AO 10 Rev. 1/2012	FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011	*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Briccetti, Vincent L.	U.S. District Court, Southern District of New York	05/13/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Disitrct Judge (Active)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final 5b. ☐ Amended Report	01/01/2011 to 12/31/2011
7. Chambers or Office Address		
United States Courthouse 300 Quarropas Street White Plains, NY 10601		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Partner	Briccetti, Calhoun & Lawrence, LLP
2.	President	Primeview Associates, Inc.
3.	Trustee	Trust #1
4.	Director/Treasurer	Federal Defenders of New York, Inc.
5.	Director	Fordham Law Alumni Association

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1. 4/21/2011	As a former partner of Briccetti, Calhoun & Lawrence, LLP, I will continue to receive my share of fees collected for work done prior my departure from the firm.
2.	
3.	

Name of Person Reporting	Date of Report
Briccetti, Vincent L.	05/13/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2011	Briccetti, Calhoun & Lawrence, LLP - Net income from partnership in prior law firm.	$107,845.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2011	Greenwich Anesthesiology Associates, P.C. - Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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Briccetti, Vincent L.	05/13/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.	John S. McGeeney	Ticket to U.S. Open tennis tournament	$425.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Dovenmuehle Mortgage Inc.	Mortgage partially on rental property	M
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Briccetti, Vincent L.	05/13/2012

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	Rental property #1, South Salem, NY (1993, $62,458)	A	Rent	L	R					
2.	Chase Bank, Various Accounts	A	Interest	L	T					
3.	Fidelity Growth Company Fund	A	Dividend	K	T					
4.	Fidelity NY Muni MM Fund	A	Dividend	J	T					
5.	NMIS General NY Muni MM Fund Class B	A	Dividend	L	T					
6.	Vanguard NY LT Tax-Exempt Fund Investor	A	Dividend	J	T					
7.	IRA #1	C	Dividend	M	T					
8.	- Vanguard Growth & Income Fund									
9.	- Vanguard 500 Index Fund									
10.	- Vanguard Windsor II Fund									
11.	- Vanguard Wellington Fund									
12.	IRA #2	C	Dividend	M	T					
13.	- Vanguard Growth & Income Fund									
14.	- Vanguard 500 Index Fund									
15.	- Vanguard Windsor II Fund									
16.	- Vanguard Wellington Fund									
17.	401(K) #1		None	N	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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Briccetti, Vincent L.	05/13/2012

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18.	- American Funds The Growth Fund of America									
19.	- Wellington Management Company Mid Cap Stock Fund									
20.	- Franklin Templeton Investments International Value Fund									
21.	- American Funds EuroPacific Growth Fund									
22.	- American Funds American Balanced Fund									
23.	- MFC Global Investment Mgmt. Small Cap Index Fund									
24.	- MFC Global Investment Mgmt. Mid Cap Index Fund									
25.	- PIMCO Total Return Fund									
26.	- PIMCO Real Return Fund									
27.	- Russell Investment Group Russell Balanced Fund									
28.	401(K) #2	B	Dividend	M	T					
29.	- Vanguard Wellington Fund									
30.	Northwestern Mutual Whole Life Policy	B	Dividend	L	T					
31.	Northwestern Variable Life Policy #1	A	Dividend	K	T					
32.	- Northwestern MM									
33.	- Northwestern Balanced									
34.	- Northwestern Index 500 Stock									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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35. - Northwestern Growth Stock									
36. - Northwestern Index 400 Stock									
37. - Northwestern Small Cap Growth Stock									
38. - Russell Global Real Estate Securitites									
39. Northwestern Variable Life Policy #2	B	Dividend	L	T					
40. - Northwestern MM									
41. - Northwestern Balanced									
42. - Northwestern Index 500 Stock									
43. - Northwestern Growth Stock									
44. - Northwestern Index 400 Stock									
45. - Northwestern Small Cap Growth Stock									
46. - Russell Global Real Estate Securities									
47. New York 529 College Savings Plan	A	Dividend			Closed	10/25/11	J		
48. - Interest Accumulation Portfolio									
49. - Aggressive Age-Based Option: Income Portfolio									
50. Trust #1	D	Int./Div.	M	T					
51. - DWS High Income Plus Fund									

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52. - DWS NY Tax Free Income Fund									
53. - Croton Harmon NY Union Free School Tax Ex Muni Bond									
54. - Monroe Cnty NY ARPT Auth Rev Tax Ex Muni Bond									
55. - NY Insured Municipal Income Trust Series No. 190									
56. - NY Insured Municipal Income Trust Series No. 160									
57. - NY Insured Municipal Income Trust Series No. 171									
58. - Edward Jones MM									
59. - MetLife Investors Fixed Annuity									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, lines 38 and 46: This asset was formerly known as Russell Real Estate Securities. The name was changed to Russell Global Real Estate Securities on 5/1/11.

Part VII, line 47: The remaining funds in this college savings plan were withdrawn to pay dependent [redacted] college tuition, and account was closed.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Vincent L. Briccetti

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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