AO 10 Rev. 1/2013	**FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012**	*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial)	**2. Court or Organization**	**3. Date of Report**
Gorsuch, Neil M.	Tenth Circuit Court of Appeals	10/03/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge	**5a. Report Type (check appropriate type)** ☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	**6. Reporting Period** 1/1/2012 to 12/31/2012
7. Chambers or Office Address Byron White Courthouse 1823 Stout Street Denver, CO 80257		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Member	Walden Group LLC (mountain property)
2.	Executive Committee, Board of Directors	Federal Judges Association
3.	Adjunct Professor	University of Colorado Law School
4.	Member, Board of Directors	Boulder Country Day School
5.	Member	Colorado Chief Justice's Commission on the Legal Profession

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2012	University of Colorado Law School - teaching agreement for school year.
2.		
3.		

Name of Person Reporting	Date of Report
Gorsuch, Neil M.	10/03/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2012	Princeton University Press - book royalties	$147.00
2. 2012	University of Colorado Law School - teaching	$20,000.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Harvard Law School	4/3/23-4/5/12	Cambridge, MA	educational program	Transportation, meals, hotel
2.	Chaves County Bar Association	4/26/12-4/27/12	Roswell, NM	law day lecture	Transportation, meals, hotel
3.	Federalist Society	11/15/12-11/18/12	Washington, DC	law conference	Transportation, meals, hotel
4.					
5.					

Name of Person Reporting	Date of Report
Gorsuch, Neil M.	10/03/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.	Michael Trent	Hospitality	$1,175.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Gorsuch, Neil M.	10/03/2013

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	USAA Brokerage Acct:									
2.	- Money Market, Tax Exempt	A	Int./Div.	K	T					
3.	- Long Term Tax Ex Bond Fund	D	Int./Div.	M	T					
4.	- High Yield Bond Fund	E	Int./Div.	M	T					
5.	- Int'l Fund	C	Int./Div.	N	T					
6.	- S&P Fund	E	Int./Div.	N	T					
7.	- Value Fund	B	Int./Div.	M	T					
8.	- Interm Tax Ex Bond Fund	D	Int./Div.	N	T					
9.	- Small Cap Fund	C	Int./Div.	M	T					
10.	- Short Term Tax Ex Bond Fund	C	Int./Div.	M	T	Sold (part)	8/14/12	K	A	
11.	Walden Group LLC		None	N	W					
12.	529 Plans - VA Educ Savings Trust (Age Based)		None	N	T					
13.	529 Plans - College Invest Savings Plan (Age Based)		None	L	T					
14.	[redacted] 403B Plan - TIAA CREF	A	Int./Div.	J	T					
15.	Senate Credit Union checking	A	Int./Div.	J	T					
16.	USAA IRA Acct:									
17.	-Target Retirement 2030 Fund	D	Int./Div.	N	T	Buy	4/16/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
Gorsuch, Neil M.	10/03/2013

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18. [redacted] USAA IRA Acct:									
19. -Target Retirement 2030 Fund	B	Int./Div.	L	T	Buy	4/6/12	J		
20. USAA SEP IRA Acct:									
21. - Money Market	A	Int./Div.	J	T					
22. - Precious Metals & Minerals Fund	A	Int./Div.	J	T					
23. - Global Oppty Fund	A	Int./Div.	L	T	Sold (part)	10/12/12	J	A	
24. - Short Term Bond Fund	A	Int./Div.	J	T	Sold (part)	10/12/12	J	A	
25. -Income Fund	A	Int./Div.	J	T	Sold (part)	10/12/12	J	A	
26. - Intermediate Bond Fund	A	Int./Div.	J	T	Sold (part)	10/12/12	J	A	
27. USAA CD Acct:									
28. - CDs	C	Int./Div.	M	T	Matured (part)	9/24/12	M		
29. - Money Market	A	Int./Div.	M	T	Buy (add'l)	9/24/12	M		
30. USAA P.I. Mgmt Acct:									
31. - Money Market, Tax Ex Fund	A	Int./Div.	K	T					
32. - Adams & Weld Cts School Muni Bond 12/1/24	B	Interest	K	T					
33. - Adams 12 Muni Bond 12/15/14	B	Interest	K	T					
34. - Aurora Wtr Rev Muni Bond 8/1/22	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Gorsuch, Neil M.	10/03/2013

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. - Boulder Sch Dist 2 Muni Bond 12/1/15	A	Interest	K	T					
36. - Broomfield Sales and Use Tax 12/1/27	A	Interest	L	T	Buy	12/4/12	L		
37. - Colo Sch Mines Muni Bond 12/1/13	A	Interest	K	T					
38. - Colo St Brd Gov Unv Entr Sys Muni Bond 3/1/16	B	Interest	K	T					
39. - Colo Springs Col Util Muni Bond 11/15/20	B	Interest	K	T					
40. - Colo Springs Col Utl Muni Bond 11/15/26	B	Interest	K	T					
41. - Colo Wtr Res & Pwr Muni Bond 8/1/24	B	Interest	K	T					
42. - Denver Cnty Sch Muni Bond 12/1/12	B	Interest			Matured	12/3/12	K		
43. - Denver Exc Tax Rev Muni Bond 9/1/18	B	Interest	K	T					
44. - Eagle Garfield Routt Muni Bond 12/1/23	B	Interest	K	T					
45. - El Paso Sch Dist Muni Bond 12/1/12	B	Interest			Matured	12/3/12	K		
46. - Left Hand Wtr Muni Bond 5/15/13	B	Interest	K	T					
47. - Mesa College Muni Bond 5/15/23	B	Interest	K	T					
48. - Pueblo Cnty Sch Dist Muni Bond 12/15/21	B	Interest	K	T					
49. - S Migel & Montrose Muni Bond 12/1/17	B	Interest	K	T					
50. - Summit Cnty School Dist 12/1/21	B	Interest	K	T	Buy	1/06/12	J		
51. - Teller Sch Dist Muni Bond 12/1/20	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Gorsuch, Neil M.	10/03/2013

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. - Univ Colo Ent Sys Muni Bond 6/1/14	A	Interest	K	T					
53. -Univ Colo Ent Sys Muni Bond 6/1/25	B	Interest	K	T					
54. - Weld Sch Dist Muni Bond 12/1/19	B	Interest	K	T					
55. - Westminster Sls and Use Muni Bond 12/1/15	B	Interest	L	T					
56. - Short Term Tax Ex Bond Fund	A	Int./Div.	K	T	Buy (add'l)	03/13/12	J		
57.					Buy (add'l)	5/30/12	J		
58.					Buy (add'l)	6/6/12	J		
59.					Buy (add'l)	9/10/12	J		
60.					Buy (add'l)	11/21/12	J		
61.					Sold (part)	4/16/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Gorsuch, Neil M.	10/03/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Gorsuch, Neil M.	10/03/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Neil M. Gorsuch**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544