

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

| | | |
|---|---|---|
| 1. Person Reporting (Last name, First name, Middle initial) Carr, James G | 2. Court or Organization N.D. Ohio, Western Division | 3. Date of Report 5/3/04 |
| 4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge active | 5. Report Type (check appropriate type) <input type="radio"/> Nomination, <input type="radio"/> Date <input type="radio"/> Initial <input checked="" type="radio"/> Annual <input type="radio"/> Final | 6. Reporting Period 1/1/03 to 12/31/03 |
| 7. Chambers or Office Address 203 U. S. Courthouse 1716 Spielbusch Avenue Toledo, Ohio 43624 | 8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____ | |

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

NONE - (No reportable positions.)

| <u>POSITION</u> | <u>NAME OF ORGANIZATION/ENTITY</u> |
|-------------------|------------------------------------|
| 1. Board Member | Pretrial Services Resource Center |
| 2. Past President | Toledo Bar Association |
| 3. Board Member | Kenyon College |
| 4. Trustee | Ohio State Bar Foundation |

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

NONE - (No reportable agreements.)

| <u>DATE</u> | <u>PARTIES AND TERMS</u> |
|-------------|--|
| 1. 2003 | West Publishing Co.(law book publishing co.) to prepare annual supplements for legal treatise, The Law of Electronic Surveillance. |

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 MAY 11 11 23 AM '04
 FINANCIAL DISCLOSURE OFFICE

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income** **NONE** - (No reportable non-investment income.)

| <u>DATE</u> | <u>SOURCE AND TYPE</u> | <u>GROSS INCOME</u> (yours, not spouse's) |
|-------------|------------------------|--|
| 1. | | |

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)) **NONE** - (No reportable non-investment income.)

| <u>DATE</u> | <u>SOURCE AND TYPE</u> |
|-------------|-------------------------------|
| 1. 2003 | University of Toledo |
| 2. 2003 | Consulting and Lecturing Fees |

IV. REIMBURSEMENTS - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

 NONE - (No such reportable reimbursements.)

| <u>SOURCE</u> | <u>DESCRIPTION</u> |
|-----------------------|---|
| 1. Yeshiva University | January 4 - 12, New York, New York, taught a class (transportation, lodging, meals) |

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.) **NONE** - (No such reportable gifts.)

| <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|---------------|--------------------|--------------|
| 1. | | |

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.) **NONE** - (No reportable liabilities.)

| <u>CREDITOR</u> | <u>DESCRIPTION</u> | <u>VALUE CODE</u> |
|-----------------------|---|-------------------|
| 1. National City Bank | equity loan on primary residence to purchase lake property in Arcadia, Michigan | M |

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VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period | | C. Gross value at end of reporting period | | D. Transactions during reporting period | | | | |
|---|---|---|---|---|---|--------------------------------|---------------------------------|--------------------------------|---|
| | (1) Amount Code 1 (A -H) | (2) Type (e.g. div. rent. or int.) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e.g. buy, sell, merger, redemption) | If not exempt from disclosure | | | |
| | | | | | | (2) Date: Month - Day | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| <input type="checkbox"/> NONE (No reportable income, assets, or transactions) | | | | | | | | | |
| 1. Brokerage Account 1 | | | | | | | | | |
| 2. Schwab Money Market Sweep | A | Dividend | K | T | transfer in | 2/14 | K | | |
| 3. DFA 5yr Global Bond Fund | C | Dividend | L | T | | | | | |
| 4. DFA Emerging Markets | A | Dividend | K | T | | | | | |
| 5. DFA Int'l Smallcap | A | Dividend | K | T | | | | | |
| 6. DFA Int'l Value Fund | A | Dividend | K | T | | | | | |
| 7. DFA Large Capital Int'l Portfolio | A | Dividend | K | T | | | | | |
| 8. DFA Real Estate Securities Fund | A | Dividend | K | T | | | | | |
| 9. DFA US Large Capital Fund | A | Dividend | K | T | | | | | |
| 10. DFA US Large Company Fund | A | Dividend | L | T | | | | | |
| 11. DFA US Smallcap | A | Dividend | K | T | | | | | |
| 12. DFA US Small Cap Value Fund | A | Dividend | K | T | | | | | |
| 13. | | | | | | | | | |
| 14. Brokerage Account 2 SEP | | | | | | | | | |
| 15. Schwab Money Market Sweep | A | Dividend | J | T | | | | | |
| 16. Schwab MKTTRK Growth | A | Dividend | K | T | bot | 2/3 | K | | |
| 17. | | | | | | | | | |
| 18. Brokerage Account 3 IRA | | | | | | | | | |

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000
 (See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000

2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000
 (See Columns C1 and D3) N = \$250,000-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000
 P3 = \$25,000,001-\$50,000,000 P4 = \$More than \$50,000,000

3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market
 (See Column C2) U = Book Value V = Other W = Estimated

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| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period | | C. Gross value at end of reporting period | | D. Transactions during reporting period | | | | |
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| | (1) Amount Code 1 (A-H) | (2) Type (e.g. div. rent. or int.) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e.g. buy, sell, merger, redemption) | If not exempt from disclosure | | | |
| | | | | | | (2) Date: Month - Day | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 19. Schwab MMF Sweep | A | Dividend | J | T | | | | | |
| 20. Schwab MKTTRK Growth | | | J | T | bot | 2/3 | J | | |
| 21. | | | | | | | | | |
| 22. Brokerage Account 4 | | | | | | | | | |
| 23. Schwab Money Market Sweep | A | Dividend | | | transfer out | 2/14 | K | | |
| 24. | | | | | | | | | |
| 25. Skudder Fund IRA | A | Dividend | J | T | | | | | |
| 26. Skudder Fund IRA | A | Dividend | J | T | | | | | |
| 27. Rental Property, Naples, Fla. (1997, \$75,000) | D | Rent | M | R | | | | | |

| | | | | | |
|--|---|--|---|--|-----------------------|
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

In 2002 amended report, dated 7/18/03, Part VIII., note no. 6, indicates income was expected in 2003. No income was received, so property was not listed in Part VII of this report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

May 3, 2004

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS**Mail signed original and 3 additional copies to:**

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544