AO 10 Rev. 1/2006

### FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2005

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

| 1. Person Reporting (last name, first, middle initial)  | 2. Court or Organization  | 3. Date of Report  |
|---|---|--|
| Cardamone, Richard J  | U.S. Court of Appeals, 2d Cir.  | 05/11/2006   |
| 4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)  U.S. Senior Circuit Judge                        | 5a. Report Type (check appropriate type)  Nomination, Date Initial Annual Final  5b. Amended Report   | 6. Reporting Period 01/01/2005 to 12/31/2005   |
| 7. Chambers or Office Address  U.S. Courthouse 10 Broad Street Utica, New York 13501  IMPORTANT NOTES: The instruction checking the NONE box for each part when | 8. On the basis of the information contained in this Report modifications pertaining thereto, it is, in my opinion, in co with applicable laws and regulations.  Reviewing Officer  ons accompanying this form must be followed. Complete all pa e you have no reportable information. Sign on last page. | ompliance  Date  |
| I. POSITIONS. (Reporting individual only; see pp. 9-13 of X NONE (No reportable positions.)   | finstructions.)   |  |
| POSITION  | NAME OF ORGA  | ANIZATION/ENTITY   |
| 1.  |   |  |
| 2.  |   |  |
| 3.  |   | process and the second  |
| 4.  |   |  |
| 5.  |   | The second secon |
| II. AGREEMENTS. (Reporting individual only; see pp  |   | 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6  |
| NONE (No reportable agreements.)  |   |  |
| DATE  | PARTIES AND TERMS   |  |
| 1. Pension Benefit  | t from State of New York - Paid upon retirement from New York   | ork State System,  |
| 2.  |   |  |
| 3.  |   |  |

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Name of Person Reporting

Cardamone, Richard J

Date of Report

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| III. NON-INVESTMENT   | INCOME. (Reporting individual and spouse; see pp. 17-24 of instructions.)                            |                                 |
|---|--|---------------------------------|
| A. Filer's Non-Investment Inco  |  |                                 |
| NONE (No reportable no  | n-investment income.)  |                                 |
| <u>DATE</u>   | SOURCE AND TYPE  | INCOME<br>(yours, not spouse's) |
|   | New York State Employee's Retirement System - Pension (per W-2 from State of New York for year 2005) | \$ 22,981.68                    |
|   |  |                                 |
|   |  |                                 |
|   |  |                                 |
| i.  |  |                                 |
| 3. Spouse's Non-Investment Inc Dollar amount not required except for honoral  X NONE (No reportable non |  |                                 |
| DATE  | SOURCE AND TYPE  |                                 |
|   |  |                                 |
|   |  |                                 |
|   |  |                                 |
|   |  |                                 |
|   |  |                                 |
|   |  |                                 |
| V. REIMBURSEMENTS includes those to spouse and dependent childre  | — transportation, lodging, food, entertainment.<br>n. See pp. 25-27 of instructions.)                |                                 |
| NONE (No reportable rein  | nbursements.)  |                                 |
| SOURCE  | DESCRIPTION  |                                 |
|   | -  |                                 |
|   |  |                                 |
|   |  |                                 |
|   |  |                                 |
|   |  |                                 |

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|-------------------------------------|-------------------------------|--|----|------------------------------|
| V. GIFTS. (Includes those to spouse | and dependent children. See p | p. 28-31 of instructions.)                     |    |                              |
| X NONE (No reportable gi            |                               |  |    | •                            |
| SOURCE                              |                               | DESCRIPTION                                    |    | VALUE                        |
| 1.                                  | <b>_</b>                      |  |    |                              |
| 2.                                  |                               |  |    |                              |
| 3.                                  |                               |  |    |                              |
| 4                                   |                               |  |    |                              |
| e.                                  |                               |  |    |                              |
| VI. LIABILITIES. (Includes t        | hose of spouse and dependent  | children. See pp. 32-34 of instructions.)      |    |                              |
| NONE (No reportable lia             | bilities.)                    |  |    |                              |
| CREDITOR                            |                               | DESCRIPTION                                    | VA | LUE CODE                     |
| 1. Prudential Life Ins. Co.         | Insurance Loan                |  |    | K                            |
| 2.                                  |                               |  |    |                              |
| 3.                                  |                               |  |    |                              |
| 4.                                  |                               |  |    |                              |
| 5.                                  |                               |  |    |                              |

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

| NONE | No reportable inco    | me, assets, or transa | ctions.) |
|------|-----------------------|-----------------------|----------|
| .,   | (110 reportation inte | me, abbeib, or manba  |          |

