FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2009


<table>
<thead>
<tr>
<th>1. Person Reporting (last name, first, middle initial)</th>
<th>2. Court or Organization</th>
<th>3. Date of Report</th>
</tr>
</thead>
<tbody>
<tr>
<td>Bertelsman, William O.</td>
<td>KY-ED</td>
<td>05/11/2010</td>
</tr>
</tbody>
</table>

4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)
   U.S. District Judge (Senior)

5a. Report Type (check appropriate type)
   [ ] Nomination, Date
   [ ] Initial
   [ ] Annual
   [ ] Final
   [ ] Amended Report

6. Reporting Period
   01/01/2009 to 12/31/2009

7. Chambers or Office Address
   35 West Fifth Street, Rm. 505
   P. O. Box 1012
   Covington, KY 41012-1012

8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.
   Reviewing Officer: __________________ Date: ____________

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

   [ ] NONE (No reportable positions.)

<table>
<thead>
<tr>
<th>POSITION</th>
<th>NAME OF ORGANIZATION/ENTITY</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Adjunct Teacher (part-time)</td>
<td>Salmon P. Chase College of Law, Northern Kentucky University, Highland Heights, KY</td>
</tr>
<tr>
<td>2. Owner</td>
<td>529 Trust Plans #1-#9 through Kentucky Education Savings Plan and Michigan Education Program</td>
</tr>
<tr>
<td>3.</td>
<td></td>
</tr>
<tr>
<td>4.</td>
<td></td>
</tr>
<tr>
<td>5.</td>
<td></td>
</tr>
</tbody>
</table>

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

   [ ] NONE (No reportable agreements.)

<table>
<thead>
<tr>
<th>DATE</th>
<th>PARTIES AND TERMS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td></td>
</tr>
<tr>
<td>2.</td>
<td></td>
</tr>
<tr>
<td>3.</td>
<td></td>
</tr>
</tbody>
</table>

Bertelsman, William O.
### III. NON-INVESTMENT INCOME

#### A. Filer's Non-Investment Income

> **NONE** *(No reportable non-investment income.)*

<table>
<thead>
<tr>
<th>DATE</th>
<th>SOURCE AND TYPE</th>
<th>INCOME</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>(yours, not spouse's)</td>
</tr>
</tbody>
</table>

1. 
2. 
3. 
4. 

#### B. Spouse's Non-Investment Income

If you were married during any portion of the reporting year, complete this section.

* (Dollar amount not required except for honoraria.)

> **NONE** *(No reportable non-investment income.)*

<table>
<thead>
<tr>
<th>DATE</th>
<th>SOURCE AND TYPE</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>
1. 
2. 
3. 
4. 

### IV. REIMBURSEMENTS

- Transportation, lodging, food, entertainment,

*(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*

> **NONE** *(No reportable reimbursements.)*

<table>
<thead>
<tr>
<th>SOURCE</th>
<th>DATES</th>
<th>LOCATION</th>
<th>PURPOSE</th>
<th>ITEMS PAID OR PROVIDED</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
1. 
2. 
3. 
4. 
5. 
V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

- None (No reportable gifts.)

<table>
<thead>
<tr>
<th>SOURCE</th>
<th>DESCRIPTION</th>
<th>VALUE</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
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<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

- None (No reportable liabilities.)

<table>
<thead>
<tr>
<th>CREDITOR</th>
<th>DESCRIPTION</th>
<th>VALUE CODE</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
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<tr>
<td></td>
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<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

FINANCIAL DISCLOSURE REPORT
Page 3 of 17

Name of Person Reporting
Bertelsman, William O.

Date of Report
05/11/2010
VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

<table>
<thead>
<tr>
<th>A. Description of Assets (including trust assets)</th>
<th>B. Income during reporting period</th>
<th>C. Gross value at end of reporting period</th>
<th>D. Transactions during reporting period</th>
</tr>
</thead>
<tbody>
<tr>
<td>Place &quot;(X)&quot; after each asset exempt from prior disclosure</td>
<td>(1) Amount Code 1 (A-H)</td>
<td>(2) Type (e.g., div., rent, or int.)</td>
<td>(1) Value Code 2 (J-P)</td>
</tr>
<tr>
<td>1. Victory Madison Government Reserve</td>
<td>A</td>
<td>Dividend</td>
<td>J</td>
</tr>
<tr>
<td>2. Dreyfus Municipal Bond Fund</td>
<td>A</td>
<td>Interest</td>
<td>Redeemed</td>
</tr>
<tr>
<td>3. Dreyfus Intermediate Municipal Bond Fund</td>
<td>C</td>
<td>Interest</td>
<td>Redeemed</td>
</tr>
<tr>
<td>4. Goldline (formerly Dreyfus Gold Fund)</td>
<td>None</td>
<td></td>
<td></td>
</tr>
<tr>
<td>5. Legg Mason Europe Fund (stock)</td>
<td>A</td>
<td>Dividend</td>
<td>J</td>
</tr>
<tr>
<td>6. U.S. Bank, Fort Thomas, KY</td>
<td>A</td>
<td>Interest</td>
<td>J</td>
</tr>
<tr>
<td>7. Dreyfus Short Intermediate Municipal Bond Fund</td>
<td>A</td>
<td>Interest</td>
<td>Redeemed</td>
</tr>
<tr>
<td>8. Dupree, Kentucky- Short/Med. Fund</td>
<td>A</td>
<td>Interest</td>
<td>M</td>
</tr>
<tr>
<td>10. Two Undeveloped Lots in Charlotte Co., Florida</td>
<td>None</td>
<td></td>
<td></td>
</tr>
<tr>
<td>11. Fifth Third Bank (Account)</td>
<td>A</td>
<td>Interest</td>
<td>K</td>
</tr>
<tr>
<td>12. Fifth Third Bank, Fort Thomas, KY (Common Shares)</td>
<td>A</td>
<td>Dividend</td>
<td>J</td>
</tr>
<tr>
<td>13. Victory Established Value Fund G</td>
<td>A</td>
<td>Dividend</td>
<td>K</td>
</tr>
<tr>
<td>14. Dreyfus Growth &amp; Income Fund</td>
<td>A</td>
<td>Dividend</td>
<td>J</td>
</tr>
<tr>
<td>15. Bank of Kentucky (Account)</td>
<td>C</td>
<td>Interest</td>
<td>L</td>
</tr>
<tr>
<td>16. Procter &amp; Gamble - Common stock</td>
<td>A</td>
<td>Dividend</td>
<td>L</td>
</tr>
<tr>
<td>17. Victory Small Company Fund</td>
<td>A</td>
<td>Dividend</td>
<td>K</td>
</tr>
</tbody>
</table>

