AO 10
Rev. 1/2012

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2011

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Brody, Anita B.	United States District Court	09/18/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	**5a. Report Type** (check appropriate type) ☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	**6. Reporting Period** 01/01/2011 to 12/31/2011

7. Chambers or Office Address

United States Courthouse
Independence Mall West
Philadelphia, PA 19106

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Member	Board of Visitors, Columbia Law School
2.	Trustee	[redacted] Trust
3.	Trustee	Under Agreement of Trust [redacted]
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Brody, Anita B.	09/18/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2011	Commonwealth of Pennsylvania-pension	$30,691.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Brody, Anita B.	09/18/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Brody, Anita B.	09/18/2012

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	Brokerage Account #1									
2.	- Dupont-common stock	B	Dividend	L	T					
3.	- National Asset Bank-common stock		None	J	W					
4.	- Royal Palm-common stock		None	J	T					
5.	- Source Capital-common stock	B	Dividend	K	T					
6.	- Third Avenue Value Fund	D	Dividend	O	T					
7.	- Third Avenue Small Capital Fund-mutual fund	C	Dividend	M	T					
8.	- Third Avenue Real Estate Fund-mutual fund	C	Dividend	L	T					
9.	- Third Avenue Intl Value FD	C	Dividend	M	T					
10.	- Butler County PA - Bond	B	Interest	K	T					
11.	- JP Morgan TR II Money Market Fund		None	J	T					
12.	Bank Accounts									
13.	Wachovia - bank accounts	A	Interest	K	T					
14.	Dime Savings-bank account	A	Interest	J	T					
15.	TIAA Retirement	A	Interest	L	T					
16.	Manulife (formerly John Hancock Life)		None	K	T					
17.	[redacted] Trust #1	D	Dividend	O	T					

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Brody, Anita B.	09/18/2012

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. - Third Avenue Small Cap Value Fund									
19. - Third Avenue Real Estate Value Fund									
20. - Third Avenue Intl Value Fund									
21. - Third Avenue Value Fund Inc									
22. - JP Morgan TR II Money Market Fund									
23. Trust #2	C	Dividend	M	T					
24. - Vanguard Federal Money Market Fund									
25. - Vanguard Total International Stock Index Fund					Merged (with line 28)	03/08/11	L		
26. - Vanguard Emerging Markets Stock Index Admiral Shares									
27. - Vanguard Mid-Cap Index Fund Admiral Shares									
28. - Vanguard Total International Stock Index Fund Admiral Shar					Buy	03/08/11	L		
29. IRA #3	F	Dividend	P1	T					
30. - Vanguard Total International Stock Index Fund					Merged (with line 34)	01/06/11	M		
31.					Distributed	01/06/11	K		
32. - Vanguard Total Bond Market Index Fund Admiral Shares					Distributed (part)	05/27/11	K		
33. - Vanguard Total Stock Market Index Fund Admiral Shares									
34. - Wellington Fund Admiral Shares					Buy	01/06/11	M		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Brody, Anita B.	09/18/2012

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
35. Education Account #1		None	L	T					
36. - NH Portfolio 2018 (Index)					Buy (add'l)	12/23/11	J		
37. - NH Portfolio 2018					Buy (add'l)	12/23/11	J		
38. Education Account #2		None	L	T					
39. - NH College Portfolio (Index)					Buy (add'l)	12/23/11	J		
40.					Buy (add'l)	12/30/11	J		
41. - NH College Portfolio					Buy (add'l)	12/23/11	J		
42.					Buy (add'l)	12/30/11	J		
43. Education Account #3		None	L	T					
44. - NH College Portfolio (Index)					Buy (add'l)	12/23/11	J		
45.					Buy (add'l)	12/30/11	J		
46. - NH College Portfolio					Buy (add'l)	12/23/11	J		
47.					Buy (add'l)	12/30/11	J		
48. Education Account #4		None	L	T					
49. - NH Portfolio 2012 (Index)									
50. - NH Portfolio 2012									
51. Education Account #5		None	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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52. - NH Portfolio 2015 (Index)					Buy (add'l)	12/23/11	J		
53.					Buy (add'l)	12/30/11	J		
54. - NH Portfolio 2015					Buy (add'l)	12/23/11	J		
55.					Buy (add'l)	12/30/11	J		
56. Education Account #6		None	L	T					
57. - NH Portfolio 2024 (Index)									
58. - NH Portfolio 2024									
59. Education Account #7		None	L	T					
60. - NH Portfolio 2024 (Index)									
61. - NH Portfolio 2024									
62. Education Account #8		None	L	T					
63. - NH Portfolio 2021 (Index)									
64. - NH Portfolio 2021									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1) Asset reported in Part VII, page 4, line 15, for "TIAA Retirement," is retirement funds for which I have no control of its investments and am not provided with any information on the earnings from this account. I am only provided with an account value which is reported in Part VII,

2) Asset listed in Part VII, page 5, line 25 was converted to admiral shares and is reported on line 28.

3) Asset listed in Part VII, page 5, line 30 was exchanged to Wellington admiral shares and is reported on line 34..

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Anita B. Brody

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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