AO 10
Rev. 1/2013

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2012

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Ellison, Keith P.	U.S.D.C. Southern of Texas	08/26/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U. S. District Judge - Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final 5b. ☐ Amended Report	01/01/2012 to 12/31/2012
7. Chambers or Office Address		
515 Rusk Street, Room 3716 Houston TX 77002		

***IMPORTANT NOTES:* The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.**

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Director	Harry A. Blackmun Scholarship Foundation
2.	Director	Executive Committee Order of Coif
3.	Secretary	Rhodes Scholarship Selection Committee - District VIII
4.	Director	Houston Achievement Place
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Ellison, Keith P.	08/26/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2012 Year	Fulbright & Jaworski, L.L.P. partnership profits
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Order of Coif	January 2012	Washington D.C.	Exec. Comm. Meeting	Transportation/Meals/Lodging
2.					
3.					
4.					
5.					

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Ellison, Keith P.	08/26/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Ellison, Keith P.	08/26/2013

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. 401(K) SAVINGS PLAN	E	Dividend	P1	T					
2. - Vanguard Prime Money Market Fund									
3. - Vanguard Total Market Index Fund									
4. - Vanguard International Stock Index Fund									
5. - Vanguard Total Stock Market Index Fund									
6. - Adams Express Co. Common Stock									
7. - American Opportunities Mutual Fund									
8. - Van Kampen Internet Mutual Fund									
9. IRA	A	Dividend	O	T					
10. - Dean Witter Dividend Growth Mutual Fund									
11. - Dean Witter Money Market									
12. - Van Kampen Internet Mutual Fund									
13. RETIREMENT SAVINGS PLAN	B	Dividend	M	T					
14. - Spartan U. S. Equity Income									
15. 401(K) PLAN - TAX DEFERRED	E	Dividend	O	T					
16. - Fidelity Contrafund									
17. - Fidelity Government Income Fund									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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18. WELLS FARGO BANK	A	Interest	J	T					
19. WELLS FARGO BANK	A	Interest	J	T					
20. AMEGY BANK OF TEXAS CHECKING ACCOUNT	A	Interest	N	T					
21. AMEGY BANK OF TEXAS SAVINGS ACCOUNT	A	Interest	M	T					
22. - Fidelity Municipal Money Market	E	Interest	O	T					
23. San Francisco Airport Improvement Bond-(X)	A	Interest	J	T					
24. Chevron Corp.-(X)	E	Dividend	O	T					
25. Adams Express Co. -(X)	B	Dividend	L	T					
26. Chevron Corporation-(X)	A	Dividend	K	T	Sold	12/6/12	M	D	
27. Fairpoint Communications, Inc.-(X)	A	Dividend	J	T					
28. Merck & Co.-(X)	A	Dividend	K	T					
29. Verizon-(X)	A	Dividend	L	T					
30. Spartan International-(X)	A	Dividend	K	T					
31. Legg Mason-(X)	A	Dividend	J	T					
32. GE Capital Interests-(X)	A	Interest	J	T					
33. Time Warner-(X)	A	Interest	L	T					
34. Lehman Bros-(X)	A	Interest	J	T					

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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
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35.	Fidelity Cash Reserves-(X)	A	Interest	M	T					
36.	Govt. Natl. Mortg. Assn-(X)	A	Interest	L	T					
37.	Vanguard Inherited IRA-(X)	B	Dividend	M	T	Sold	1/2/12	K	B	
38.	-Vanguard Blanced Index Fund Admiral									
39.	-Vanguard Windsor II Fund Admiral Shares									
40.	-Vanguard Total Stock Market Index Fund Admiral Shares									
41.	Fidelity IRA-(X)	E	Int./Div.	O	T					
42.	-Fidelity Cash Reserves									
43.	-Govt Natl Mtg Assn II Pool									
44.	-Govt Natl Mtg Assn Pool									
45.	-GE Capital Internotes									
46.	-Lehman Bros Hldgs Inc									
47.	-Leucadia Natl Corp Sr NT									
48.	-Time Warner Cos Inc DEB									
49.	-Adams Express Company									
50.	-Chevron Corp New									
51.	-Fidelity Inflation Protected Bond									

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52. -Fidelity Strategic Income									
53. -Spartan Intl Index Investor Class									
54. -Frontier -Communications Corp Com									
55. -Merck & Co Inc New Com									
56. -Legg Mason CBA Equity FD CL O									
57. -Verizon Communications									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Keith P. Ellison

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544