1. Income Gain Codes:
   (See columns B1 and B2)
   A $1,000 or less
   B $1,001 - $2,000
   C $2,001 - $5,000
   D $5,001 - $10,000
   E $15,001 - $50,000
   F $10,001 - $10,000
   G $10,001 - $1,000,000
   H $1,000,001 - $5,000,000
   K $50,001 - $100,000
   L $100,001 - $100,000
   M $100,000 - $250,000
   N $25,000 - $250,000
   O $1,000,001 - $1,000,000
   P $1,000,001 - $5,000,000
   Q $5,000,001 - $25,000,000
   R $25,000,001 - $50,000,000
   S $50,000,001 - $100,000,000
   T $100,000,001 - $500,000,000
   U $10,000,000 - $100,000,000
   V $250,000,001 - $1,000,000,000
   W $1,000,000,001 - $2,500,000,000
   X $2,500,000,001 - $5,000,000,000
   Y $5,000,000,001 - $25,000,000,000
   Z $25,000,000,001 - $100,000,000,000

2. Value Codes:
   (See columns C1 and C2)
   A $15,000 or less
   B $15,001 - $50,000
   C $50,001 - $100,000
   D $100,001 - $250,000
   E $250,001 - $500,000
   F $500,001 - $1,000,000
   G $1,000,001 - $2,000,000
   H $2,000,001 - $5,000,000
   I $5,000,001 - $10,000,000
   J $10,000,001 - $20,000,000
   K $20,000,001 - $50,000,000
   L $50,000,001 - $100,000,000
   M $100,000,001 - $250,000,000
   N $250,000,001 - $500,000,000
   O $500,000,001 - $1,000,000,000
   P $1,000,000,001 - $2,000,000,000
   Q $2,000,000,001 - $5,000,000,000
   R $5,000,000,001 - $10,000,000,000
   S $10,000,000,001 - $25,000,000,000
   T $25,000,000,001 - $50,000,000,000
   U $50,000,000,001 - $100,000,000,000
   V $100,000,000,001 - $250,000,000,000
   W $250,000,000,001 - $500,000,000,000
   X $500,000,000,001 - $1,000,000,000,000
   Y $1,000,000,000,001 - $2,000,000,000,000
   Z $2,000,000,000,001 - $5,000,000,000,000

3. Value Method Codes:
   (See columns C3 and C4)
   A Approval
   B Book Value
   C Cost (Real Estate Only)
   D Cost (Other)
   E Market
   F Other
   G Assessed
   H Appraised
   I Estimated
   J Adjusted
   K Actual
   L Adjusted
   M Adjusted
   N Adjusted
   O Adjusted
   P Adjusted
   Q Adjusted
   R Adjusted
   S Adjusted
   T Adjusted
   U Adjusted
   V Adjusted
   W Adjusted
   X Adjusted
   Y Adjusted
   Z Adjusted
VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

None (No reportable income, assets, or transactions.)

<table>
<thead>
<tr>
<th>A. Description of Assets (including trust assets)</th>
<th>B. Income during reporting period</th>
<th>C. Gross value at end of reporting period</th>
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<tbody>
<tr>
<td>Place &quot;(X)&quot; after each asset exempt from prior disclosure</td>
<td>(1) Amount Code 1 (A-I) (2) Type (e.g., div., rent, or int.)</td>
<td>(1) Value Code 2 (J-P) (2) Value Method Code 3 (Q-W) (3) Date mm/dd/yy (4) Value Code 2 (J-P) (4) Gain Code 1 (A-H) (5) Identity of buyer/seller (if private transaction)</td>
<td></td>
</tr>
</tbody>
</table>

18. NCR Corp. (common stock)  
19. Pomeroy IT Solutions Res. (Common stock)  
20. BankAmerica Corp. (common stock)  
21. Bank of Kentucky (Account)  
22. United States Savings Bonds (1)  
23. Conagra Foods, Inc.  
24. Sherwin Williams  
25. Tyco International Ltd.  
26. DWS Dreman High Return Fund  
27. Russell County, KY Hospital  
29. Kroger Co.  
30. Harlan County, KY Health Care Fair Laurel Project  
31. Eastern KY Univ. Revs. Sys (Due 2/1/09)  
32. Barbourville, KY EDK Bldg Rev (Union College)  
33. J.M. Smucker Co.  
34. Comcast Corp. Class A

---

1. Income Gain Codes:  
A = $1,000 or less  
B = $1,001 - $2,500  
C = $2,501 - $5,000  
D = $5,001 - $10,000  
E = $10,001 - $50,000  
F = $50,001 - $100,000  
G = $100,001 - $1,000,000  
H = $1,000,001 - $5,000,000  
J = More than $5,000,000

2. Value Codes:  
J = $15,000 or less  
K = $15,001 - $50,000  
L = $50,001 - $100,000  
M = $100,001 - $250,000  
N = $250,001 - $500,000  
O = $500,001 - $1,000,000  
P = $1,000,001 - $5,000,000  
Q = $5,000,001 - $25,000,000

3. Value Method Codes:  
Q = Appraisal  
R = Cost (Real Estate Only)  
S = Appraised  
U = Book Value  
V = Other  
W = Estimated  
X = Cash Market
**FINANCIAL DISCLOSURE REPORT**

