

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2012**

*Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)*

<b>1. Person Reporting (last name, first, middle initial)</b>  Collyer, Rosemary M.	<b>2. Court or Organization</b>  District Court - Washington DC	<b>3. Date of Report</b>  04/26/2013
<b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b>  U.S. Dist. Ct. Judge - Active	<b>5a. Report Type (check appropriate type)</b> <input type="checkbox"/> Nomination      Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b>  01/01/2012 to 12/31/2012
<b>7. Chambers or Office Address</b>  E Barrett Prettyman Courthouse 333 Constitution Avenue, NW Washington, DC 20001		
<b>IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</b>		

**I. POSITIONS.** *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Trust #1
2. Trustee	Trust #2
3. Trustee	Trust #3
4.	
5.	

**II. AGREEMENTS.** *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income** NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

**B. Spouse's Non-Investment Income** - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)* NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	[REDACTED] - Vice President
2.	
3.	
4.	

**IV. REIMBURSEMENTS** - *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)* NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. [REDACTED]	Throughout 2012	Within U.S.	Business travel	Reimbursement to spouse for business travel (airline, lodging & meals)
2. Denison University	Throughout 2012	To/from Granville Ohio	Alumni affairs	Reimbursement to spouse for alumni business travel (airline, lodging & meals)
3.				
4.				
5.				

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	_____	_____	_____
2.	_____	_____	_____
3.	_____	_____	_____
4.	_____	_____	_____
5.	_____	_____	_____

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	_____	_____	_____
2.	_____	_____	_____
3.	_____	_____	_____
4.	_____	_____	_____
5.	_____	_____	_____

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**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. BROKERAGE ACCOUNT #1 (H)									
2. -BRKA - Common Class A		None	N	T					
3. -GE - Common	A	Dividend			Sold	03/27/12	K		
4. - Balto MD Const Pub Imp Muni Bonds	A	Interest			Matured	10/15/12	J	A	
5. - Schwab Cash Equivalent Accounts	A	Int./Div.	L	T					
6. - DVY - ETF	B	Dividend	K	T					
7. - Anne Arundel County, MD Muni Bonds	B	Interest	K	T					
8. - Baltimore, MD Proj Muni Bonds	B	Interest	K	T					
9. - Maryland State Muni Bonds	B	Interest	L	T					
10. - T Rowe Price Maryland Tax Free Bond Fund (MDXBX)	D	Dividend	M	T					
11. - UTHR - Common		None			Sold	10/12/12	L	B	
12. - NAVB - Common (X)		None	K	T					See Part VIII (j)
13. - NEOP - Call Option Jan12 4.00					Expired	01/21/12	J	C	
14. - BK - Put Option Jan12 21.00					Expired	01/21/12	J	C	
15. - TSLA - Put Option Mar12 32.00					Expired	03/17/12	J	D	
16. - BK - Put Option					Sell Short	01/25/12	J		
17.					Closed	04/24/12	J	C	

1. Income Gain Codes: A=\$1,000 or less; B=\$1,001 - \$2,500; C=\$2,501 - \$5,000; D=\$5,001 - \$15,000; E=\$15,001 - \$50,000  
 (See Columns B1 and D4) F=\$50,001 - \$100,000; G=\$100,001 - \$1,000,000; H=\$1,000,001 - \$5,000,000; I1=\$5,000,001 - \$10,000,000; I2=More than \$5,000,000

2. Value Codes: J=\$15,000 or less; K=\$15,001 - \$50,000; L=\$50,001 - \$100,000; M=\$100,001 - \$250,000  
 (See Columns C1 and D3) N=\$250,001 - \$500,000; O=\$500,001 - \$1,000,000; P1=\$1,000,001 - \$5,000,000; P2=\$5,000,001 - \$25,000,000  
 P3=\$25,000,001 - \$50,000,000; P4=More than \$50,000,000

3. Value Method Codes: Q=Appraisal; R=Cost (Real Estate Only); S=Assessment; T=Cash Market  
 (See Column C2) U=Book Value; V=Other; W=Estimated

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**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	18. - TSLA - Put Option Jun12 30.00					Sell Short	01/31/12	J	
19.					Closed	06/15/12	J	C	
20. - TSLA - Put Option Sep12 34.00					Sell Short	04/13/12	J		
21.					Closed	09/17/12	J	D	
22. - NAVB - Put Option Jul12 3.00					Sell Short	05/29/12	J		
23.					Expired	07/21/12	J	C	
24. - TSLA - Put Option Jan13 40.00					Sell Short	06/21/12	J		
25. IRA #1	E	Int./Div.	O	T					
26. - Schwab Cash Equivalent Accounts									
27. - UST Inflation Index Notes 3.375% TIPS					Matured	01/15/12	M	E	
28. - UST Inflation Index Notes 3.625% TIPS									
29. - IWF - ETF									
30. - Marsico Growth Fund (MGRIX)									
31. - GOV - Common									
32. - MCD - Common					Sold	01/21/12	L	E	
33. - JNJ - Common									
34. - ATRS - Common (X)									See Part VIII (k )

