

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2005

Report Required by the Ethics
in Government Act of 1978,
(5 U.S.C. app. §§101-111)

1. Person Reporting (Last name, first, middle initial) CARROLL, EARL H.		2. Court or Organization DISTRICT COURT - ARIZONA		3. Date of Report 5/8/06	
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) (SENIOR U.S. DISTRICT JUDGE STATUS)		5a. Report Type (check appropriate type) ___ Nomination, Date ___ ___ Initial <input checked="" type="checkbox"/> Annual ___ Final		6. Reporting Period 1/1/2005 to 12/31/2005	
7. Chambers or Office Address 401 W. WASHINGTON ST. STE. 521 SAC 48 PHOENIX, AZ. 85003-2151		5b. ___ Amended Report		8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of Instructions.)

POSITION

NAME OF ORGANIZATION/ENTITY

NONE (No reportable positions.)

1		
2		
3		

RECEIVED
2006 MAY 15 A 11:01
FINANCIAL
DISCLOSURE OFFICE

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of Instructions.)

DATE

PARTIES AND TERMS

NONE (No reportable agreements.)

1		
2		

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of Instructions.)

DATE

SOURCE AND TYPE

INCOME

A. Filer's Non-Investment Income

NONE (No reportable non-investment income.)

1			\$
2			\$
3			\$

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)

NONE (No reportable non-investment income.)

1		
2		

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

CARROLL, EARL H.

Date of Report

5/8/06

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of Instructions.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>
<input checked="" type="checkbox"/>	NONE (No such reportable reimbursements.)	
1		
2		
3		
4		
5		
6		
7		

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of Instructions.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
<input checked="" type="checkbox"/>	NONE (No such reportable gifts.)		
1			\$
2			\$
3			\$
4			\$

VI. LIABILITIES. *(Includes those of spouse and dependent children See pp. 32-33 of Instructions.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE*</u>
<input checked="" type="checkbox"/>	NONE (No reportable liabilities.)		
1			
2			
3			
4			
5			

*Value Codes: J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001-\$100,000 M=\$100,001-\$250,000
 N=\$250,001-\$500,000 O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P1=\$1,000,001-\$5,000,000
 P2=\$5,000,001-\$25,000,000 P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Date of Report

CARROLL, EARL H.

5/2/06

VII. Page 1 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-60 of Instructions.)

A Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amt. Code1 (A-H)	Type (e.g., div., rent or int.)	Value Code2 (J-P)	Value Method Code3 (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date: Month- Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
<input type="checkbox"/> NONE (No reportable income, assets, or transactions.)									
1 AETNA INC. NEW	A	D	K	+					
2 BANK OF AMERICA	A	I	K	+					
3 J.P. MORGAN CHASE BK	A	I	K	+					
4 COMPAS BANK	A	I	J	+					
5 AMERI PRIZE	A	D	L	+					(FORMERLY AMERICAN EXPRESS)
6 PUTNAM FUND	B	D	M	+					
7 ANHEUSER BUSCH COS.	D	D	N	+					
8 NAVISTAR	A	D	J	+					
9 CITIZEN'S UTILITIES	A	D	J	+					
10 ENERGY CORP.	A	D	J	+					
11 DEVON ENERGY CORP.	A	D	J	+					
12 EXXON MOBIL CORP.	A	D	K	+					
13 IBM	A	D	J	+					
14 MOTOROLA	A	D	L	+					
15 HONEYWELL INTL. INC	A	D	K	+					
16 J.P. MORGAN CHASE	A	D	J	+					
17 SALT RIVER PROJECT MUNICIPAL BONDS	A	I	J	+					

1	Income/Gain Codes: A=\$1,000 or less (See Col. B1, D4)	F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=More than \$5,000,000	E=\$15,001-\$50,000
2	Value Codes: J=\$15,000 or less (See Col. C1, D3)	N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000	K=\$15,001-\$50,000 O=\$500,001-\$1,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$5,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000	
3	Value Method Codes: Q=Appraisal (See Col. C2)	U=Book value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Date of Report

CARROLL, EARL H.

5/8/06

VII. Page 2 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-60 of Instructions.)

A Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amt. Code1 (A-H)	Type (e.g., div., rent or int.)	Value Code2 (J-P)	Value Method Code3 (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date: Month- Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
<input type="checkbox"/> NONE (No reportable income, assets, or transactions.)									
1 PHOENIX COUNTRY CLUB STOCK	NONE		K	+					
2 NATIONWIDE INS. ANNUITIES	NONE		L	+					
3 JACKSON NATIONAL ANNUITIES	NONE		M	+					
4 U.S. SAVINGS BONDS	NONE		L	+					
5 TAMARACK INVT. FDS	A	D	K	+					
6 AXA EQUITABLE IRAs	NONE		M	+					
7 MINERAL RIGHTS-PEARL RIVER CO.-MISSISSIPPI	NONE		(VALUE UNKNOWN)						
8 RESIDENCE-LAGANA BEACH, CA.	D	RENT	L	S					(SEE SEC. VIII.)
9 (THE FOLLOWING ARE HELD IN [REDACTED] IRA ACCOUNT)									
10 WYETH	B	D	L	+					
11 AT&T	A	D	J	+					
12 SBC COM INC.	A	D	J	+	EXCHANGE 11/21	J			FOR AT&T CORP.
13 VERIZON COMM.	A	D	J	+					
14 BELL SOUTH	A	D	J	+					
15 QWEST COMM.	A	D	J	+					
16 CARLISLE	B	D	M	+					
17 SPRINT CORP. FON GROUP	A	D	J	+	EXCHANGE 8/16	J			FOR SPRINT NEXTEL CORP.