**Page 6 of 17**

**Name of Person Reporting**
Bertelsman, William O.

**Date of Report**
05/11/2011

**VII. INVESTMENTS and TRUSTS**—income, value, transactions (includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

**NONE (No reportable income, assets, or transactions.)**

<table>
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<tr>
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<th>B. Income during reporting period</th>
<th>C. Gross value at end of reporting period</th>
<th>D. Transactions during reporting period</th>
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</thead>
<tbody>
<tr>
<td>Placed &quot;X&quot; after each asset exempt from prior disclosure</td>
<td>(1) Asset Code 1 (A-H)</td>
<td>(2) Type (e.g., div., rent, or int.)</td>
<td>(1) Value Code 2 (F-P)</td>
</tr>
<tr>
<td>-------------------------------------------------</td>
<td>-----------------</td>
<td>--------------</td>
<td>-----------------</td>
</tr>
<tr>
<td>35. Ky Education Savings Plan 529 Trust #1</td>
<td>B Interest</td>
<td>K T</td>
<td></td>
</tr>
<tr>
<td>36. Ky Education Savings Plan 529 Trust #2</td>
<td>B Interest</td>
<td>J T</td>
<td></td>
</tr>
<tr>
<td>37. Michigan Education Savings Program #2</td>
<td>B Interest</td>
<td>L T</td>
<td></td>
</tr>
<tr>
<td>38. Michigan Education Savings Program #3</td>
<td>B Interest</td>
<td>K T</td>
<td></td>
</tr>
<tr>
<td>39. Ky Education Savings Plan 529 Trust #4</td>
<td>B Interest</td>
<td>K T</td>
<td></td>
</tr>
<tr>
<td>40. Michigan Education Savings Program #4</td>
<td>B Interest</td>
<td>M T</td>
<td></td>
</tr>
<tr>
<td>41. Ky Education Savings Plan 529 Trust #5</td>
<td>B Interest</td>
<td>K T</td>
<td></td>
</tr>
<tr>
<td>42. Michigan Education Savings Program #5</td>
<td>B Interest</td>
<td>M T</td>
<td></td>
</tr>
<tr>
<td>43. Ky Education Savings Plan 529 Trust #6</td>
<td>B Interest</td>
<td>K T</td>
<td></td>
</tr>
<tr>
<td>44. Michigan Education Savings Program #6</td>
<td>B Interest</td>
<td>M T</td>
<td></td>
</tr>
<tr>
<td>45. BP PLC</td>
<td>C Dividend</td>
<td>K T</td>
<td></td>
</tr>
<tr>
<td>46. Chevron-Texaco Corp.</td>
<td>B Dividend</td>
<td>L T</td>
<td></td>
</tr>
<tr>
<td>47. Duke Energy</td>
<td>A Dividend</td>
<td>J T</td>
<td></td>
</tr>
<tr>
<td>48. Clorox Co.</td>
<td>B Dividend</td>
<td>L T</td>
<td></td>
</tr>
<tr>
<td>49. Conoco Phillips</td>
<td>A Dividend</td>
<td>J T</td>
<td></td>
</tr>
<tr>
<td>50. Exxon Mobil Corp.</td>
<td>B Dividend</td>
<td>J T</td>
<td></td>
</tr>
<tr>
<td>51. General Mills, Inc.</td>
<td>A Dividend</td>
<td>K T</td>
<td></td>
</tr>
</tbody>
</table>

**Income Code Codes:**

- A = $1,000 or less
- B = $1,001 - $2,500
- C = $2,501 - $5,000
- D = $5,001 - $15,000
- E = $15,001 - $50,000
- F = $50,001 - $100,000
- G = $100,001 - $1,000,000
- H = $1,000,001 - $5,000,000
- I = $5,000,001 - $10,000,000
- J = $10,000,001 - $50,000,000
- K = $50,000,001 - $100,000,000
- L = $100,000,001 - $1,000,000,000
- M = $1,000,000,001 - $5,000,000,000
- N = $5,000,000,001 - $10,000,000,000
- O = More than $10,000,000,000

**Value Code:**

- Q = Appraised
- R = Cost (Real Estate Only)
- S = Amortized
- T = Cash Market
- U = Book Value
- V = Other
- W = Estimated

**Value Method Code:**

- (A) (H) = Value
- (J) (P) = Cost
- (Q) (W) = Amortized

**Gain Code:**

- (1) = Accrued
- (2) = Realized
**VII. INVESTMENTS and TRUSTS** — income, value, transactions (includes those of spouse and dependent children; see pp. 34-40 of filing instructions.)

NO (No reportable income, assets, or transactions.)

<table>
<thead>
<tr>
<th>Description of Assets</th>
<th>Income during reporting period</th>
<th>Gross value at end of reporting period</th>
<th>Transactions during reporting period</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>(1) Amount Code 1 (A-I)</td>
<td>(2) Type (e.g., div., rent, or int.)</td>
<td>(3) Value Code 2 (J-P)</td>
</tr>
<tr>
<td><strong>52. PepsiCo, Inc.</strong></td>
<td>C Dividend</td>
<td>M T</td>
<td></td>
</tr>
<tr>
<td><strong>53. Procter &amp; Gamble Co.</strong></td>
<td>E Dividend</td>
<td>N T</td>
<td></td>
</tr>
<tr>
<td><strong>54. Southern Co.</strong></td>
<td>C Dividend</td>
<td>L T</td>
<td></td>
</tr>
<tr>
<td><strong>55. Sunoco, Inc.</strong></td>
<td>A Dividend</td>
<td>K T</td>
<td></td>
</tr>
<tr>
<td><strong>56. Wisconsin Energy Corp.</strong></td>
<td>B Dividend</td>
<td>L T</td>
<td></td>
</tr>
<tr>
<td><strong>57. Yum Brands, Inc.</strong></td>
<td>B Dividend</td>
<td>L T</td>
<td></td>
</tr>
<tr>
<td><strong>58. U.S. Savings Bonds (H)</strong></td>
<td>B Interest</td>
<td>K T</td>
<td></td>
</tr>
<tr>
<td><strong>59. Michigan Education Savings Program #7</strong></td>
<td>C Interest</td>
<td>M T</td>
<td></td>
</tr>
<tr>
<td><strong>60. Michigan Education Savings Program #8</strong></td>
<td>C Interest</td>
<td>M T</td>
<td></td>
</tr>
<tr>
<td><strong>61. Michigan Education Savings Program #9</strong></td>
<td>C Interest</td>
<td>M T</td>
<td></td>
</tr>
<tr>
<td><strong>62. Kentucky State Turnpike Authority Bond</strong></td>
<td>B Interest</td>
<td></td>
<td>Redeemed 7/1/09</td>
</tr>
<tr>
<td><strong>63. Kentucky State Property &amp; Bldgs. Communication Revenue Bond</strong></td>
<td>B Interest</td>
<td></td>
<td>Sold 7/30/09</td>
</tr>
<tr>
<td><strong>64. Clay County, KY Justice Center Bond</strong></td>
<td>A Interest</td>
<td>K T</td>
<td></td>
</tr>
<tr>
<td><strong>65. Kentucky State Property &amp; Bldgs Revenue Bond</strong></td>
<td>A Interest</td>
<td>L T</td>
<td></td>
</tr>
<tr>
<td><strong>66. E-Trade Account</strong></td>
<td>A Interest</td>
<td>J T</td>
<td></td>
</tr>
<tr>
<td><strong>67. Ky. State Turnpike Bond 3.6%</strong></td>
<td>A Interest</td>
<td>J T</td>
<td></td>
</tr>
<tr>
<td><strong>68. Automatic Data Processing, Inc.</strong></td>
<td>B Dividend</td>
<td>K T</td>
<td></td>
</tr>
</tbody>
</table>