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000 J=\$15,000 or less N=\$250,001 - \$500,000 P3=\$25,000,001 - \$50,000,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000 K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000 L=\$50,001 - \$100,000 P1=\$1,000,001 - \$5,000,000 P4=More than \$50,000,000	D=\$5,001 - \$15,000 H2=More than \$5,000,000 M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000	E=\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q=Appraisal U=Book Value	R=Cost (Real Estate Only) V=Other	S=Assessment W=Estimated	T=Cash Market	
3. Value Method Codes (See Column C2)					

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code I (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35.					Sold (part)	4/24/12	K	E	
36. - TGT - Common					Sold	10/12/12	K	D	
37. - NLY - Common									
38. - AGNC - Common									
39. - MCD - Call Option Jan12 95.00					Redeemed	01/21/12	J	B	
40. - AGNC - Put Option Jan12 27.00					Expired	01/21/12	J		
41. - AGNC - Call Option Jan12 29.00					Expired	01/21/12	J	B	
42. - NLY - Put Option Jan12 16.00					Expired	01/21/12	J		
43. - NLY - Call Option Jan12 17.50					Expired	01/21/12	J	A	
44. - PEP - Common					Buy	02/17/12	L		
45.					Sold	08/21/12	L	D	
46. - MCD - Common					Buy	03/09/12	L		
47. - Weitz Short-Intermediate Income Fund (WSHNX)					Buy	04/16/12	L		
48. - ATRS - Call Option Aug12 5.00					Sell Short	07/17/12	J		
49.					Expired	08/18/12	J	C	
50. - ATRS - Call Option Feb13 5.00					Sell Short	09/18/12	J		
51. - BP - ADR					Buy	10/10/12	L		

1. Income Gain Codes:

(See Columns B1 and D4)

A = \$1,000 or less

F = \$50,001 - \$100,000

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

I12 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes

(See Columns C1 and D3)

J = \$15,000 or less

N = \$250,001 - \$500,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes

(See Column C2)

Q = Appraisal

U = Book Value

R = Cost (Real Estate Only)

V = Other

S = Assessment

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**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	52. IRA #2	D	Int./Div.	N	T				
53. - Schwab Cash Equivalent Accounts									
54. - UST Inflation Index Notes 3.375% 1/15/12 TIPS					Matured	01/15/12	K	C	
55. - GNVC - Common									
56. - CGM Focus Fund (CGMFX)					Sold	11/16/12	L		
57. - DVM - Closed End Fund					Sold	10/10/12	L	E	
58. - BOE - Closed End Fund									
59. - BCRX - Common					Sold	11/15/12	J		
60. - NEM - Common					Buy	04/17/12	K		
61. - NAVB - Common					Buy	11/15/12	K		
62. - XLU - ETF					Buy	11/16/12	K		
63. QUALIFIED RETIREMENT PLAN #3	B	Int./Div.	L	T					
64. - Vanguard Variable Insurance Fund International Portfolio					Buy (add'l)	12/31/12	J		See Part VIII (e)
65. IRA #4	D	Int./Div.	N	T					
66. - Schwab Cash Equivalent Accounts									
67. - XOM - Common									
68. - RDS/A - ADR					Sold	04/11/12	L	B	

1. Income Gain Codes: A=\$1,000 or less B=\$1,001 - \$2,500 C=\$2,501 - \$5,000 D=\$5,001 - \$15,000 E=\$15,001 - \$50,000  
 (See Columns B1 and D4) F=\$50,001 - \$100,000 G=\$100,001 - \$1,000,000 H1=\$1,000,001 - \$5,000,000 H2=More than \$5,000,000

2. Value Codes J=\$15,000 or less K=\$15,001 - \$50,000 L=\$50,001 - \$100,000 M=\$100,001 - \$250,000  
 (See Columns C1 and D3) N=\$250,001 - \$500,000 O=\$500,001 - \$1,000,000 P1=\$1,000,001 - \$5,000,000 P2=\$5,000,001 - \$25,000,000  
 P3=\$25,000,001 - \$50,000,000 P4=More than \$50,000,000

3. Value Method Codes Q=Appraisal R=Cost (Real Estate Only) S=Assessment T=Cash Market  
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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	69. - FNFG - Common								
70. - SYY - Common					Sold	08/18/12	K	C	
71. - SYY - Call Option Mar12 31.00					Sell Short	02/02/12	J		
72.					Expired	03/17/12	J	A	
73. - SYY - Call Option Aug12 30.00					Sell Short	03/30/12	J		
74.					Redeemed	08/18/12	J	B	
75. - RDS/B - ADR					Buy	04/11/12	L		
76. - WLP - Common					Buy	07/27/12	L		
77. - WLP - Call Option Jan13 60.00					Sell Short	07/27/12	J		
78. - DUK - Common					Buy	11/13/12	L		
79. - SO - Common					Buy	11/13/12	L		
80. IRA #5	C	Int./Div.	N	T					
81. - ABT - Common					Sold	04/10/12	L	D	
82. - Schwab Cash Equivalent Accounts									
83. - ABT - Call Option May12 55.00					Redeemed	04/10/12	J	B	
84. - CET - Closed End Fund					Buy	04/24/12	L		
85. IRA #6									See Part VIII (f)

