T					

1. Income Gain Codes: A = \$1,000 or less; B = \$1,001 - \$2,500; C = \$2,501 - \$5,000; D = \$5,001 - \$15,000; E = \$15,001 - \$50,000; F = \$50,001 - \$100,000; G = \$100,001 - \$1,000,000; H = \$1,000,001 - \$5,000,000; I = \$5,000,001 - \$50,000,000; J = \$50,001 or less; K = \$15,001 - \$50,000; L = \$50,001 - \$100,000; M = \$100,001 - \$250,000; N = \$250,001 - \$500,000; O = \$500,001 - \$1,000,000; P = \$1,000,001 - \$5,000,000; Q = \$5,000,001 - \$50,000,000; R = More than \$50,000,000.
2. Value Codes: J = \$1,500 or less; K = \$15,001 - \$50,000; L = \$50,001 - \$100,000; M = \$100,001 - \$250,000; N = \$250,001 - \$500,000; O = \$500,001 - \$1,000,000; P = \$1,000,001 - \$5,000,000; Q = \$5,000,001 - \$50,000,000; R = More than \$50,000,000.
3. Value Method Codes: Q = Appraisal; R = Cost (Real Estate Only); S = Assessment; T = Cash Market; U = Broker Value; V = Other; W = Unstated.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 14-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets, (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date (mm/dd/yy)	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller of private transaction
35. Peabody Energy Corp	A	Dividend	K	T					
36. Titanium Metals Corp. New		None	J	T					

1. Income Gain Codes (See Columns B1 and D41)
 2. Value Codes (See Columns C1 and D31)
 3. Value Method Codes (See Column C3)

A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H = \$1,000,001 - \$5,000,000	I1 = \$1,000,001 - \$5,000,000	I2 = More than \$5,000,000
J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	N = \$250,001 - \$500,000
O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = More than \$5,000,000	Q = Appraisal	R = Cost (FIFO or LIFO Only)
R = Appraisal	S = Assessment	T = Cash Market	U = Estimated	V = Other

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

"Federal Clover Value Fund A," listed on lines 3 and 21 of Part VII, was formerly reported as "Federated American Leaders Fund B."

"Blackrock Energy & Resources Portfolio C," listed on lines 15 and 27 of Part VII, was formerly reported as "Blackrock Funds Global C."

The Vanguard Aggressive Growth Portfolio, listed on line 7 of Part VII, invests in two stock funds: (1) Vanguard Institutional Total Stock Market Index Fund (75%), and Vanguard Institutional Developed Markets Index Fund (25%). This allocation is made by the MOST plan, not by me.

The Vanguard Interest Accumulation Portfolio, listed on line 8 of Part VII, directs all its assets into Vanguard Short Term Reserves Account, which, in turn, invests in (1) Vanguard Prime Money Market Fund (0%-25%), and (2) funding agreements issued by one or more insurance companies, and other financial institutions. This allocation is made by the MOST plan, not by me, and I do not know any specifics of item (2).

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature _____

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:
Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544