**1. Income Gain Codes** (See Columns B1 and D4)
A = $1,000 or less
B = $1,001 - $2,500
C = $2,501 - $5,000
D = $5,001 - $15,000
E = $15,001 - $50,000

**2. Value Codes** (See Columns C1 and D3)
J = $15,000 or less
K = $15,001 - $25,000
L = $25,001 - $50,000
M = $50,001 - $100,000
N = $100,001 - $500,000
O = $500,001 - $1,000,000
P1 = $1,000,001 - $2,000,000
P2 = $2,000,001 - $5,000,000
P3 = $5,000,001 - $10,000,000
P4 = More Than $10,000,000

**3. Value Method Codes** (See Column C2)
Q = Appraisal
R = Cost (Real Estate Only)
S = Assessed
U = Book Value
V = Other
W = Estimated

**4. Gain Method Codes** (See Column E4)
H1 = $1,000,001 - $2,000,000
H2 = More than $2,000,000
J1 = $100,001 - $200,000
J2 = $200,001 - $500,000
J3 = $500,001 - $1,000,000
J4 = More than $1,000,000

**5. Date Code**
m = Month
G = December
D = December

### VII. INVESTMENTS and TRUSTS — Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-40 of filing instructions.)

<table>
<thead>
<tr>
<th>A. Description of Assets (including trust assets)</th>
<th>B. Income during reporting period</th>
<th>C. Gross value at end of reporting period</th>
<th>D. Transactions during reporting period</th>
</tr>
</thead>
<tbody>
<tr>
<td>69. Johnson &amp; Johnson</td>
<td>A Dividend K T</td>
<td></td>
<td></td>
</tr>
<tr>
<td>70. T-Rowe Price Equity Index 500</td>
<td>A Dividend K T</td>
<td></td>
<td></td>
</tr>
<tr>
<td>71. Templeton Foreign Fund CL A</td>
<td>A Interest J T</td>
<td></td>
<td></td>
</tr>
<tr>
<td>72. American Funds Growth Fund of America</td>
<td>A Dividend K T</td>
<td></td>
<td></td>
</tr>
<tr>
<td>74. Jessamine Co., KY School 2/1/11 3.125%</td>
<td>A Interest K T</td>
<td></td>
<td></td>
</tr>
<tr>
<td>75. Ameriprise Fin. Inc.</td>
<td>A Dividend Sold 6/3/09 J A</td>
<td></td>
<td></td>
</tr>
<tr>
<td>76. Peabody Energy Group</td>
<td>A Interest K T</td>
<td></td>
<td></td>
</tr>
<tr>
<td>77. KY Interlocal School (due 3/1/11)</td>
<td>A Interest K T</td>
<td></td>
<td></td>
</tr>
<tr>
<td>78. American High Income Trust</td>
<td>A Interest Sold 8/13/09 J A</td>
<td></td>
<td></td>
</tr>
<tr>
<td>80. Middlesboro, KY School Bldg. Rev.</td>
<td>A Interest K T</td>
<td></td>
<td></td>
</tr>
<tr>
<td>81. Hart Co., KY Public Property</td>
<td>A Interest K T</td>
<td></td>
<td></td>
</tr>
<tr>
<td>82. Bowling Green, KY Independent (due 5/1/11)</td>
<td>A Interest K T</td>
<td></td>
<td></td>
</tr>
<tr>
<td>83. Broadridge Financial Solutions</td>
<td>A Dividend Sold 6/3/09 J A</td>
<td></td>
<td></td>
</tr>
<tr>
<td>84. Covidien Ltd.</td>
<td>A Dividend Sold 6/3/09 J A</td>
<td></td>
<td></td>
</tr>
<tr>
<td>85. Western Union Co.</td>
<td>A Dividend K T</td>
<td></td>
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</tr>
</tbody>
</table>
VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 54-58 of filing instructions.)