1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000  
 (See Columns B1 and D4) F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H1 = \$1,000,001 - \$5,000,000 I12 = More than \$5,000,000

2. Value Codes: J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000  
 (See Columns C1 and D3) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000  
 P3 = \$25,000,001 - \$50,000,000

3. Value Method Codes: Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash Market  
 (See Column C2) U = Book Value V = Other W = Estimated



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**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86. IRA #7									See Part VIII (f)
87. Merrill Lynch Cash Management Account	A	Int./Div.	L	T					See Part VIII (g)
88. Citibank Checking Account	A	Interest	K	T					
89. Trust #1 (H)									See Part VIII (h)
90. Trust #2 (H)									See Part VIII (i)
91. - Cash Equivalent Account(s)		None	O	T					
92. Trust #3 (H)									See Part VIII (i)
93. - Cash Equivalent Account(s)		None	O	T					
94.									
95.									
96.									
97.									
98.									

- 1. Income Gain Codes:      A = \$1,000 or less      B = \$1,001 - \$2,500      C = \$2,501 - \$5,000      D = \$5,001 - \$15,000      E = \$15,001 - \$50,000  
    F = \$50,001 - \$100,000      G = \$100,001 - \$1,000,000      H1 = \$1,000,001 - \$5,000,000      I12 = More than \$5,000,000
- 2. Value Codes              J = \$15,000 or less      K = \$15,001 - \$50,000      L = \$50,001 - \$100,000      M = \$100,001 - \$250,000  
    N = \$250,001 - \$500,000      O = \$500,001 - \$1,000,000      P1 = \$1,000,001 - \$5,000,000      P2 = \$5,000,001 - \$25,000,000  
    P3 = \$25,000,001 - \$50,000,000      P4 = More than \$50,000,000
- 3. Value Method Codes      Q = Appraisal      R = Cost (Real Estate Only)      S = Assessment      T = Cash Market  
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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

## Part I

(a) Line I refers to a Trust that is unfunded and has no assets (this Trust is also listed in Part VII, Line 89).

## PART VII:

(b) In the description of assets the abbreviation ADR refers to American Depositary Receipts (shares of foreign companies trading on U.S. exchanges); ETF refers to Exchange Traded Funds (index funds that trade as common stocks).

(c) Put options sold short and later redeemed (i.e. exercised by owner) are shown as having zero gain because the amount received for the short sale is subtracted from the basis for the underlying security that was purchased and is therefore reflected in the gain/loss incurred when that security is ultimately sold.

(d) Lines 5, 26, 53, 66 and 82 are aggregated Schwab cash equivalent accounts containing one or more of the following: Schwab Municipal Money Fund Value Advantage; Schwab Money Fund Value Advantage, Schwab One Cash Account, Charles Schwab Bank Deposit Accounts, and/or Schwab Cash Reserves. They are aggregated here per the 2012 Filing Instructions. These account balances change frequently as a result of securities purchases or sales, cash transfers, and dividends or interest received. The income received and ending values are shown as required in the non-retirement plan account (Line 5).

(e) Line 64: Purchases of this fund are made on a monthly basis. Individual purchases are below the minimum reporting requirement but are aggregated for the entire year with a date shown of 12/31/12.

(f) The accounts identified as IRAs #6 and #7 are Roth conversion IRAs with a zero balance in both accounts throughout 2012.

(g) Line 87 refers to an aggregated cash equivalent account at Merrill Lynch that pays both dividends (for Merrill Lynch CMA Money Fund) and interest (for Merrill Lynch Bank Deposit Program). This is not a brokerage account, and no individual stocks, bonds or other assets are held.

(h) Line 89 refers to a Trust that is unfunded and has no assets (this Trust is also listed in Part I, Line 1).

(i) Trusts #2 and #3 (lines 90 and 92) were established on 12/28/12 with cash assets only (both Trusts are also listed in Part I).

(j) Navidea Biopharmaceuticals (NAVVB) changed its name and stock symbol in 2012. In the 2011 FDR it was shown as Neoprobe Corporation (NEOP)

(k) Antares Pharmaceuticals (ATRS) changed its stock symbol in 2012. In the 2011 FDR it was shown under the symbol AIS.

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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Rosemary M. Collyer**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure Administrative Office of the United States Courts Suite 2-301 One Columbus Circle, N.E. Washington, D.C. 20544
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