1	Income/Gain Codes: A=\$1,000 or less (See Col. B1, D4) F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=More than \$5,000,000	E=\$15,001-\$50,000
2	Value Codes: J=\$15,000 or less (See Col. C1, D3) N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000	K=\$15,001-\$50,000 O=\$500,001-\$1,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$5,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000	
3	Value Method Codes: Q=Appraisal (See Col. C2) U=Book value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting: **CARROLL, EARL H.**
 Date of Report: **5/8/06**

3.
VII. Page 4 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-60 of Instructions.)

A Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amt. Code1 (A-H)	Type (e.g. div, rent or int)	Value Code2 (J-P)	Value Method Code3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month-Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
<input type="checkbox"/> NONE (No reportable income, assets, or transactions.)									
¹ FMC CORP.	A	D	J	+					
² IBM	A	D	K	+					
³ HECLA MINING	A	D	J	+					
⁴ LUBRIZOL CORP.	A	D	K	+					
⁵ PHELPS DODGE	A	D	K	+					
⁶ RPM	A	D	K	+					
⁷ SCHERING PLOUGH	A	D	K	+					
⁸ JPMORGAN-CHASE	A	D	K	+					
⁹ WEST PHARMACEUTICAL	A	D	K	+					
¹⁰ WEYERHAEUSER	A	D	J	+					
¹¹ TAMARACK INV. FDS.	A	D	J	+					
¹² NORFOLK SOUTHERN	A	D	K	+					
¹³ BAKER HUGHES INC.	A	D	K	+					
¹⁴ BP PLC SPONS ADR	B	D	L	+					
¹⁵ LUCENT TECHNOLOGIES	A	D	J	+					
¹⁶ ALLTEL CORP.	A	D	J	+					
¹⁷ Comstat Corp. CL A	A	D	J	+					

1 Income/Gain Codes: A=\$1,000 or less; B=\$1,001-\$2,500; C=\$2,501-\$5,000; D=\$5,001-\$15,000; E=\$15,001-\$50,000; F=\$50,001-\$100,000; G=\$100,001-\$1,000,000; H1=\$1,000,001-\$5,000,000; H2=More than \$5,000,000

2 Value Codes: J=\$15,000 or less; K=\$15,001-\$50,000; L=\$50,001-\$100,000; M=\$100,001-\$250,000; N=\$250,001-\$500,000; O=\$500,001-\$1,000,000; P1=\$1,000,001-\$5,000,000; P2=\$5,000,001-\$25,000,000; P3=\$25,000,001-\$50,000,000; P4=More than \$50,000,000

3 Value Method Codes: Q=Appraisal; R=Cost (real estate only); S=Assessment; T=Cash/Market; U=Book value; V=Other; W=Estimated

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Date of Report

CARROLL, EARL H.

5/8/06

4.
VII. Page 3 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-60 of Instructions.)

A Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure</i>	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amt. Code1 (A-H)	Type (e.g. div. rent or int.)	Value Code2 (J-P)	Value Method Code3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month-Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
<input type="checkbox"/> NONE (No reportable income, assets, or transactions.)									
¹ FMC TECHNOLOGIES INC.	A	D	J	T					
² SPRINT NEXTEL CORP.	A	D	K	T	(SEE LINE 17 SEC. VII-PAGE 2)				
³ WELLS FARGO BK. SAV.	A	I	K	T					
⁴ WELLS FARGO BK. C.D	B	I	K	T					
⁵ SCHERING-PLOUGH CORP.	A	D	L	T					
⁶ FREESCALE SEMI-CONDUCTORS	A	D	J	T					
7									
8									
9									
10									
11									
12									
13									
14									
15									
16									
17									

1	Income/Gain Codes: A=\$1,000 or less (See Col. B1, D4) F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=More than \$5,000,000	E=\$15,001-\$50,000
2	Value Codes: J=\$15,000 or less (See Col. C1, D3) N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000	K=\$15,001-\$50,000 O=\$500,001-\$1,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$5,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000	
3	Value Method Codes: Q=Appraisal (See Col. C2) U=Book value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

CARROLL, EARL H.

Date of Report

5/8/06

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

VII: PAGE 2 - LINE 8 - VALUE METHOD "S"

ASSESSED FULL VALUE - ORANGE CO., CALIF. TREASURER'S

BILL 2005-2006 - \$89,413.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature



Date

5-8-06

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104.)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the
United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544