<table>
<thead>
<tr>
<th>A. Description of Assets (including trust assets)</th>
<th>B. Income during reporting period</th>
<th>C. Gross value at end of reporting period</th>
<th>D. Transactions during reporting period</th>
</tr>
</thead>
<tbody>
<tr>
<td>Placed &quot;(X)&quot; after each asset exempt from prior disclosure</td>
<td>(1) Amount Code 1 (A-H)</td>
<td>(2) Type (e.g., div., rent, or int.)</td>
<td>(2) Value Code 2 (J-P)</td>
</tr>
</tbody>
</table>

86. Patriot Coal Co.  
A Dividend  
Sold  
6/3/09  
J  
A

87. Teradata Corp.  
A Dividend  
Sold  
6/3/09  
J  
C

88. Spectra Energy  
A Dividend  
J  
T

89. Jefferson, Ky SD Fin. Crp.  
A Interest  
K  
T

90. Kentucky St. Prop & Bldgs (due 8/1/25)  
A Interest  
L  
T

91. Highlands Ranch Met. Dist. (due 12/1/15)  
A Interest  
M  
T

92. Ky. State Turnpike Authority (due 7/1/16)  
A Interest  
L  
T

93. New York, NY (due 8/1/16)  
A Interest  
L  
T

94. Hopkins Mn. Indpt. School (due 12/1/17)  
B Interest  
L  
T

95. Arizona Sch. Fds. Bd. (due 9/1/17)  
A Interest  
M  
T

96. California St. (due 8/1/18)  
A Interest  
M  
T

97. Oklahoma Dept. Trans. Rev. (due 9/1/08)  
A Interest  
M  
T

98. Puerto Rico Hsg. Fin. Auth. (due 12/1/18)  
A Interest  
L  
T

99. Bowling Green, KY Proj. (due 6/12/20)  
A Interest  
L  
T

100. Ivy Asset Strategy Fund Cl Y  
A Dividend  
Buy (addtl)  
5/8/09  
J

101.  
A Dividend  
Sold  
7/20/09  
K  
A

102. Blackrock Global Allocation Fund  
A Dividend  
K  
T  
Buy (addtl)  
1/22/09  
J

---

1. Income Gain Codes  
(See Column B and D)  
A = $1,000 or less  
B = $1,000 - $2,500  
C = $2,501 - $5,000  
D = $5,001 - $15,000  
E = $15,001 - $50,000

2. Value Codes  
(See Columns C1 and D1)  
J = $15,000 or less  
K = $15,001 - $50,000  
L = $50,001 - $100,000  
M = $100,001 - $250,000  
N = $250,001 - $500,000  
P = $500,001 - $1,000,000

3. Value Method Codes  
(See Column C2)  
Q = Appraisal  
R = Cost (Real Estate Only)  
S = Assessed  
T = Cash Market

U = Book Value  
V = Other  
W = Estimated

---

Bertelsman, William O.  
Date of Report  
05/11/2010
<table>
<thead>
<tr>
<th>A. Description of Assets (including trust assets)</th>
<th>B. Income during reporting period</th>
<th>C. Gross value at end of reporting period</th>
<th>D. Transactions during reporting period</th>
</tr>
</thead>
<tbody>
<tr>
<td>Place &quot;(O)&quot; after each asset exempt from prior disclosure</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>103.</td>
<td>A Dividend</td>
<td>J</td>
<td>T</td>
</tr>
<tr>
<td>104.</td>
<td>A Dividend</td>
<td>T</td>
<td>J</td>
</tr>
<tr>
<td>105.</td>
<td>iShares MSCI EAFE Index Fund</td>
<td>A Dividend</td>
<td>B</td>
</tr>
<tr>
<td>106.</td>
<td>A Dividend</td>
<td>T</td>
<td>J</td>
</tr>
<tr>
<td>107.</td>
<td>iShares Barclays 1-3 yr Treasury Index Fund</td>
<td>A Dividend</td>
<td>B</td>
</tr>
<tr>
<td>108.</td>
<td>A Dividend</td>
<td>T</td>
<td>J</td>
</tr>
<tr>
<td>110.</td>
<td>Sector SPDR Consumer STPL</td>
<td>A Dividend</td>
<td>B</td>
</tr>
<tr>
<td>111.</td>
<td>Alliance Bernstein Bal. Wealth Strategy</td>
<td>A Dividend</td>
<td>K</td>
</tr>
<tr>
<td>112.</td>
<td>Alliance Bernstein Intl Growth</td>
<td>A Dividend</td>
<td>K</td>
</tr>
<tr>
<td>113.</td>
<td>Loomis Sayles Growth Fund Cl Y</td>
<td>A Dividend</td>
<td>B</td>
</tr>
<tr>
<td>114.</td>
<td></td>
<td>T</td>
<td>J</td>
</tr>
<tr>
<td>115.</td>
<td>Loomis Sayles Value Fund Cl Y</td>
<td>A Dividend</td>
<td>T</td>
</tr>
<tr>
<td>116.</td>
<td>Loomis Sayles Global Mkts Fd Cl Y</td>
<td>A Dividend</td>
<td>T</td>
</tr>
<tr>
<td>117.</td>
<td>Loomis Sayles Strategic Inc Fd Cl Y</td>
<td>A Dividend</td>
<td>T</td>
</tr>
<tr>
<td>118.</td>
<td></td>
<td>T</td>
<td>J</td>
</tr>
<tr>
<td>119.</td>
<td>Blackrock Nat'l A Div Municipal Fund</td>
<td>A Dividend</td>
<td>T</td>
</tr>
</tbody>
</table>

1. Income Gain Code
   (See Column B and D)
   A = $1,000 or less
   B = $1,001 - $2,500
   C = $2,501 - $5,000
   D = $5,001 - $15,000
   E = $15,001 - $50,000

2. Value Code
   (See Column C and D)
   F = $50,001 - $100,000
   G = $100,001 - $150,000
   H = $150,001 - $200,000
   I = $200,001 - $250,000
   J = $250,001 - $500,000
   K = $500,001 - $1,000,000
   L = $1,000,001 - $2,500,000
   M = $2,500,001 - $5,000,000
   N = $5,000,001 - $10,000,000
   O = $10,000,001 - $25,000,000
   P = $25,000,001 - $50,000,000
   Q = $50,000,001 - $100,000,000

3. Value Method Code
   (See Column C)
   U = Book Value
   V = Appraisal
   W = Other

4. Gain Code
   (See Column D)
   A = Cash
   B = Long Term
   C = Short Term
   D = More than $5,000,000
   E = $1,000,001 - $5,000,000
   F = More than $1,000,000
   G = $500,001 - $1,000,000
   H = $100,001 - $500,000
   I = $50,001 - $100,000
   J = $10,001 - $50,000
   K = $1,001 - $10,000
   L = $101 - $1,000
   M = $10 - $100
   N = $1 - $10
   O = $1 - $1
   P = Cash
   Q = Appraisal
   R = Long Term
   S = Short Term
   T = Other
### VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-46 of filing instructions.)

