

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2007**

*Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)*

<b>1. Person Reporting</b> (last name, first, middle initial)  Brody, Anita B	<b>2. Court or Organization</b>  United States District Court	<b>3. Date of Report</b>  07/24/2008
<b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)  U.S. District Judge	<b>5a. Report Type</b> (check appropriate type) <input type="checkbox"/> Nomination,                      Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b>  01/01/2007 to 12/31/2007
<b>7. Chambers or Office Address</b>  United States Courthouse Independence Mall West Philadelphia, PA 19106	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b>  Reviewing Officer _____ Date _____	

**IMPORTANT NOTES:** *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.*

**I. POSITIONS.** *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Member	Board of Visitors, Columbia Law School
2.	Trustee	[REDACTED] Trust
3.	Trustee	Under Agreement of Trust of [REDACTED]
4.		
5.		

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**II. AGREEMENTS.** *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

**A. Filer's Non-Investment Income**

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1. 2007	Commonwealth of Pennsylvania-pension	\$ 30,691
2.		
3.		
4.		

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2007	TIAA-CREFF Pension Distribution
2.	
3.	
4.	

**IV. REIMBURSEMENTS** *-- transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Brokerage Account #1									
2. - Capital Southwest-common stock	B	Dividend	N	T	Sell	3/26	M	G	
3. - Covanta Holding Corporation formerly Danielson Holding-cs		None	M	T					
4. - Dupont-common stock	B	Dividend	L	T					
5. - NABORS-common stock		None	L	T					
6. - National Asset Bank-common stock		None	J	W					
7. - Royal Palm-common stock		None	J	T					
8. - Source Capital-common stock	C	Dividend	K	T					
9. - Third Avenue Value Fund	E	Dividend	O	T					
10. - Third Avenue Small Capital Fund-mutual fund	E	Dividend	M	T	Buy	5/29	M		
11. - Third Avenue Real Estate Fund-mutual fund	E	Dividend	M	T	Buy	3/27	L		
12.					Buy	5/29	L		
13. - Third Avenue Intl Value FD	E	Dividend	N	T	Buy	3/27	L		
14. - Butler County PA - Bond	B	Interest	K	T					
15. Brokerage Account #2									
16. - Dean Witter Liquid Asset-mutual fund	A	Interest							
17. - Dow Chemical - Common stock	A	Dividend			Sell	3/26	K	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Identity of buyer/seller (if private transaction)
18. - DPL - common stock	A	Dividend			Sell	3/26	K	D	
19. - General Dynamics- common stock	A	Dividend			Sell	3/26	K	D	
20. - McDonalds- common stock		None			Sell	3/26	K	C	
21. - Pepsico- common stock	A	Dividend			Sell	3/26	J	C	
22. - Discover Bank - cash account	A	Interest							
23. - Littlestown - Municipal Bond		None			Sell	3/26	K	A	
24. - Lancaster PA Area Sch Distrist Bond		None			Sell	3/26	K		
25. - Fed Home Loan Bk Bond		None			Sell	3/26	K		
26. - Franklin RSD		None			Sell	3/26	K		
27. Bank Accounts									
28. Wachovia - bank accounts	A	Interest	K	T					
29. Dime Savings-bank account	A	Interest	J	T					
30. IRA #1	D	Dividend							
31. - Greenwood Trust-cd					Sell	5/3	J		
32. - Dean Witter Liquid Asset-mutual fund									
33. - Limited Duration Fund (was tcw/dw N Am Gov Tr)-(mutfund)					Sell	1/24	K		
34. - Federal Home Loan Mtg Corp Med Term Note					Sell	1/24	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. - Midfirst Bank Oklahoma City CD					Sell	5/3	L		
36. IRA #2	D	Dividend							
37. - Dean Witter Liquid Asset-mutual fund									
38. - 1st Signature Co					Sell	1/24	K		
39. - Washington Mutual Bank CD					Sell	5/24	L		
40. TIAA-CREF-retirement(s)			P1	T					
41. TIAA Retirement			L	T					
42. Manulife (formerly John Hancock Life)	A	Interest	K	T					
43. Japan Fund - mutual fund		None			Partial Sale	4/3	J		
44.					Partial Sale	5/3	J		
45.					Sell	8/10	J		
46. [REDACTED] Trust #1	F	Dividend	O	T					
47. - Reserve Fund Primary Portfolio									
48. - Third Avenue Small Cap Value Fund									
49. - Third Avenue Real Estate Value Fund									
50. - Third Avenue Intl Value Fund									
51. - Third Avenue Value Fund Inc									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. [REDACTED] Trust #2	D	Dividend	N	T					
53. - Vanguard Federal Money Market Fund									
54. - Emerging Markets Stock Index Investor Shares									
55. - Mid-Cap Index Fund Investor Shares									
56. - Total International Stock Index Fund									
57. Trust #3	F	Dividend	P1	T					
58. - Reserve Primary Fund Class									
59. - Capital Southwest Corp									
60. - Covanta Holding Corporation									
61. - Nabors Industries LTD New									
62. - Third Avenue Real Estate Value FD									
63. - Third Avenue Value Fund Inc					Partial Sale	12/4	L		
64. - Third Avenue International Value FD									
65. IRA #3	E	Dividend	O	T					
66. - Total International Stock Index Fund					Buy	2/2	L		
67.					Buy	6/1	K		
68. - Wellington Fund Admiral Shares									

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## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

- 1) Assets reported in Part VII, page 6, lines 40 and 41, for "TIAA-CREFF-retirement(s)" and "TIAA Retirement," are [REDACTED] retirement funds for which he has no control of its investments and is not provided with any information on the earnings from those accounts. He is only provided with an account value which is reported.
- 2) Assets reported in Part VII, page 5, under IRA #1, were sold and remaining cash was transferred to IRA#3 reported on page 7.
- 3) Assets reported in Part VII, page 6, under IRA #2, were sold and remaining cash was transferred to IRA #4 reported on page 8. Upon the death [REDACTED] assets reported in IRA #4, were transferred to IRA #3 on 11/27 and the account subsequently closed.
- 4) Assets reported in Part VII, page 4, under Brokerage Account #2, were sold. Any remaining cash was transferred into Trust #3 and account was closed.
- 5) Asset reported in Part VII, page 9, lines 90-94, of prior year report were completely sold. Line 94 should have been sell not partial sale.
- 6) Assets reported in Part VII, page 7, lines 58-64, under Trust #3, were started from partial transfers of assets from Brokerage Account #1.



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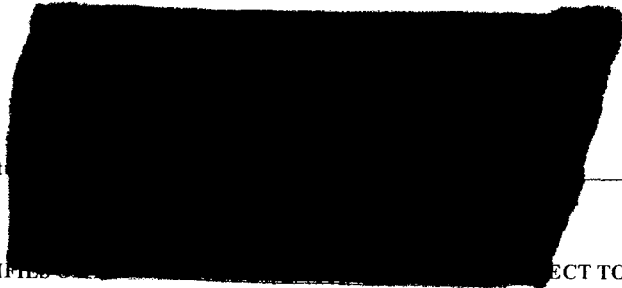
Name of Person Reporting	Date of Report
Brody, Anita B	07/24/2008

**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR CONCEALS INFORMATION SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544