AO 10 Rev. 1/2011	FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010	*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Brody, Anita B.	**2. Court or Organization** United States District Court	**3. Date of Report** 05/11/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	**5a. Report Type (check appropriate type)** ☐ Nomination, Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	**6. Reporting Period** 01/01/2010 **to** 12/31/2010
7. Chambers or Office Address United States Courthouse Independence Mall West Philadelphia, PA 19106	**8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.** **Reviewing Officer**__________ **Date**________	

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Member	Board of Visitors, Columbia Law School
2.	Trustee	[redacted] Trust
3.	Trustee	Under Agreement of Trust [redacted]
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Brody, Anita B.	05/11/2011

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2010	Commonwealth of Pennsylvania-pension	$30,691.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Brody, Anita B.	05/11/2011

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting	Date of Report
Brody, Anita B.	05/11/2011

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Brokerage Account #1									
2. - Covanta Holding Corporation formerly Danielson Holding-cs		None			Sold	03/22/10	L	E	
3. - Dupont-common stock	B	Dividend	L	T					
4. - NABORS-common stock		None			Sold	03/22/10	K	B	
5. - National Asset Bank-common stock		None	J	W					
6. - Royal Palm-common stock		None	J	T					
7. - Source Capital-common stock	B	Dividend	K	T					
8. - Third Avenue Value Fund	D	Dividend	O	T	Sold (part)	03/22/10	M	E	
9. - Third Avenue Small Capital Fund-mutual fund	B	Dividend	M	T	Sold (part)	03/22/10	L		
10. - Third Avenue Real Estate Fund-mutual fund	C	Dividend	L	T	Sold (part)	03/22/10	L		
11. - Third Avenue Intl Value FD	C	Dividend	M	T					
12. - Butler County PA - Bond	B	Interest	K	T					
13. - JP Morgan TR II Money Market Fund		None	J	T					
14. Bank Accounts									
15. Wachovia - bank accounts	A	Interest	K	T					
16. Dime Savings-bank account	A	Interest	J	T					
17. TIAA Retirement			L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Brody, Anita B.	05/11/2011

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18. Manulife (formerly John Hancock Life)		None	K	T					
19. [redacted] Trust #1	D	Dividend	O	T					
20. - Reserve Fund Primary Portfolio					Closed	01/21/10			
21. - Third Avenue Small Cap Value Fund									
22. - Third Avenue Real Estate Value Fund									
23. - Third Avenue Intl Value Fund									
24. - Third Avenue Value Fund Inc									
25. - JP Morgan TR II Money Market Fund									
26. [redacted] Trust #2	C	Dividend	M	T					
27. - Vanguard Federal Money Market Fund					Buy (add'l)	05/14/10	J		
28. - Vanguard Emerging Markets Stock Index Investor Shares					Merged (with line 31)	10/28/10	L		
29. - Vanguard Mid-Cap Index Fund Investor Shares					Merged (with line 32)	10/28/10	L		
30. - Vanguard Total International Stock Index Fund									
31. - Vanguard Emerging Markets Stock Index Admiral Shares					Buy	10/28/10	L		
32. - Vanguard Mid-Cap Index Fund Admiral Shares					Buy	10/28/10	L		
33. IRA #3	E	Dividend	P1	T					
34. - Vanguard Total International Stock Index Fund					Merged (with line 46)	03/17/10	M		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Brody, Anita B.	05/11/2011

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. - Vanguard Wellington Fund Admiral Shares					Distributed (part)	01/04/10	J		
36.					Merged (with line 46)	03/17/10	N		
37. - Vanguard Equity Income Fund Admiral Shares					Merged (with line 45)	03/17/10	N		
38. - Vanguard Asset Allocation Fund Admiral Shares					Distributed (part)	01/04/10	J		
39.					Merged (with line 45)	03/17/10	N		
40. - Vanguard Mid-Cap Index fund Admiral Shares					Distributed (part)	01/04/10	J		
41.					Merged (with line 45)	03/17/10	M		
42.					Merged (with line 46)	03/17/10	M		
43. - Vanguard Prime Money Market Fund					Distributed (part)	03/09/10	K		
44.					Merged (with line 46)	03/17/10	M		
45. - Vanguard Total Bond Market Index Fund Admiral Shares					Buy	03/17/10	O		
46. - Vanguard Total Stock Market Index Fund Admiral Shares					Buy	03/17/10	O		
47. Education Account #1		None	L	T					
48. - NH Portfolio 2018 (Index)					Buy	04/01/10	K		
49. - NH Portfolio 2018					Buy	04/01/10	K		
50. Education Account #2		None	L	T					
51. - NH College Portfolio (Index)					Buy	04/01/10	K		

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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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Brody, Anita B.	05/11/2011

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52. - NH College Portfolio					Buy	04/01/10	K		
53. Education Account #3		None	L	T					
54. - NH College Portfolio (Index)					Buy	04/01/10	K		
55. - NH College Portfolio					Buy	04/01/10	K		
56. Education Account #4		None	L	T					
57. - NH Portfolio 2012 (Index)					Buy	04/01/10	K		
58. - NH Portfolio 2012					Buy	04/01/10	K		
59. Education Account #5		None	L	T					
60. - NH Portfolio 2015 (Index)					Buy	04/01/10	K		
61. - NH Portfolio 2015					Buy	04/01/10	K		
62. Education Account #6		None	L	T					
63. - NH Portfolio 2024 (Index)					Buy	04/01/10	K		
64. - NH Portfolio 2024					Buy	04/01/10	K		
65. Education Account #7		None	L	T					
66. - NH Portfolio 2024 (Index)					Buy	04/01/10	K		
67. - NH Portfolio 2024					Buy	04/01/10	K		
68. Education Account #8		None	L	T					

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A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. - NH Portfolio 2021 (Index)					Buy	04/01/10	K		
70. - NH Portfolio 2021					Buy	04/01/10	K		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1) Asset reported in Part VII, page 4, line 17, for "TIAA Retirement," is retirement funds for which I have no control of its investments and am not provided with any information on the earnings from this account. I am only provided with an account value which is reported in Part VII,

2) Asset listed in Part VII, page 5, line 28 was converted to admiral shares and is reported on line 31.

3) Asset listed in Part VII, page 5, line 29 was converted to admiral shares and is reported on line 32.

4) Asset listed in Part VII, page 5, line 34 was partially exchanged for asset reported on line 46.

5) Asset listed in Part VII, page 6, line 25 was exchanged for asset reported on line 46.

6) Asset listed in Part VII, page 6, line 37 was exchanged for asset reported on line 45.

7) Asset listed in Part VII, page 6, line 38 was exchanged for asset reported on line 45

8) Asset listed in Part VII, page 6, line 40 was partially exchanged for asset reported on line 45 and partially exchanged for asset reported on line 46.

9) Asset listed in Part VII, page 6, line 43 was exchanged for asset reported on line 46.

Name of Person Reporting	Date of Report
Brody, Anita B.	05/11/2011

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Anita B. Brody

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544