<table>
<thead>
<tr>
<th>A. Description of Assets (including trust assets)</th>
<th>B. Income during reporting period</th>
<th>C. Gross value at end of reporting period</th>
<th>D. Transactions during reporting period</th>
</tr>
</thead>
<tbody>
<tr>
<td>Place &quot;XX&quot; after each asset exempt from prior disclosure</td>
<td>(i) Amount</td>
<td>(ii) Type (e.g., div., rent, or int.)</td>
<td>(iii) Value Code</td>
</tr>
<tr>
<td>120. Elements - Rogers Tr. A Div</td>
<td>A</td>
<td>Dividend</td>
<td>Sold</td>
</tr>
<tr>
<td>121. iShares MSCI Emerging Mkts Fd</td>
<td>A</td>
<td>Dividend</td>
<td>Buy (add'l)</td>
</tr>
<tr>
<td>122. Vanguard Small Cap</td>
<td>A</td>
<td>Dividend</td>
<td>Sold</td>
</tr>
<tr>
<td>123. iShares FTSE XinHua China</td>
<td>A</td>
<td>Dividend</td>
<td>Sold</td>
</tr>
<tr>
<td>124. PowerShares Global</td>
<td>A</td>
<td>Dividend</td>
<td>Sold</td>
</tr>
<tr>
<td>125. SPDR Ser Tr</td>
<td>A</td>
<td>Dividend</td>
<td>Sold</td>
</tr>
<tr>
<td>126. iShares IBOXX$ High Yield Corp Bd Fund</td>
<td>A</td>
<td>Dividend</td>
<td>Sold</td>
</tr>
<tr>
<td>127. IShares TR S and P Nat1 Mun Bd Fd</td>
<td>A</td>
<td>Dividend</td>
<td>Sold</td>
</tr>
<tr>
<td>128. PowerShares VRDO Tax Free</td>
<td>A</td>
<td>Dividend</td>
<td>Buy (add'l)</td>
</tr>
<tr>
<td>129. PowerShares Global Exch Traded Fund</td>
<td>A</td>
<td>Dividend</td>
<td>Sold</td>
</tr>
<tr>
<td>130. Sector SPDR Utilities</td>
<td>A</td>
<td>Dividend</td>
<td>Sold</td>
</tr>
<tr>
<td>131. SPDR Gold Trust</td>
<td>A</td>
<td>Dividend</td>
<td>Sold</td>
</tr>
<tr>
<td>132. Nuveen High Yield Muni Bond Fd C1A</td>
<td>A</td>
<td>Dividend</td>
<td>Sold</td>
</tr>
<tr>
<td>133.</td>
<td>A</td>
<td>Dividend</td>
<td>Sold</td>
</tr>
</tbody>
</table>

### Notes

1. Income Gain Codes
   - (See Columns B1 and D4)
   - (A) = $1,000 or less
   - (B) = $1,001 - $2,500
   - (C) = $2,501 - $5,000
   - (D) = $5,001 - $15,000
   - (E) = More than $15,000

2. Value Codes
   - (See Column C1 and D3)
   - (A) = $15,000 or less
   - (B) = $15,001 - $25,000
   - (C) = $25,001 - $50,000
   - (D) = $50,001 - $100,000
   - (E) = More than $100,000

3. Value Method Codes
   - (See Column C2)
   - (A) = Appraisal
   - (B) = Book Value
   - (C) = Cost (Real Estate Only)
   - (D) = Estimated
   - (E) = Cash Market

4. Identification of buyer/seller (if private transaction)
VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

<table>
<thead>
<tr>
<th>Description of Assets (including trust assets)</th>
<th>Income during reporting period</th>
<th>Gross value at end of reporting period</th>
<th>Transactions during reporting period</th>
</tr>
</thead>
<tbody>
<tr>
<td>Place &quot;(O)&quot; after each asset exempt from prior disclosure</td>
<td>(1) Amount Code 1 (A-H)</td>
<td>(2) Type (e.g., div., rent, or int.)</td>
<td>(1) Value Code 2 (J-P)</td>
</tr>
<tr>
<td>-----------------------------------------------</td>
<td>---------------------------------</td>
<td>--------------------------------------</td>
<td>-------------------------------------</td>
</tr>
<tr>
<td>137. JP Morgan Int'l Value Fund Cl A A</td>
<td>Dividend</td>
<td>Sold</td>
<td>7/20/09</td>
</tr>
<tr>
<td>138. Aberdeen Equity Long Short Fd Cl A A</td>
<td>Dividend</td>
<td>Sold</td>
<td>7/20/09</td>
</tr>
<tr>
<td>139. Janus Adviser Forty Fund Cl A A</td>
<td>Dividend</td>
<td>J</td>
<td>T</td>
</tr>
<tr>
<td>140. JP Morgan Highbridge Stat Mkt Neutral Fd A</td>
<td>Dividend</td>
<td>Buy (add'l)</td>
<td>3/23/09</td>
</tr>
<tr>
<td>141.</td>
<td>Sold</td>
<td>7/20/09</td>
<td>J</td>
</tr>
<tr>
<td>142. Touchstone Large Cap Growth Fund Cl A A</td>
<td>Dividend</td>
<td>Sold</td>
<td>7/6/09</td>
</tr>
<tr>
<td>143. Nuveen Rittenhouse Growth Fund A</td>
<td>Dividend</td>
<td>Sold</td>
<td>5/6/09</td>
</tr>
<tr>
<td>144. Alliance Bernstein Muni Inc Fund A</td>
<td>Dividend</td>
<td>Sold</td>
<td>7/20/09</td>
</tr>
<tr>
<td>145. Alliance Bernstein Global Bond Fund A</td>
<td>Dividend</td>
<td>Sold</td>
<td>7/20/09</td>
</tr>
<tr>
<td>146. Endowment Fund A</td>
<td>Dividend</td>
<td>M</td>
<td>T</td>
</tr>
<tr>
<td>147. Citizens Bank of No. Ky (CD) A</td>
<td>Interest</td>
<td>L</td>
<td>T</td>
</tr>
<tr>
<td>149. Citizens Bank of No. Ky (CD) A</td>
<td>Interest</td>
<td>K</td>
<td>T</td>
</tr>
<tr>
<td>150. Citizens Bank of No. Ky (CD) A</td>
<td>Interest</td>
<td>K</td>
<td>T</td>
</tr>
<tr>
<td>151. Merrill Lynch Money Fund (Muni) A</td>
<td>Interest</td>
<td>K</td>
<td>T</td>
</tr>
<tr>
<td>152. Merrill Lynch Bank (Regular) A</td>
<td>Interest</td>
<td>L</td>
<td>T</td>
</tr>
<tr>
<td>153. Merrill Lynch Bank (PIA) A</td>
<td>Interest</td>
<td>Closed</td>
<td>7/1/09</td>
</tr>
</tbody>
</table>