| A. Description of Assets (including trust assets)            | B. Income during reporting period |                                     | C. Gross value at end of reporting period |   | D.  Transactions during reporting period      |                               |                                 |                       |   |
|--|-----------------------------------|-------------------------------------|---|---|---|-------------------------------|---------------------------------|-----------------------|---|
| Place "(X)" after each asset<br>exempt from prior disclosure | (1)<br>Amount<br>Code 1<br>(A-H)  | (2) Type (e.g. div., rent, or int.) | (1)<br>Value<br>Code 2<br>(J-P)           | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1) Type (e.g. buy, sell, merger, redemption) | (2)<br>Date<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 1. Deutsche Bank   | A                                 | Dividend                            | K   | Т   |   |                               |                                 |                       |   |
| 2. IBM   | A                                 | Dividend                            | J ,                                       | Т   | ]   |                               |                                 |                       |   |
| 3. IBM   | A                                 | Dividend                            | K   | Т   |   |                               |                                 |                       |   |
| 4. Baxter International                                      | A                                 | Dividend                            | J   | Т   |   |                               |                                 |                       |   |
| 5. Exxon Mobil Corp.   | В                                 | Dividend                            | L   | Т   |   |                               |                                 |                       |   |
| 6. HSBC Bank (CD)  | A                                 | Interest                            | L   | Т   |   |                               |                                 |                       |   |
| 7. AT&T (common)   | A                                 | Dividend                            | J   | ·T  |   |                               |                                 |                       |   |
| 8. Bell South  | A                                 | Dividend                            | J   | Т   |   |                               |                                 |                       |   |
| 9. Amgen   |                                   | None                                | L   | Т   |   |                               |                                 |                       |   |
| 10. Berkshire Hathaway Inc.                                  | A                                 | Dividend                            | K   | Т   |   |                               |                                 |                       |   |
| 11. Schlumberger Ltd.  | A                                 | Dividend                            | K   | Т   |   |                               |                                 |                       |   |
| 12. Intel Corp.  | A                                 | Dividend                            | К   | Т   |   |                               |                                 |                       |   |
| 13. Schering Plough Corp.                                    | D                                 | Dividend                            |   |   | Sold  | 6/8                           | К                               | D                     |   |
| 14. Royal Dutch Petroleum                                    | В                                 | Dividend                            | К   | Т   |   |                               |                                 |                       |   |
| 15. Ingersoll-Rand Co.                                       | A                                 | Dividend                            | K   | Т   |   |                               |                                 |                       |   |
| 6. National Fuel Gas Co. NJ                                  | A                                 | Dividend                            | K   | Т   |   |                               |                                 |                       |   |
| 17. SBC Communications Inc.                                  | A                                 | Dividend                            | J   | Т   |   |                               |                                 |                       |   |

1. Income Gain Codes: (See Columns B1 and D4) 2. Value Codes (See Columns Cl and D3)

(See Column C2)

F =\$50,001 - \$100,000 J=\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000 3. Value Method Codes

G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only)

B =\$1,001 - \$2,500

C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

D=\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000

E =\$15,001 - \$50,000

A =\$1,000 or less

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| Cardamone, Richard J     | 05/11/2006     |

VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

NONE (No reportable income, assets, or transactions.)

| A. Description of Assets (including trust assets)         | repo                             | B.<br>ome during<br>rting period    | Gross val                       | C.<br>ue at end of<br>ng period           |   | Transacti              | D.<br>Transactions during reporting period |                       |   |  |
|---|----------------------------------|-------------------------------------|---------------------------------|---|---|------------------------|--|-----------------------|---|--|
| Place "(X)" after each asset exempt from prior disclosure | (1)<br>Amount<br>Code 1<br>(A-H) | (2) Type (e.g. div., rent, or int.) | (1)<br>Value<br>Code 2<br>(J-P) | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1) Type (e.g. buy, sell, merger, redemption) | (2)<br>Date<br>Month - | (3)<br>Value<br>Code 2<br>(J-P)            | (4) Gain Code I (A-H) | (5) Identity of buyer/seller (if private transaction) |  |
| 18. Wachovia Corp.  | A                                | Dividend                            | К                               | Т   |   |                        |  |                       |   |  |
| 19. ImClone Systems Inc.                                  |                                  | None                                |                                 |   | sold  | 5/19                   | J  |                       |   |  |
| 20. American International Group                          | A                                | Dividend                            | K                               | Т   |   |                        |  |                       |   |  |
| 21. Galaxy Fund   |                                  | None                                | J                               | Т   |   |                        |  |                       |   |  |
| 22. Flextronics Int'l Ltd.                                | A                                | Dividend                            | К                               | Т   |   |                        |  |                       |   |  |
| 23. Fisher Scientific                                     |                                  | None                                | K                               | Т   |   |                        |  |                       |   |  |
| 24. FPL Group Inc. (common)                               | A                                | Dividend                            | J                               | Т   |   |                        |  |                       |   |  |
| 25. Danske Bank ADR                                       | A                                | Dividend                            | J                               | Т   |   |                        |  |                       |   |  |
| 26. Symantee Corp.  |                                  | None                                | J                               | Т   |   |                        |  |                       |   |  |
| 27. Chevron Corp.   | A                                | Dividend                            | K                               | T   |   |                        |  |                       |   |  |
| 28. Air Products & Chemicals Inc.                         | A                                | Dividend                            | K                               | T   |   |                        |  |                       |   |  |

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## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. (Indicate part of Report.)

- 1) Part VII, line 7 -- AT&T was incorrectly listed on the 2004 report as a complete sale. It should have been listed as a partial sale.
- 2) Part VII, line 27 -- Chevron Texaco Corp. is now Chevron Corp. (name change)

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Name of Person Reporting

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### IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature Date 3/11/61

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

### FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure Administrative Office of the United States Courts Suite 2-301 One Columbus Circle, N.E. Washington, D.C. 20544