1. Income Gain Codes
(See Column B and C)
A = $1,000 or less
B = $1,001 - $5,000
C = $5,001 - $5,000
D = $5,001 - $10,000
E = $10,001 - $25,000
H2 = More than $1,000,000
M = $100,001 - $250,000
P2 = $25,000,001 - $50,000,000

2. Value Codes
(See Column C and D)
A = $15,000 or less
B = $15,001 - $50,000
C = $50,001 - $100,000
D = $100,001 - $250,000

3. Value Method Codes
(See Column D)
Q = Appraisal
R = Cost (Real Estate Only)
U = Book Value
V = Other
W = Estimated
X = Market
Y = Fair Market
Z = None
### VII. INVESTMENTS and TRUSTS

- **Income, value, transactions (includes those of spouse and dependent children; see pp. 34-48 of filing instructions.)**

- **NONE (No reportable income, assets, or transactions.)**

<table>
<thead>
<tr>
<th>A. Description of Assets (excluding trust assets)</th>
<th>B. Income during reporting period (amount code in brackets)</th>
<th>C. Gross value at end of reporting period (type code in brackets)</th>
<th>D. Transactions during reporting period (identification of buyer/seller)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Place &quot;(X)&quot; after each asset exempt from prior disclosure</td>
<td>(1) Amount Code 1 (A-H)</td>
<td>(2) Type (e.g., div, rent, or int.)</td>
<td>(1) Value Code 2 (J-P)</td>
</tr>
</tbody>
</table>

#### 154. IShares DJ US Telecom.
- **A** Dividend
- **B** Buy
- **C** 1/26/09
- **D** J

#### 155. Elements Rogers Agric. Tr
- **A** Dividend
- **B** Sold
- **C** 2/23/09
- **D** J A

#### 156. Powershares TR Dynamic
- **A** Dividend
- **B** Buy
- **C** 3/16/09
- **D** J

#### 157. SPDR SP China
- **A** Dividend
- **B** Sold
- **C** 7/20/09
- **D** J A

#### 158. Market Vectors ETF Tr
- **A** Dividend
- **B** Buy
- **C** 3/6/09
- **D** J

#### 159. Pro Shares Ultra QQQ
- **A** Dividend
- **B** Buy
- **C** 3/4/09
- **D** J

#### 160. IShares RS 2000 Value
- **A** Dividend
- **B** Buy
- **C** 1/22/09
- **D** J

---

### Income Gain Codes

- **A** $1,000 or less
- **B** $1,001 - $2,500
- **C** $2,501 - $5,000
- **D** $5,001 - $15,000
- **E** $15,001 - $50,000
- **F** $50,001 - $100,000
- **G** $100,001 - $1,000,000
- **H** $1,000,001 - $5,000,000
- **I** More than $5,000,000

### Value Codes

- **A** Appraisal
- **B** Assumed
- **C** Cash
- **D** Carryover
- **E** Cash Market
- **F** Cost
- **G** Fair Market Value
- **H** Fair Market Value
- **I** Full Fair Market Value
- **J** Full Market Value
- **K** Gain
- **L** Gross
- **M** Less Than Full Fair Market Value
- **N** Lower Fair Market Value
- **O** Market Value
- **P** Market Value
- **Q** Market Value
- **R** Market Value
- **S** Market Value
- **T** Market Value
- **U** Market Value
- **V** Market Value
- **W** Market Value
- **X** Market Value
- **Y** Market Value
- **Z** Market Value
VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of spouse and dependent children; see pp. 34-40 of filing instructions.)

<table>
<thead>
<tr>
<th>A. Description of Assets (including trust assets)</th>
<th>B. Income during reporting period</th>
<th>C. Gross value at end of reporting period</th>
<th>D. Transactions during reporting period</th>
</tr>
</thead>
<tbody>
<tr>
<td>Place &quot;OO&quot; after each asset exempt from prior disclosure</td>
<td>(1) Amount Code 1 (A-H)</td>
<td>(2) Type (e.g., div., rent, or int.)</td>
<td>(1) Value Code 2 (J-P)</td>
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<tr>
<td></td>
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</tr>
<tr>
<td>171.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>172. Janus Overseas Fd Cl A</td>
<td>A</td>
<td>Dividend</td>
<td>Buy</td>
</tr>
<tr>
<td>173.</td>
<td></td>
<td></td>
<td>Buy (add'l)</td>
</tr>
<tr>
<td>174.</td>
<td></td>
<td></td>
<td>Sold</td>
</tr>
<tr>
<td>175. Janus Flexible Bd Cl A</td>
<td>A</td>
<td>Dividend</td>
<td>Buy</td>
</tr>
<tr>
<td>176.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>177. DIYDN Sh Trm Co. Bd Z</td>
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<td>Dividend</td>
<td>Buy</td>
</tr>
<tr>
<td>178.</td>
<td></td>
<td></td>
<td>Sold</td>
</tr>
<tr>
<td>179. PRIN Preferred Secs A</td>
<td>A</td>
<td>Dividend</td>
<td>Buy</td>
</tr>
<tr>
<td>180.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>181. Alliance Bernstein High</td>
<td>A</td>
<td>Dividend</td>
<td>Buy</td>
</tr>
<tr>
<td>182.</td>
<td></td>
<td></td>
<td>Sold</td>
</tr>
<tr>
<td>183. AIM Int'l Growth</td>
<td>A</td>
<td>Dividend</td>
<td>Buy</td>
</tr>
<tr>
<td>184.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>185. WF Ultra S/T Mun. Inc. Inv.</td>
<td>A</td>
<td>Dividend</td>
<td>Buy</td>
</tr>
<tr>
<td>186.</td>
<td></td>
<td></td>
<td>Sold</td>
</tr>
<tr>
<td>187. SPDR SP Metals Mining</td>
<td>A</td>
<td>Dividend</td>
<td>Buy</td>
</tr>
</tbody>
</table>

1. Income Code:
   A = $1,000 or less
   B = $1,001 - $2,500
   C = $2,501 - $5,000
   D = $5,001 - $15,000
   E = $15,001 - $50,000

2. Value Code:
   J = $5,001 - $100,000
   K = $100,001 - $500,000
   L = $500,001 - $1,000,000
   H1 = $1,000,001 - $5,000,000
   H2 = More than $5,000,000
   N = $100,001 - $250,000
   P1 = $250,001 - $500,000
   P2 = $500,001 - $1,000,000
   P3 = $1,000,001 - $2,500,000
   P4 = More than $2,500,000

3. Value Method Code:
   Q = Appropriation
   R = Cost (Real Estate Only)
   S = Assessement
   V = Other
   U = Book Value
   W = Estimated
   T = Cash Market
VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of spouse and dependent children; see pp. 34-40 of filing instructions.)

<table>
<thead>
<tr>
<th>A. Description of Assets (including trust assets)</th>
<th>B. Income during reporting period</th>
<th>C. Gross value at end of reporting period</th>
<th>D. Transactions during reporting period</th>
</tr>
</thead>
<tbody>
<tr>
<td>Place &quot;(X)&quot;) after each asset exempt from prior disclosure</td>
<td>(1) Amount (A-H)</td>
<td>(2) Type (e.g., div., rent, or int.)</td>
<td>(1) Value Code 2 (I-P)</td>
</tr>
<tr>
<td>188.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>189. DB US Dollar Index Bullish Fund</td>
<td>A Dividend</td>
<td></td>
<td></td>
</tr>
<tr>
<td>190.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>191. IVY Global Natural</td>
<td>A Dividend</td>
<td></td>
<td></td>
</tr>
<tr>
<td>192. Janus Forty Fund Cl C</td>
<td>A Dividend</td>
<td></td>
<td></td>
</tr>
<tr>
<td>193. PIMCO Total Return Fd Cl C</td>
<td>A Dividend</td>
<td></td>
<td></td>
</tr>
<tr>
<td>194. Principal Investors Securities C</td>
<td>A Dividend</td>
<td></td>
<td></td>
</tr>
<tr>
<td>195. Lexington-Fayette Urban County Ky Ser A</td>
<td>A Interest</td>
<td></td>
<td></td>
</tr>
<tr>
<td>196. China Mobile Ltd Spon ADR</td>
<td>A Dividend</td>
<td></td>
<td></td>
</tr>
<tr>
<td>197. Coca Cola Co.</td>
<td>A Dividend</td>
<td></td>
<td></td>
</tr>
<tr>
<td>198. Exxon Mobile Corp.</td>
<td>A Dividend</td>
<td></td>
<td></td>
</tr>
<tr>
<td>199. Johnson &amp; Johnston</td>
<td>A Dividend</td>
<td></td>
<td></td>
</tr>
<tr>
<td>200. 3M Co.</td>
<td>A Dividend</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Legend:

1. Income Gain Codes:
   - A -$1,000 or less
   - B $1,000 - $2,000
   - C $2,001 - $5,000
   - D $5,001 - $15,000
   - E $15,001 - $30,000
   - F $30,001 - $60,000
   - G $60,001 - $100,000
   - H $100,001 - $200,000
   - I $200,001 - $500,000
   - J $500,001 - $1,000,000
   - K $1,000,001 - $5,000,000
   - L $5,000,001 - $10,000,000
   - M $10,000,001 - $20,000,000
   - N $20,000,001 - $50,000,000
   - O $50,000,001 - $100,000,000
   - P $100,000,001 - $200,000,000
   - Q $200,000,001 - $500,000,000
   - R $500,000,001 - $1,000,000,000
   - S $1,000,000,001 - $10,000,000,000
   - T $10,000,000,001 - $20,000,000,000
   - U $20,000,000,001 - $50,000,000,000
   - V $50,000,000,001 - $100,000,000,000
   - W $100,000,000,001 - $500,000,000,000
   - X $500,000,000,001 - $1,000,000,000,000
   - Y More than $1,000,000,000,000

2. Value Codes:
   - S - Cash
   - C - Cost (Cash Only)
   - R - Cost (Real Estate Only)
   - K - Equity/Book Value
   - L - Market
   - J - Adjusted
   - I - Inception
   - H - Historical
   - G - Historical
   - F - Fair
   - E - Estimated
   - D - Fair
   - B - Fair
   - A - Fair

3. Value Method Codes:
   - P - Income
   - O - Income
   - N - Income
   - M - Income
   - L - Income
   - K - Income
   - J - Income
   - I - Income
   - H - Income
   - G - Income
   - F - Income
   - E - Income
   - D - Income
   - C - Income
   - B - Income
   - A - Income

4. Gain Codes:
   - A - Other
   - B - Real
   - C - Real
   - D - Real
   - E - Real
   - F - Real
   - G - Real
   - H - Real
   - I - Real
   - J - Real
   - K - Real
   - L - Real
   - M - Real
   - N - Real
   - O - Real
   - P - Real
   - Q - Real
   - R - Real
   - S - Real
   - T - Real
   - U - Real
   - V - Real
   - W - Real
   - X - Real
   - Y - Real
   - Z - Real

5. Date of Filing:
   - 05/11/2010

6. Filing Instructions:
   - See pp. 34-40 of filing instructions.
VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report)*
IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544